

# *Realizing the Promise of Genomic Medicine*



*Smithsonian Institution  
Natural History Museum*

*13 June 2013  
Jim Evans MD, Ph.D  
UNC Chapel Hill*



# Near to Midterm Practical Applications of Massively Parallel Sequencing

What are the appropriate “nails” for the hammer of Massively Parallel Sequencing?

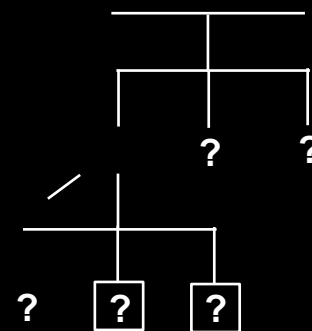
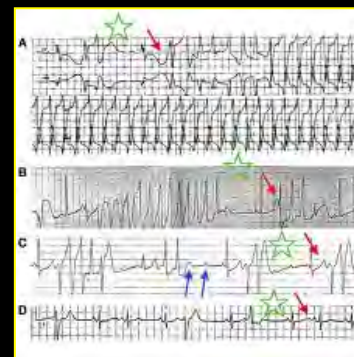
*In sick people and healthy people*



# Sick People

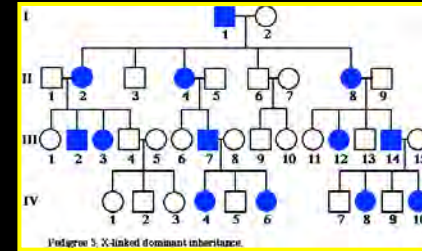
## *MPS as a Clinical Diagnostic Tool*

- 47 yo female with sudden cardiac arrest
- Resuscitated successfully
- EKG reveals Long QT Syndrome
  - High risk for sudden death
  - Treatable
  - Knowledge of which gene is mutated affects Rx of choice & prognosis
  - Dozens of genes implicated
- Application of MPS to detect mutation
  - By sequencing a panel of genes
- Guiding patient's treatment
- And prevention of death in family members

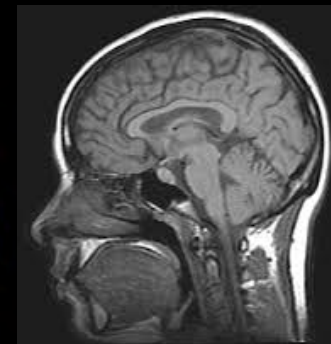


*NHGRI is funding multiple efforts to harness its use in the clinic*

# Which Patients Will Benefit from it's Application?



- Like any complex medical test will be used optimally if applied in a thoughtful & targeted fashion
- MPS of entire genomes or gene panels (real or virtual) will benefit a *subset* of patients
  - Those with disorders that can be caused by mutations in many different genes
  - Those with enigmatic conditions & clues suggesting a primarily genetic etiology, e.g.
    - Familial conditions
    - Progressive neurological disorders
    - Children with multiple malformations
  - Eventually informing our approach to common disease



# Genomic Analysis of Cancer

- For over a century we've defined cancer by its appearance under the microscope and its tissue of origin
- Genome-scale sequencing of tumors offers a new means of *functional* characterization
  - Defining the specific mutations that drive its growth
  - Guiding Rx by identifying the Achilles heel of each tumor
- Eventually tumor classification will rely as much upon genotype as on tissue of origin and microscopic appearance



*The Cancer Genome Atlas is characterizing tumors on an unprecedented level*

# Applying Genomics to the Healthy

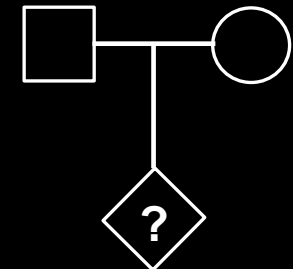
- Healthy people have more to lose than sick people
- Different relationship between provider & recipient
  - The individual isn't typically seeking us out
- Benefits are less obvious
  - “You didn't get sick!”
- The downsides are easy to see
  - All interventions have downsides
- Implementation & policy issues are orders of magnitude more difficult



# Healthy People

## *Public Health Genomics*

- Pre-emptive delineation of select variants that influence an individual's response to drugs
- As an adjunct to newborn screening
  - NHGRI will soon fund a consortium of such studies to investigate its potential in this context
- Pre-conceptual carrier screening
  - MPS permits comprehensive screening of prospective parents for the risk of severe genetic disease in their children





# Healthy People

## *New born screening for adults*

- ~1% of the population harbors mutations that lead to a very high risk of preventable disease
  - e.g. Lynch syndrome
    - 1/400 individuals at >80% risk for CRC
    - Highly preventable if risk is known
    - Currently identified only after they or family members develop cancer
- MPS may allow population screening for high-risk, preventable disorders
  - e.g. cancer syndromes, certain cardiac diseases, vascular catastrophe, etc.
- Potentially benefitting millions in the US



*A new NHGRI funded project at UNC will investigate the feasibility of such an approach*

# Challenges to Harnessing MPS in Clinical Medicine & Public Health

- Accuracy
  - 99.99% accuracy x 3 billion nucleotides
  - = 300,000 errors *per patient*
- Interpretation of the variants we find
- Storage and access in the medical record
  - We each have ~4 million variants
- Education of patients, providers & public
- Crafting policy regarding use of genomics
  - Especially in realm of reproductive issues
- The human genome isn't the only important genome in your body
  - You are only about 10% human
- Incidental information...



***NHGRI funded efforts like EMERGE are exploring this issue and an upcoming special issue of Genetics in Medicine will be devoted to this challenge***

# Challenges to Clinical Genomics

## *Incidental Information*

- Your genome is an unpredictable – and not necessarily friendly - place
- Upon genomic sequencing we discover many things we weren't looking for
  - Some are trivial or indeed beneficial
  - Some are problematic
    - We will occasionally discover dramatic risk of lethal, untreatable late onset conditions
- Some wish to know such information; others do not



If you carry a mutation that essentially guarantees that you will develop a serious *but highly preventable* disease would you wish to know?

1. Yes
2. No
3. I'm not sure

A few serious but preventable diseases that can be highly genetic ...

- Colorectal cancer
- Breast cancer
- Thyroid cancer
- Aortic Aneurysm

If you carry a mutation that essentially guarantees that you will develop a severe, unpreventable & untreatable neurological disease would you wish to know?

1. Yes

2. No

3. I'm not sure

A few really bad & unpreventable & untreatable genetic diseases...

- Alzheimer Disease
- Fatal Familial Insomnia
- Spinocerebellar Ataxia
- Huntington Disease
- CADASIL

# A Few Social Challenges

- Genetic Discrimination
  - In the US GINA now protects against medical insurance discrimination
    - But no protection in the realm of LTCI, disability, life insurance
- The threat of “allelism”?
- Gene Patenting
  - ~20% of our genes have patent claims on them
- Privacy Issues
  - Genomic information is digital and easy to distribute... and hack
  - “Privacy is dead, deal with it,”
  - Bankruptcy of a major DTC Genomics company
- We will require an educated public to successfully grapple with how to handle this new flood of information about ourselves

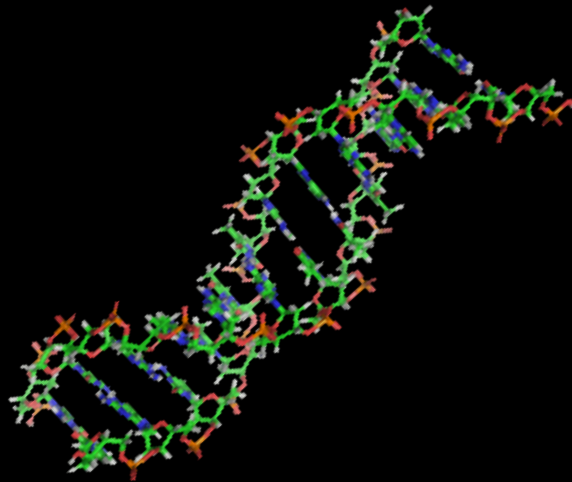
*“We envision a new type of community where people will come together around specific genotypes...”*



Sun MicroSystems  
CEO Scott McNealy

*Thank You*

jpevans@med.unc.edu







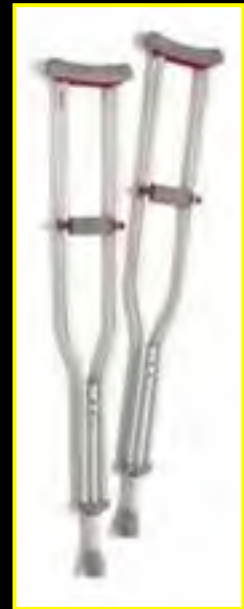
Proposed outline of topics to consider:

Jim's role is high-level presentation of clinical issues

- A vision of what personalized medicine will look like in the near future
  1. What are the benefits?
  2. What are the challenges to instituting genomic science in medical care?
    - a. Reimbursement
    - b. Electronic medical records
    - c. Physician ability to use this information
- What is already happening in the clinic and how will genomic sciences likely become common in medical care. In other words, when will this happen and will it be gradual or sudden?
- What are some of the things that the genomics research field is doing to solve the problems getting genomics into medical care, such as:
  1. Clinical Sequencing Exploratory Research program, CSER – Jim has a CSER grant. So talk about ....
  2. eMERGE – the Electronic Medical Records and Genomics Network
  3. Genome Variation studies – please make sure there is some focus on common disease and not just rare inherited illnesses.
    - a. 1000 Genomes
    - b. GWAS
  4. Mendelian Sequencing Centers goal of finding the genetic cause of all inherited illnesses – what is the vision of doing that? How does learning about rare or inherited illnesses impact dx and rx of common diseases? Note Lipitor example.
- CANCER – TCGA and the expectation that knowing the genetics of cancer will revolutionize dx and rx. Jim resists hype, but he does think genomics will be revolutionary in cancer application ... highlight that. We will look at cancer and dx and rx in a new way in the next decade.
- Human Microbiome – Larry to get some forward looking material from Lita Proctor, the HMP program director.

# MPS of the Whole Genome to Solve a Diagnostic Dilemma

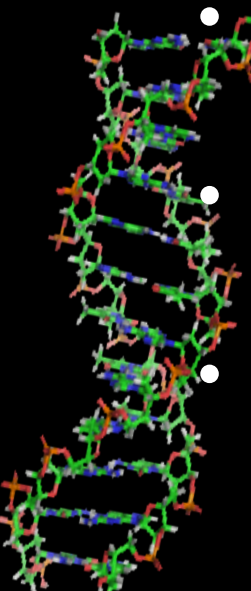
- 36 yo female with dx of progressive spastic paraplegia since age 6
- Many different genetic lesions can cause such symptoms and thus WES was pursued
- As part of NCGENES, a mutation was found in Dopa-Responsive Paraplegia gene, *GTPCH1*
  - Identifying specific and highly effective Rx
- Diagnosis will often not provide specific Rx, but -
  - Ends diagnostic odyssey
  - Offers important information to families
  - Provides intangible benefits of a diagnosis
  - Provides the basis for future progress in Rx



# Challenges to Clinical Genomics

## *Storage in the EMR*

- We each have ~4 million variants
- Do we want or need to keep them all?
- Your 2015 WGS will be much better (and cheaper) than your 2013 WGS
- Our current medical record system is highly fragmented
- Orgel's second law of evolution: "Evolution is cleverer than you are"
  - We already have ready access to a cheap storage medium for genetic information
- The DNA in 10 ml of blood contains ~3.75 petabytes of data storage capacity
  - ~400 x the amount of information in the library of congress



Insert something about CSER

Add back in storage slide

Mention Mendelian

microbiome

# Pre-conceptual carrier screening

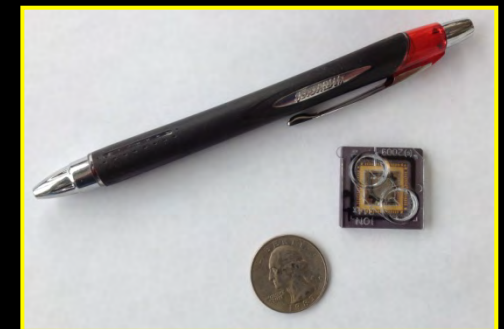
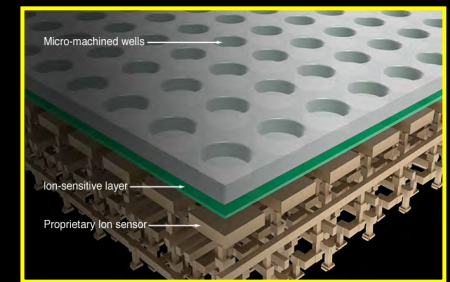
- Currently PCCS is recommended for a few specific disorders
  - Based solely upon mutation prevalence
    - We (by necessity) have recommended screening for a few conditions like CF or Tay Sachs because it is practical
    - Not what couples would like to really know
- MPS eliminates this arbitrariness
  - Potentially profound and welcome impact on family planning
  - Highly actionable information to some
  - Ethically problematic for others
    - Making formulation of policy variable and difficult for this application

# MPS of the Whole Genome to Solve a Diagnostic Dilemma

- 36 yo female with dx of progressive spastic paraplegia since age 6, wheelchair bound
- Movement disorders are highly heterogeneous and thus WES was pursued
- As part of NCGENES, a mutation was found in Dopa-Responsive Paraplegia gene, *GTPCH1*
  - Identifying specific and highly effective Rx
- Diagnosis will usually not provide specific Rx, but -
  - Ends diagnostic odyssey
  - Offers important information to parents about recurrence risk
  - Provides intangible benefits of a diagnosis

# DNA Sequence Analysis by Multi-Tasking

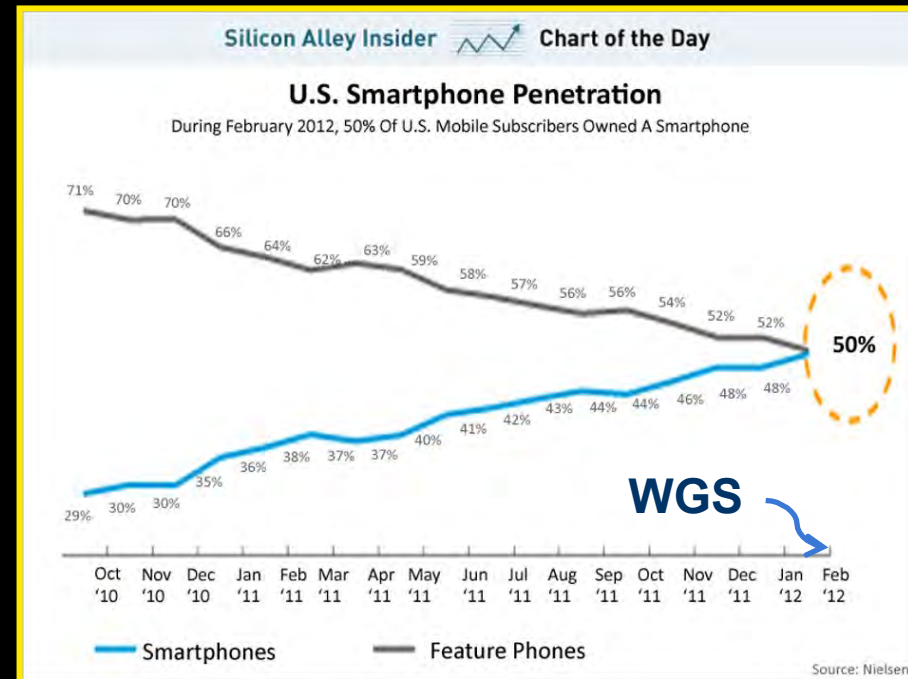
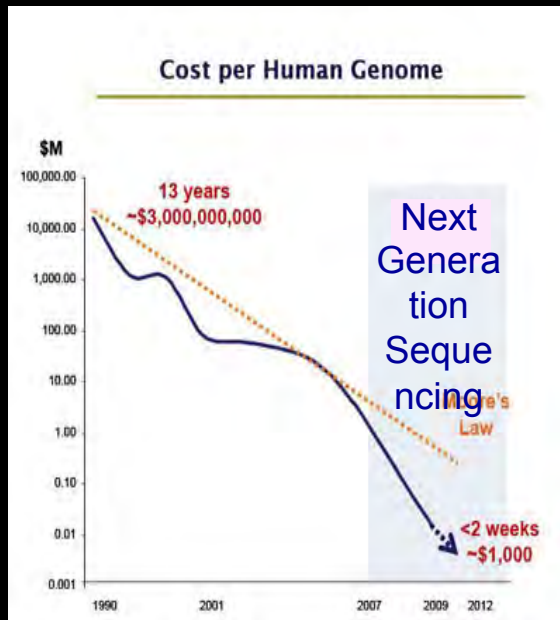
- A variety of chemical and physical strategies have existed for years
- Biggest limitation has been the need to carry out such approaches one fragment at a time
- Massively Parallel Sequencing takes advantage of miniaturization to carry out millions of reactions simultaneously
- Sophisticated computer analysis allows “assembly” of a given sequence



# Accelerating Technology, Plummeting Cost & Penetration to the Individual



\$795 in 1977  
(=\$2,800 in  
current \$)





# Applying Genomics to the Healthy

- Healthy people have more to lose than sick people
- Different relationship between provider & recipient
  - The individual isn't typically seeking us out
- Benefits are less obvious
  - “You didn't get sick!”
- The downsides are easy to see
  - All interventions have downsides
- Applications are implemented *en masse*
  - All have a say
    - Including the ill-informed & those who are simply wrong
- Policy issues are orders of magnitude more difficult







# Healthy People

## *Prevention of Common Disease*

- Genetics is only one (small) component of common diseases
  - Inherent ceiling on utility of parsing risk
- Relative risks provided by genomic analysis are usually much too small to matter clinically
- Provision of genetic information has limited ability to alter behavior
- Confusing absolute and relative risk

***Risk assessment is valuable  
when the identified risks are high***





# One Possible Binning Scheme

Criteria:	<i>Loci with Clinical Utility</i>	<i>Loci with Clinical Validity</i>	<i>Loci with Unknown Clinical Implications</i>	<i>Loci with important reproductive implications</i>		
Bins:	<b>Bin 1</b> Genes, which when mutated, result in high risk of clinically actionable condition	<b>Bin 2A</b> Low risk incidental information	<b>Bin 2B</b> Medium risk incidental information	<b>Bin 2C</b> High risk incidental information	<b>Bin 3</b> All other loci	<b>Bin R</b> Carrier status for severe AR disease
Examples:	<i>BRCA1/2</i> <i>MLH1, MSH2</i> <i>FBN1</i> <i>NF1</i> Loci with proven PGx clinical utility	PGx variants and common risk SNPs with no proven clinical utility	<i>APOE</i> , genes associated with Mendelian disease for which no firm clinical recommendations exist	Huntington disease  Prion diseases  <i>SCA, PS1, PS2, APP</i>		Tay Sachs, Familial Dysautonomia, CF, etc.
Estimated # of genes/loci	Dozen(s)	~20 (eventually 100s – 1000s)	100	Dozen(s)	>20,000	Hundreds

Genes

## Alleles that would be reportable (YES) or not reportable (NO) in a clinical context

	Known deleterious	Presumed deleterious	VUS	Presumed benign	Known benign	
Known deleterious	YE	YES/NO <sup>1</sup>	YES/NO <sup>1</sup>	YES/NO <sup>1</sup>	N/A <sup>2</sup>	YES
Presumed deleterious	YES	N/A <sup>3</sup>	YES/NO <sup>1</sup>	YES/NO <sup>1</sup>	NO <sup>4</sup>	YES
VUS	NO	N/A <sup>3</sup>	NO	NO	NO <sup>4</sup>	NO
Presumed benign	NO	N/A <sup>3</sup>	NO	NO	NO	NO
Known benign	NO	NO	NO	NO	NO	NO

Variants

# Sick People

## *Genomic Diagnostics in the Clinic*

- Making a primary diagnosis has long been the lynchpin of medicine
  - Guiding prognosis, treatment and enabling medical progress
- Diagnosis provides tangible benefit
  - Ending the “diagnostic odyssey”
    - Saving both anxiety and resources
  - Informing reproductive decisions for parents of an affected child
  - Affording preventive strategies to family members for some disorders
  - Delivering to patients & families an explanation for their malady



***MPS is a new diagnostic tool that will greatly facilitate the diagnosis of disorders whose etiology is primarily genetic***

# Challenges of Clinical Genomics

## *The Rise of Direct-To-Consumer Genomics*

- Multiple companies now offer DTC genomic analysis, including WGS
  - Complex medical test with the power to help, harm and confuse
  - Often marketed with unrealistic claims or as entertainment
- I'm concerned that aggressive marketing of complex medical tests does no one any favors
  - The individual
  - Society & Medicine
- Regulation of such products



Be one of the first to get your personal exome sequence

**\$999** Enrollment Currently Closed

Sign up to be notified when ordering is available

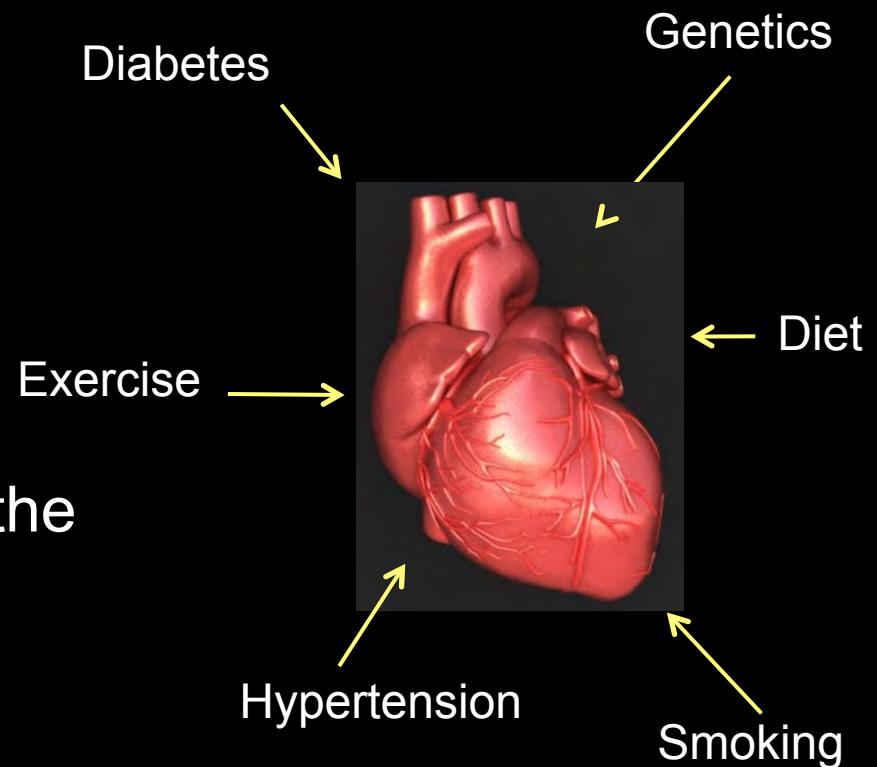
**Exome 80x**  
Pilot Program



# Focus on Prevention of Common Diseases?

## *A Problematic Nail*

- The hope that through refining risks for diseases like HTN, CAD, DM, etc. we can decrease morbidity
- Common diseases have many etiologic factors
  - Of which genetics is only one & usually relatively small
- Placing an inherent ceiling on the utility of germline genetic information



# And Predictive Power is Feeble

## *The Problem of Relative Risk*

- Numerous risk alleles identified
  - The vast majority of RR ~1-2
    - What do I *do* with such information?
  - From a clinical standpoint the information is so lacking in robustness that it is of no clinical utility
- Few data to suggest that knowledge of one's genomic status is effective in changing behavior
  - And if it does that could be a problem...



Example variant	Closest gene	Odds ratio (per allele) <sup>a</sup>
rs1501282 (P12A)	PPARG	1.14 (1.08–1.20)
rs5215 (E23K)	KCNJ11	1.14 (1.10–1.19)
rs7901605	TCF7L2	1.37 (1.31–1.43)
rs4430796	TCF2	1.10 (1.07–1.14)
rs10010131	WFS1	1.11 (1.08–1.16)
rs1111875	HHEX-IDE	1.15 (1.10–1.19)
rs13266634	SLC30A8	1.15 (1.12–1.19)
rs10946398	CDKAL1	1.14 (1.11–1.17)
rs10811661	CDKN2A-2B	1.20 (1.14–1.25)
rs4402960	IGF2BP2	1.14 (1.11–1.18)
rs8050136	FTO	1.17 (1.12–1.22)

Pepe MS et al. (2004). American Journal of Epidemiology. 159 (9):882

# Confusing Relative and Absolute Risk

*I Know What You're Going to Die Of...*

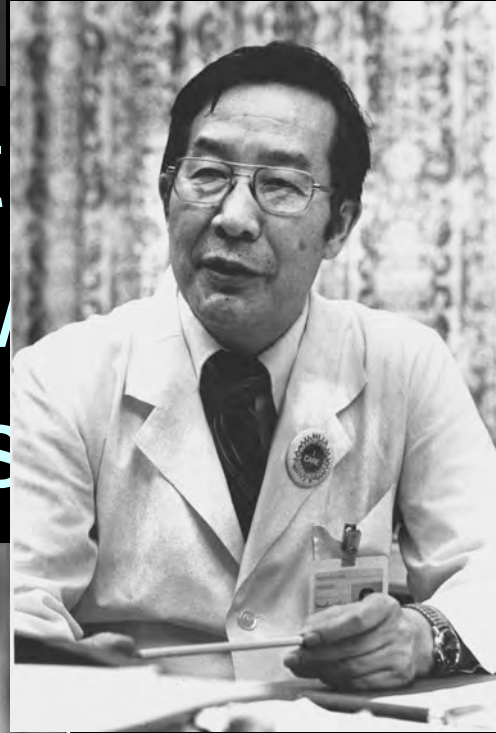
- For common diseases, what does it really mean to be at a *relatively* reduced risk?
  - The absolute risk for common diseases is high
  - Thus, we're all at increased risk for these maladies - regardless of our relative risk
  - Many at “decreased risk” for heart disease will still die of heart disease



*Risk assessment will be valuable when the identified risks are high*



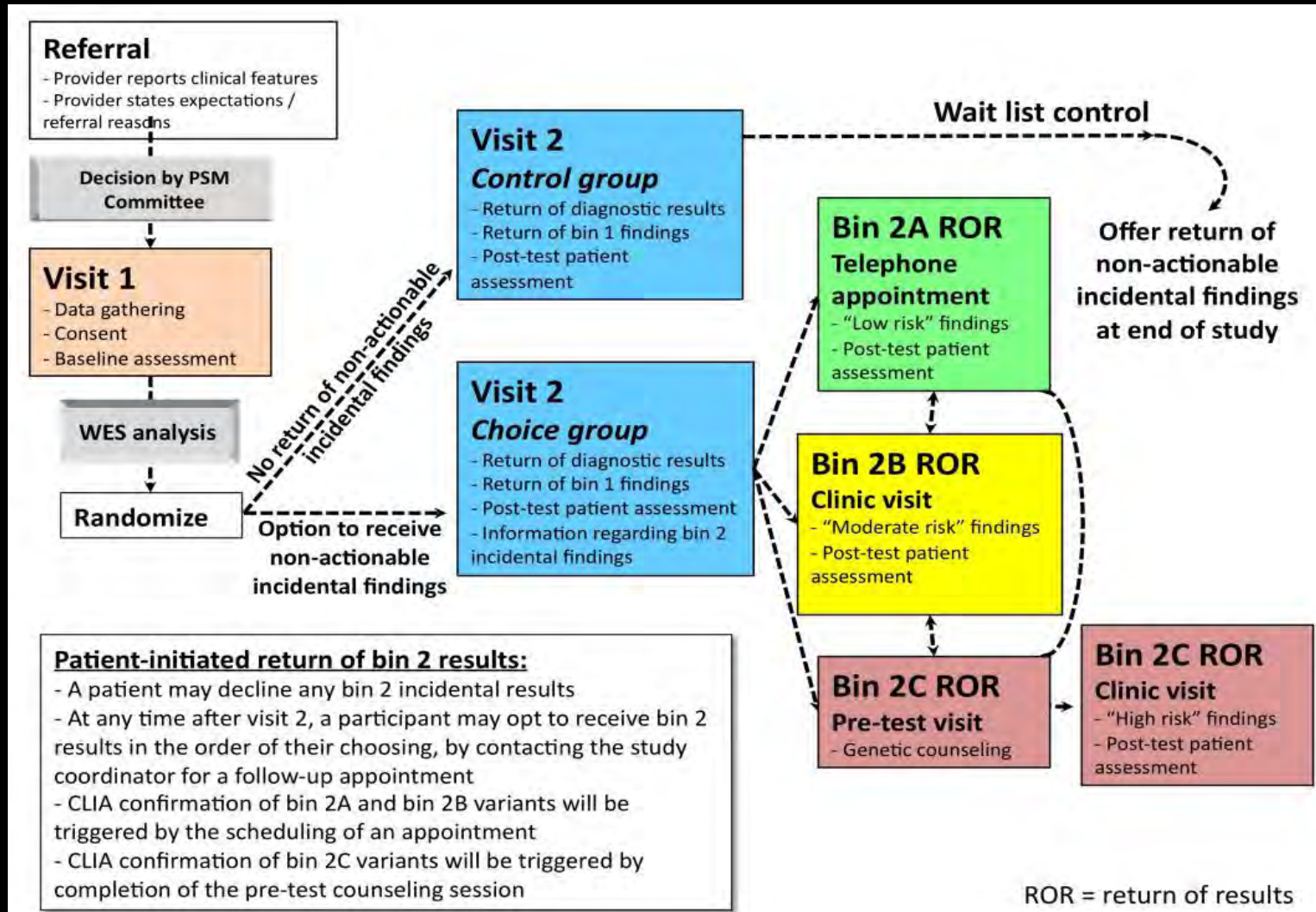
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the xray  
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# Randomization Scheme to Study Return of IF in Adults



# Challenges of Clinical Genomics

## *The Rise of Direct-To-Consumer Genomics*

- Multiple providers now offer DTC genomic analysis, including WES/WGS
- Genomic sequencing is a complex medical test with the power to help, harm and confuse
- Often marketed with unrealistic claims or as entertainment
  - Is marketing a good reason to have a complex medical test?
- The misuse of complex medical tests harms everyone
  - Because none of us pays for our own medical care
- Stakes are high and information is complex
  - Regulation is needed regarding testing & interpretation



**Exome 80x**  
Pilot Program

Be one of the first to get your personal exome sequence

**\$999** Enrollment Currently Closed

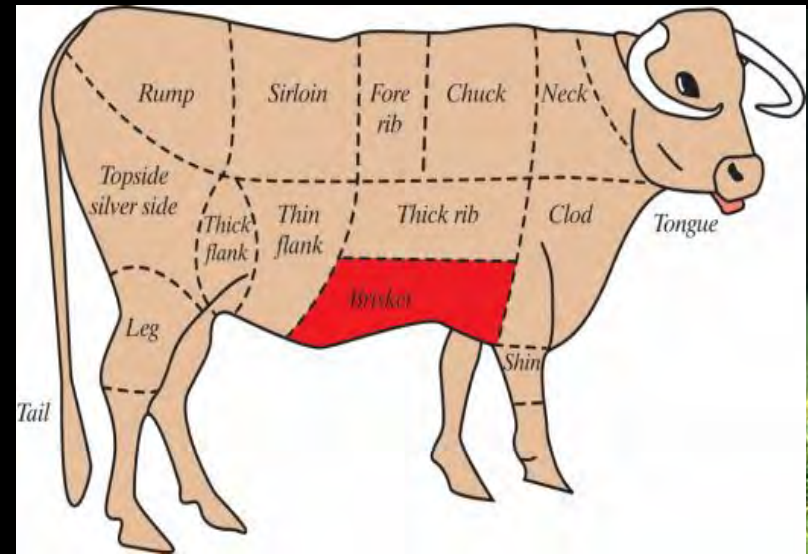
Sign up to be notified when ordering is available



# Binning by Loci

## *Cutting Up the Cow*

- WGS is often thought of as a “test”
- In reality it is 3 billion highly heterogeneous tests
- We can't swallow it whole
- We need to carve it up into manageable bits if we are to derive any utility from it
- Save the good parts and toss the rest
- Do so informatically





# Dealing with Lots of Data

- Each of us has ~4 million variants
- How do we decide what to analyze, store, report?
- The significance of the vast majority of genomic variants will be utterly unknown
  - Are thus clinically inconsequential and do not mandate reporting
  - Don't waste valuable clinical effort on data that is inherently highly uncertain
  - Indeed we have no *business* reporting them
    - Clinical action when we don't really know what we're doing is harmful
      - PSA, HRT, etc.
- A few will be tangibly useful to subjects
  - Report those with established evidence of health benefit
- Accomplish this by taking a locus-based approach to categorization of potential results



*Saved by  
our  
Ignorance*

# The Challenges of Public Health

- Healthy people have less to gain and more to lose than sick people
- Different relationship between provider and recipient
  - The individual isn't typically seeking us out
  - No one-to-one relationship
- Benefits are less obvious
  - “Good news: you didn't get sick!”
- The downsides are easy to see
  - And all interventions have downsides
- The ratio of benefit to harm must be much greater in the population setting than in the clinical setting
  - Difficult since our tools for intervention in medicine are blunt
  - Why behavioral modification is appealing in public health
    - But hard to do
- Applications are implemented *en masse*
  - Making policy issues orders of magnitude more difficult
  - All have a stake and a say
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**Rare is the New Common**  
*Realizing the Promise of Genomic Medicine*

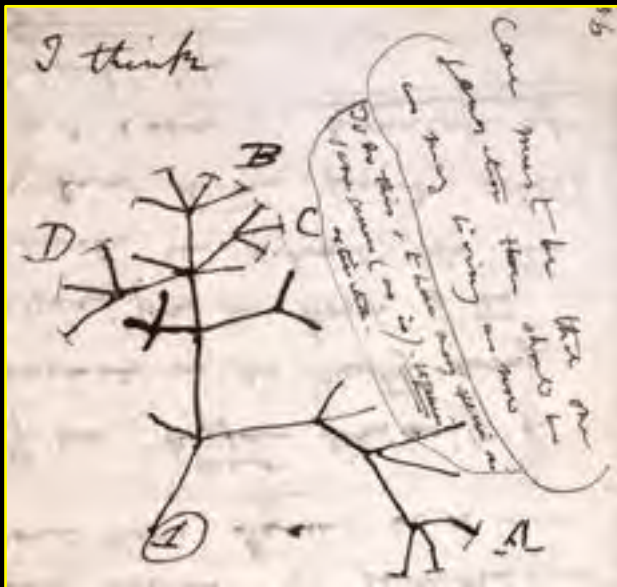
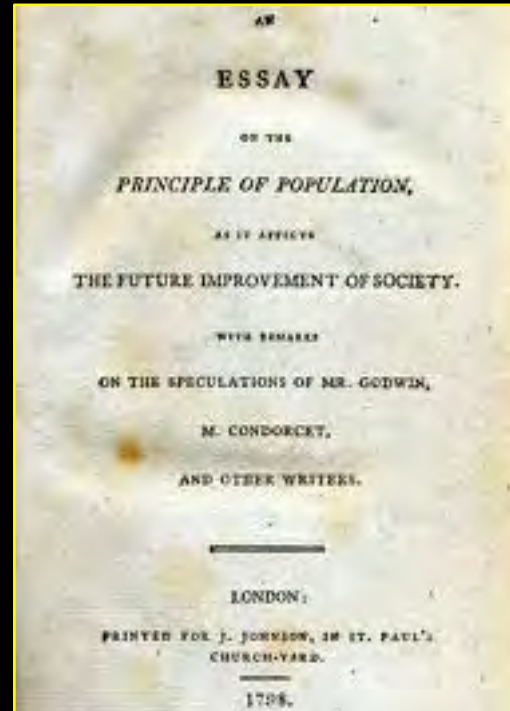
**Arno Motulsky Lecture**

*28 September 2012*

Jim Evans

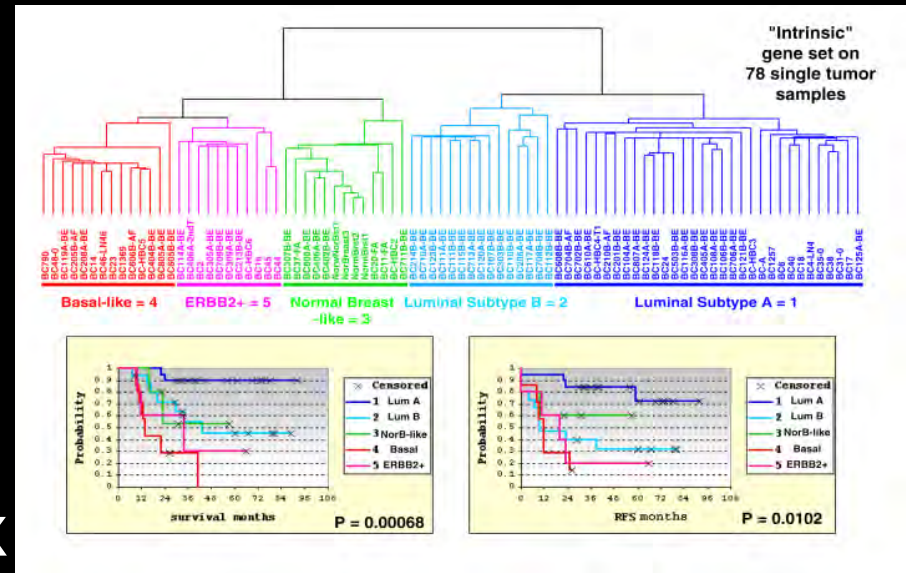
University of North Carolina at Chapel Hill

# Another of My Heroes



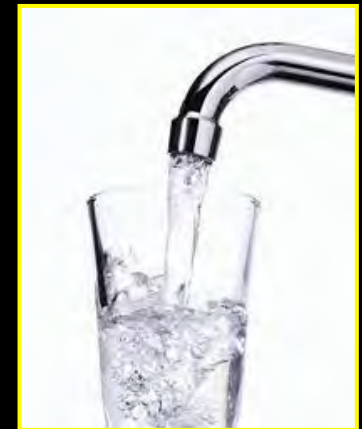
# Somatic Genomic Analysis in Cancer Offers Unique Opportunities

- Cancer is fundamentally a genetic disease
- Genome-scale sequencing of tumors offers opportunities to parse complex phenotypes & target Rx to tumor genotypes
- Chemotherapeutic agents are toxic and thus excellent targets for the application of PGx



# Genomics for the Healthy

- Public health involves a different set of challenges and rewards than does clinical medicine
- The most significant gains in medicine have been in the context of public health
- The historical benefit through such intervention is dramatic
  - The chance to benefit millions
  - Prevention is better than curing
- Historic examples
  - Vaccines
  - Fluoridated water
  - New born screening for preventable conditions





# The Challenges of Public Health

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# Public Health Genomics

## *Finding the Right Nails*



- The field's focus has thus far been on common diseases
  - With the hope that by assessing risk for disorders like HTN, DM, Cancer, CVD we can decrease morbidity and mortality
- Even small progress in decreasing common diseases could have big payoffs
- The hope that we can use genomics to assess risk and productively alter our approach to common disease

# Prevention of Common Disease Through Genomic Risk Assessment

- The current status of screening in medicine
  - Relatively little benefit
  - Actual harm to some
  - Tremendous waste of resources

We now have the ability to analyze the individual's genome deeply and define statistically significant variation

*However, applying genomic tools to common diseases has thus far been disappointing...*



# A Plea for Evidence-Based Genetic Medicine

## Medical Science $\neq$ Medical Practice

- Medical Science is the indispensable foundation of Practice
- But is far more complex
- More variables
  - Including tremendously complex variables like differing values
- Time-line for practical translation is long
  - And not guaranteed by scientific understanding
- Its application is far more expensive than the underlying science
- The stakes are much higher in medical practice
  - Because the power to harm is real and potent
- Theory alone is insufficient to guide practice



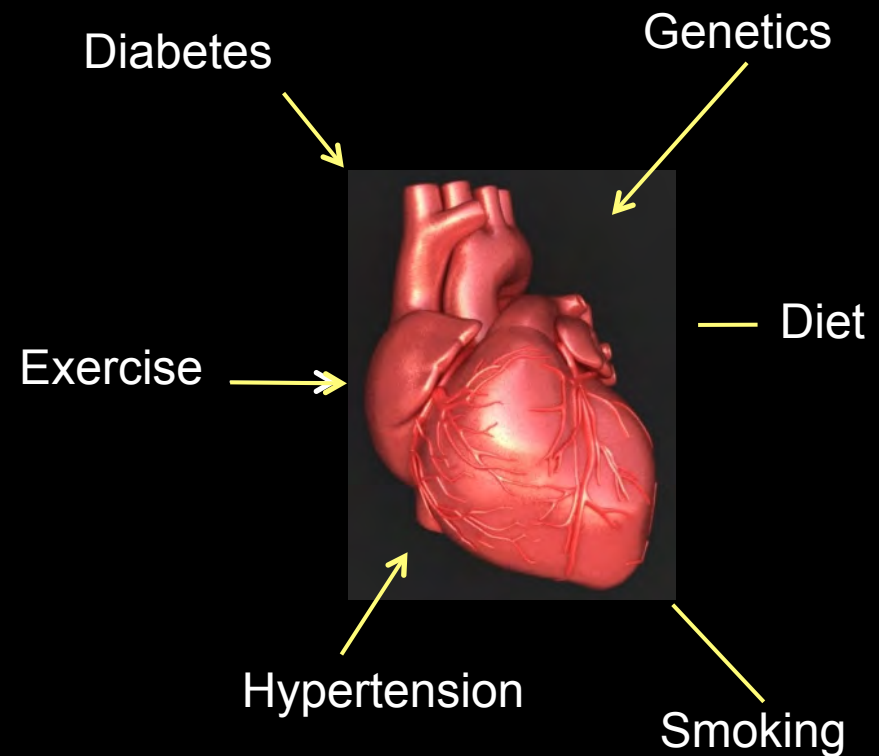
# Good Ideas Are Insufficient to Guide Practice

- Reflexic HRT after menopause
- Anti-arrhythmics for PVCs
- Sleeping Babies & prevention of SIDS
- Beta-Carotene supplements to prevent cancer
- Coronary stents do not prolong life
- Excessively strict glucose control in diabetes
- Routine use of PSA screening



# Common Diseases Have Many Etiological Components

- The genetic component is one of many & typically small
- Placing an inherent ceiling on the utility of germline genetic analysis in these disorders



# Predictive Power is Feeble

## *The Problem of Relative Risk*

- Many risk alleles identified for common diseases
- RR are typically 1-2
  - What do I *do* with such information?
  - Little practical utility for the individual
    - Or at the population level
- Few data indicate that knowledge of one's risk changes behavior
  - And if it does, that could be a problem

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# Little Added Value for Combinations of Variants Thus Far

Year	Researchers	Disease	Genetic variant	AUC	$\Delta$ AUC
2005	Lyssenko et al.	Type 2 diabetes	3 establ. variants	0.68	+0.00
2006	Podgoreanu et al.	MI after surgery	3 (out of 48)	0.70	+0.06
2007	Humphries et al.	CHD	4 (out of 12)	0.66	+0.04
2007	Morisson et al.	CHD	11 (out of 116)	0.76	+0.01
2008	Vaxillaire et al.	Type 2 diabetes	3 (out of 19)	0.82	+0.00
2008	Zheng et al	Prostate cancer	5 (out of 16)	0.61	+0.02
2008	Kathiresan et al.	CVD	9 (out of 11)	0.80	+0.00
2008	Lango et al.	Type 2 diabetes	18 establ. variants	0.78	+0.02
2008	Van Hoek et al.	Type 2 diabetes	18 establ. variants	0.66	+0.02
2008	Meigs et al.	Type 2 diabetes	18 establ. variants	0.90	+0.00
2008	Lyssenko et al	Type 2 diabetes	11 establ. variants	0.74	+0.01



# Confusing Relative and Absolute Risk

*I Know What We're All Going to Die Of...*

- For common diseases, what does it really mean to be at a *relatively* reduced risk?
  - The absolute risk for common diseases is so high that we're all at significant risk for these maladies regardless of our relative risk
    - Even if you are at a “decreased risk” for heart disease you stand a good chance of dying from heart disease
  - All will benefit from population measures to reduce CVD, obesity, etc.



***Risk assessment will be most valuable when the identified risks are high***

# A New Opportunity for Public Health Genomics

## *Embrace the 1%!*

- We now have the capacity to identify those at high risk of preventable disease
- ~0.2% of US population carries a Lynch Syndrome mutation (>600,000 individuals)
  - At very high risk of colon & uterine cancer
    - highly preventable
  - We currently identify such people only after they and numerous family members develop cancer or die
- MPS allows population screening for such disorders
- Rare > Common
- ~1% (~3 million) of population carries mutations that predispose to similar serious but preventable disease



# Public Health & Rare Diseases?

- New Born Screening
- Targeting rare diseases can yield tremendous public health benefits if certain conditions are met
  - Serious disease with a clinically silent latent period
  - Detection possible during latent phase with an affordable, effective test
  - Acceptable and effective preventive measures exist
  - Sufficient aggregate prevalence to make screening worthwhile



*New born screening for adults*

# A Proposal

- Explore the potential of Multiplex MPS of a selected panel of genes that meet these criteria
- Pilot study of MPS of 10,000 healthy adults to study:
  - Acceptability, uptake & outcomes
  - Prevalence of deleterious mutations for candidate conditions & the true penetrance of such disorders
  - Optimal target ages to screen
  - Cost of screening & possible economic benefits
  - Informatics needs & approaches
  - Ethical, Legal and Social Implications, including
    - How such information is understood & used
    - Informed consent
    - Privacy issues
    - etc.



# Challenges to Implementing Public Health Genomics



- Setting criteria for calling & reporting mutations
  - Focus on clearly deleterious mutations
    - e.g. truncating mutations & known deleterious mutations
    - Ignoring other variants will sacrifice some sensitivity
      - But is necessary to minimize false positives
        - » Cannot tolerate high numbers of FPs at a population level
        - » Lack of an ability to adjudicate VUS
- Accuracy of MPS platforms is poor
  - Need for confirmation at present
- Insurance coverage is necessary to ensure access to prevention
  - e.g. colonoscopy for those with Lynch-associated mutation
- Ensuring good understanding by population
  - e.g. not having a Lynch mutation doesn't mean you're at decreased risk for CRC

# What I Am *Not* Calling For

- This is a call to investigate the potential of *targeted* MPS in a *highly selected* set of genes at the population level
- It is not a call to “perform WGS in everyone”
- No need
  - We don’t understand most of what we’d find
  - 98% of us have boring genomes
  - ~1% of us have useful nuggets of information
    - Which can be efficiently targeted by sequencing
- Your genome is not necessarily a friendly place
  - Surprise!



If you harbor a mutation that essentially guarantees you will develop a severe untreatable neurological disease by 65 would you want to know?

1. Yes

A few examples of really bad genetic diseases...

2. No

- Alzheimer Disease
- Fatal Familial Insomnia
- Spinocerebellar Ataxia
- Huntington Disease
- CADASIL
- etc...

3. I'm not sure

● Little point in looking for such things except on a highly individualized basis

*Targeted analysis of a panel of carefully selected genes in the broad population could yield substantial benefits*

# How Do We Select Candidate Genes To Target?

- Transparent process by which candidates are judged by specified criteria
- Representatives from
  - Genetics
  - Public health
  - General and specialty medicine
  - Medical economics
  - The public
- Iterative process with ongoing review in light of new knowledge regarding prevention, testing, etc.
- Again, we can learn from NBS community



# One Possible Selection Strategy

Characteristics of Threat & Rx		Score	<i>MSH2</i>	<i>BRCA1</i>
Nature of threat	Possible Death	2	2	2
	Significant Morbidity	1		
	Minor Morbidity			
Likelihood of Disease (Penetrance)	>50%	2	2	2
	5-50%	1		
	<5%	0		
Effectiveness of Intervention	Highly Effective	2	2	2
	Moderately Effective	1		
	Minimally Effective			
Acceptability of Intervention	Highly Acceptable	2	2	1
	Moderately Acceptable	1		
	Minimally Acceptable			
Knowledge Base	High	2	2	2
	Moderate			
	Low			
			<b>10</b>	<b>9</b>

# A Possible List of Genes to Target

Gene

Disease

US Mutation Prevalence

**Total Population Prevalence:**

**~0.5-1%**

# Cost of Such a Pilot

- The cost of a 5 year pilot with ~10,000 adults
  - Sequencing costs with multiplexing at ~\$200/sample = \$2 million
  - Implementing informatic analysis upon existing infrastructure
    - ~\$1 million
  - Recruitment, education, consent, follow-up, including ELSI investigations
    - ~\$2 million
- Possible funding sources:
  - NIH/NHGRI
  - NCI
  - Private (health) insurers

# Rare is the New Common

*Coming Full Circle in Medical Genetics*

- Medical Genetics has historically been focused upon rare diseases, it's work directly applicable only to a few
- Now new technology provides us with efficient means of finding them
  - Making them relatively common in aggregate
- Ironic that through embracing our expertise in rare diseases we can potentially help improve the health of millions in the near term

*We shall not cease  
from exploration,  
and the end of all  
our exploring will be  
to arrive where we  
started and know  
the place for the  
first time*



TS Eliot, 1942

*Little Gidding*







# A Possible List of Genes to Target

Gene

Disease

US Mutation Prevalence

**Total Population Prevalence:**

**~1%**













# How Can Next Generation Sequencing Be of Benefit in the Near Term?

- Sick People:
  - Diagnosing otherwise enigmatic diseases with a predominately genetic etiology
- Healthy People:
  - Finding those individuals at high risk of preventable disease
  - Enabling a variety of reproductive decisions



# A Proposal

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- Pilot study of MPS of 10,000 healthy adults to study:
  - Acceptability, uptake & outcomes
  - Prevalence of deleterious mutations for candidate conditions & the true penetrance of such disorders
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    - How such information is understood & used
    - Informed consent
    - Privacy issues
    - etc.

# Public Health & Rare Diseases?

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  - Sufficient aggregate prevalence to make screening worthwhile



*New born screening for adults*



# What About DTC WGS?

- The tsunami may not materialize
- Available data thus far suggest little uptake by public
- Some / many will have their genomes analyzed outside of traditional venues
- A coming shift from DTC genotyping
  - Largely worthless and thus largely benign
- To DTC WGS
  - Sometimes medically informative but with much higher stakes
  - With occasional client receiving potentially devastating information
    - Lynch vs. BRCA1/2 vs. APP & FFI
- Stakes are sufficiently high that stricter regulation will (should) exist regarding such testing
- Interpretation will be complex enough so that expert (clinician) interpretation will be necessary



# Uncertainties in Clinical Genomic Analysis

## *Obligatory Reporting*

- When WGS is performed are we obligated to examine some genes regardless of the clinical indication for sequencing?
  - Doing so entails increased effort and expense
  - But can offer life-saving information to some
- Not a new problem
- A minimum set of obligatorily scrutinized genes
  - e.g. Lynch Syndrome, *BRCA1*, *RET*
- Informatics approaches to limited scrutiny of such genes should not be prohibitively expensive
- >0.5% of patients will have such IFs for which detection & reporting could be life-saving



# Uncertainties in Clinical Genomic Analysis

## *Maintaining Privacy*

- Genomic information is inherently identifiable
- Our ability to protect privacy of those sequenced has steadily eroded
- “Privacy is Dead. Deal with it.”
- Most people want some degree of privacy protection
- Clear guidelines are needed
  - With real penalties for violation



Sun MicroSystems CEO Scott McNealy

## Identifying Personal Genomes by Surname Inference

Melissa Gymrek,<sup>1,2,3,4</sup> Amy L. McGuire,<sup>5</sup> David Golan,<sup>6</sup> Eran Halperin,<sup>7,8,9</sup> Yaniv Erlich<sup>1\*</sup>

# A Few Final Uncertainties

- Gene Patenting
  - ~20% of human genes have patent claims upon them
  - How will this influence widespread genomic analysis?
- The threat of “allelism”?
- Genetic Discrimination
  - In the US GINA now protects against discrimination in the context of health insurance
    - But no protection exists in the realms of LTCI, Life Insurance and disability insurance

*“We envision a new type of community where people will come together around specific genotypes...”*

- Anne Wojcicki  
Co-founder of 23andMe



*We must work together as a community of experts to reduce harmful uncertainties that hold the field and our patients back*







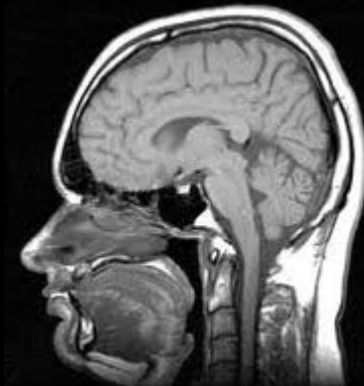




# Massively Parallel Sequencing as Just Another Medical Test

*With both potential & limitations*

- Claims are often made that “soon everyone will have their genome sequenced”
  - Typically predicated upon high perceived utility and low cost
  - Even if “free”, the perceived low cost is an illusion
    - The misapplication of medical tests is very expensive
      - Morbidity/mortality to individuals
        - » Think routine screening PSAs
      - Expense to society
- I suspect it will be applied as are other medical tests
  - When and if the situation warrants



# The Central Challenges of Clinical Genomic Analysis

## *Dealing with Surprises*

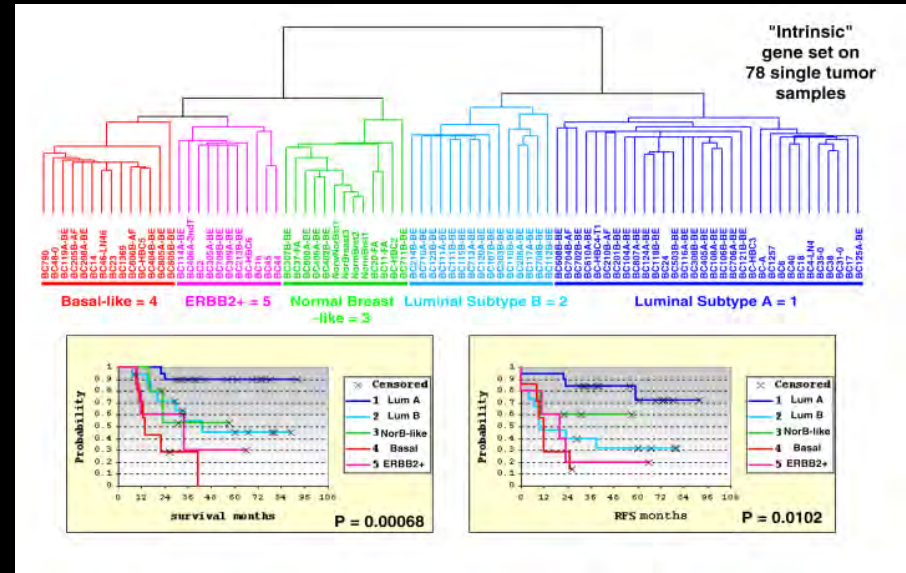
- The advent of robust genomic analysis inevitably leads to surprises
- Not necessarily a bad thing
  - Some such information will prove highly useful to participating individuals
  - Most is neutral or its impact is unknown
  - Some is overtly harmful
- IFs and all their attendant dilemmas are not new to medicine
- Deal with large amounts of data and surprises by taking a locus-based approach to categorization of potential results





# Somatic Genomic Analysis in Cancer Offers Unique Opportunities

- Cancer is fundamentally a genetic disease
- Somatic analysis of tumors offers opportunities to parse complex phenotypes & improve Rx
- Chemotherapeutic agents are toxic and thus excellent targets for the application of PGx



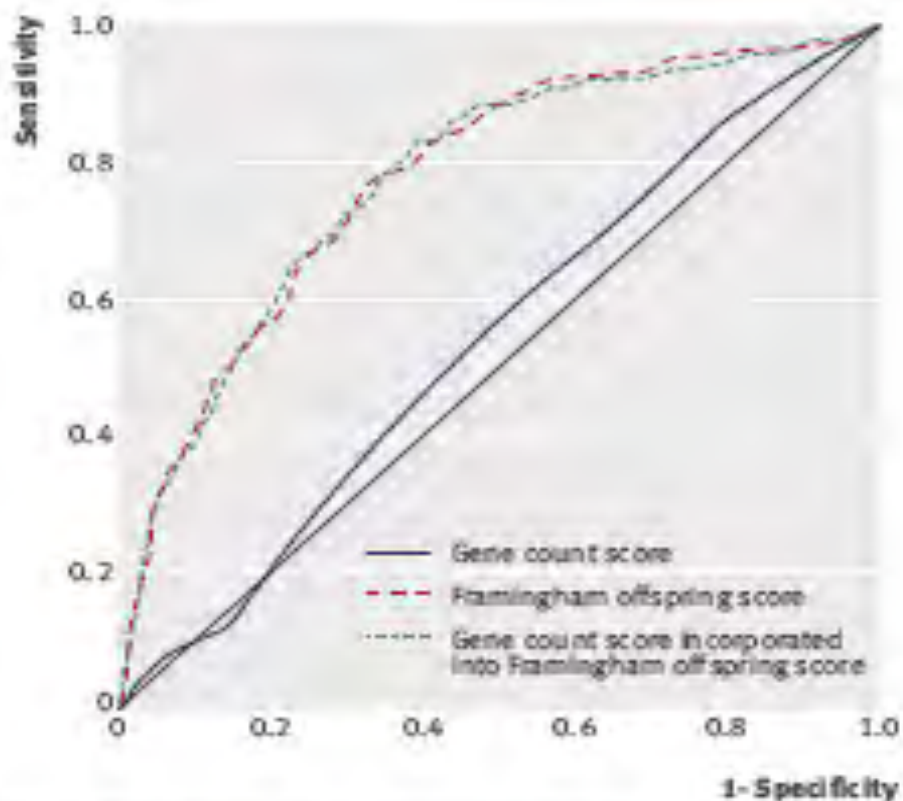
# *How Will Genomics Affect the Central Endeavors of Clinical Medicine & Public Health?*

- Prevention
- Diagnosis
- Treatment



# How Much Added Value?

BM



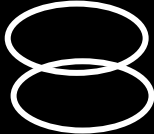
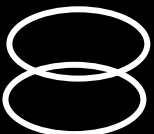
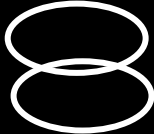
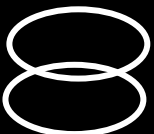
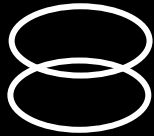

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Fig 1| Receiver operating characteristics curves for gene count score alone (area under curve 0.54, 95% CI 0.50 to 0.58), Framingham offspring risk score (area under curve 0.78, 0.75 to 0.82), and gene count score incorporated into Framingham offspring risk score (area under curve 0.78, 0.75 to 0.81)

# Risk Assessment is a Moving Target

Condition	23andMe Risk Susannah Wedgewood	DeCODE Risk Susannah Wedgewood
Age-Related Macular Degeneration	0.623	0.25
Breast Cancer	1.13	1.16
Celiac Disease	0.471	0.38
Colorectal Cancer	0.99	1.149
Crohn's Disease		
Heart Attack		
Multiple Sclerosis		
Obesity		
Prostate Cancer		
Restless Leg Syndrome		
Rhematoid Arthritis		
Type 1 Diabetes		
Type 2 Diabetes	0.808	0.76
Venous Thromboembolism	0.976	0.88

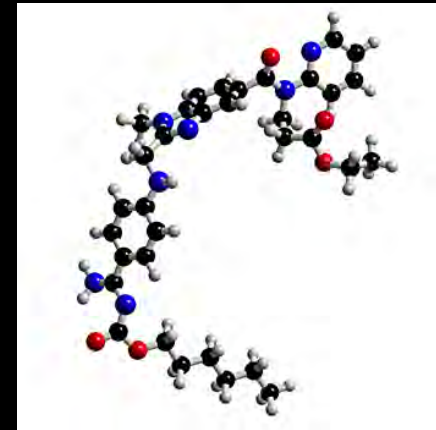
**Contradictory Risk Predictions for Prostate Cancer and Hypertension**

	Gender	Age	Condition	Company 1	Company 2	Company 3	Company 4
	Male	48	Prostate cancer	Average	Average	Below average	Above average
			Hypertension	Average	Below average	Above average	Not tested

Source: GAO.

# Medical Treatment and the Genome

- Improved treatment will eventually result from:
  - Parsing the underlying heterogeneity of disease
  - Identification of new drug targets
    - Allowing us to short circuit biochemistry & physiology
  - The time line is long for implementation
- PGx will make near-term contributions to care:
  - Some current utility
    - e.g. abacavir, tamoxifen, clopidogrel(?), warfarin(?)
  - Will not be applicable to all drugs
    - Disorder in question must be severe and available drugs must be problematic
      - » e.g. chemotherapy
  - Incorporation must hinge on case by case demonstration of improved efficacy, safety or cost
  - Working against PGx is the short market  $t_{1/2}$  of most drugs



*dabigatran*



# The Central Challenges of Clinical Genomic Analysis

## *Dealing with Lots of Data*

- Each of us has ~4 million variants
- Undue pessimism regarding analytic challenges
- The novel feature is quantitative, not qualitative
- The coming deluge is manageable
- The significance of the vast majority of genomic variants will be utterly unknown
  - Are thus clinically inconsequential and do not mandate reporting
  - Indeed we have no business reporting them

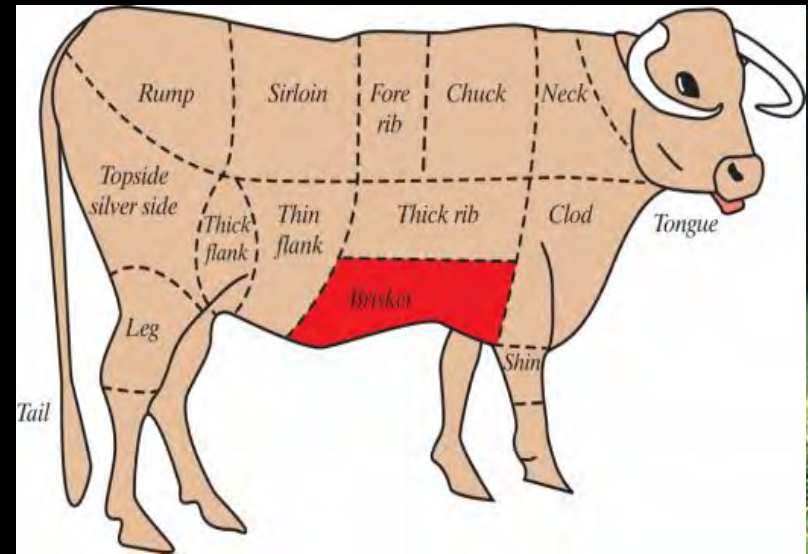


*Saved by  
our  
Ignorance*

# Binning by Loci

## *Cutting Up the Cow*

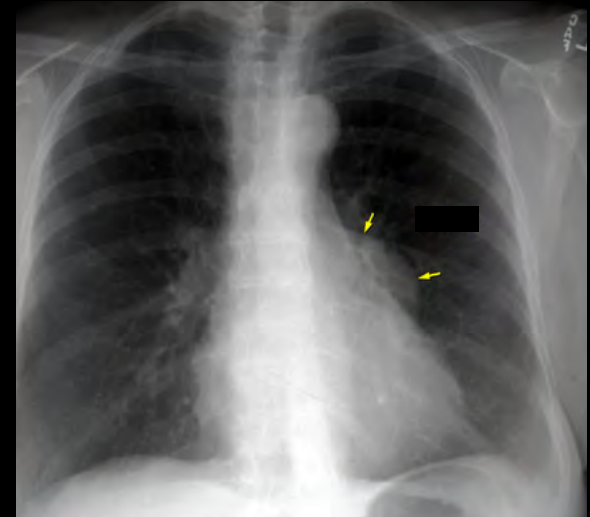
- WGS is often thought of as a “test”
- In reality it is 3 billion tests
- We can't swallow it whole
- We need to carve it up into manageable bits if we are to derive any utility from it
- Save the good parts and toss the rest
- Do so informatically



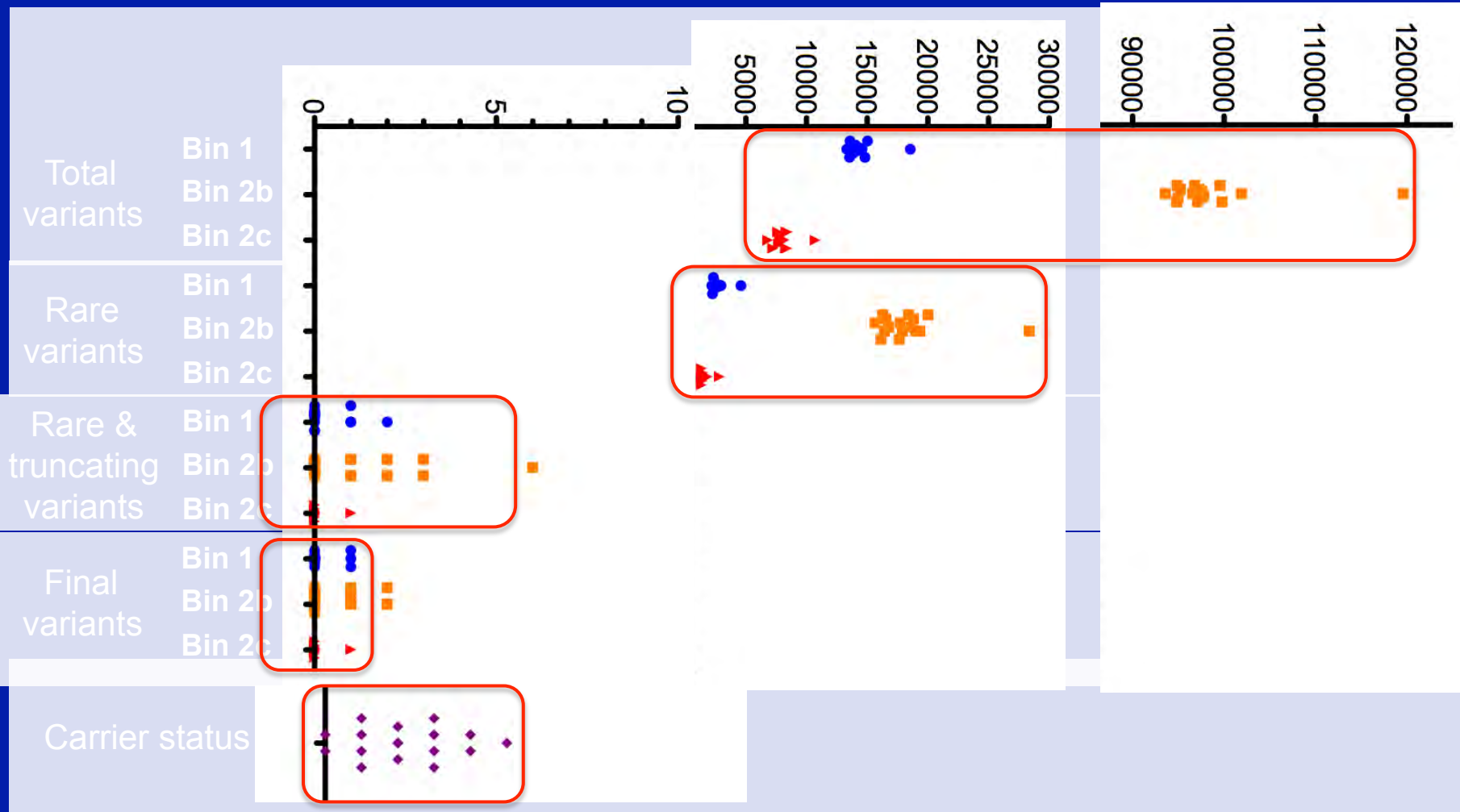
# Binning of Data / Results

*Facilitates Analysis, Reporting, Storage and Patient Choice*

- Classification by evidence of clinical utility (especially actionability) can guide reporting of IFs
  - Bin 1:
    - Actionable
      - Obligatorily reported
  - Bin 2:
    - Clinical validity only
    - Return can be tailored to patient desires
    - Categorically driven pretest counseling & delivery
  - Bin 3:
    - Of no known significance
      - Obligatorily ignored



# Binning results



# Sensitivity & Specificity – Striking the Right Balance

- Most serious analytic concern in the clinical setting is a crippling number of false positives
- The bar for calling a mutation deleterious must be high
  - Nonsense, frame-shift, known missense, canonical splice site, etc.
    - Cannot rely on predictive models in the clinical context
- Initially sensitivity will suffer
  - But such mutation categories represent the majority of mutations in most human disease genes
  - Not a new problem
  - Sensitivity will rise as our databases and predictive abilities improve

# Challenges to Realizing Genomic Medicine

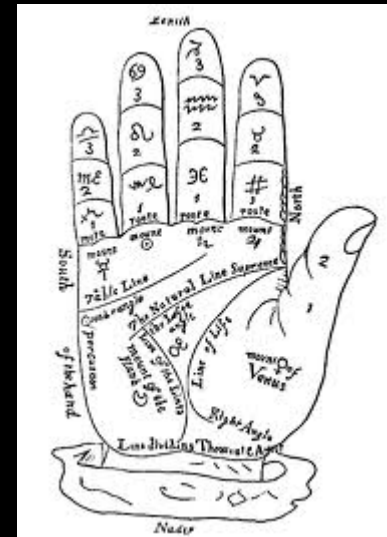
*How do we formulate the bin structure?*

- Too big of a job for each practitioner
- Inclusion / exclusion by a formalized aggregate of stakeholders & experts
  - Using evidence-based criteria
  - With ongoing study
  - Focus on clinical utility & *actionability*
  - Extant guidelines by professional organizations regarding actionability
  - Must be iterative
    - Today's bin 3 locus is tomorrow's bin 1
- Process must be evidence-based



# Assigning Loci to Bins

- Must be based on evidence of clinical actionability
  - Guidance from existing recommendations
- “Personal utility” not an argument for disclosure in the clinical setting
  - It varies in unpredictable ways and does not necessarily possess objective validity
  - The mission of clinical (and research) endeavors is not to satisfy individual’s curiosity
    - That’s what DTC Genetic testing companies and astrologists are for



# The Case for Evidence

*Good ideas are not sufficient to guide medical practice*

- Hormone Replacement Therapy
- Sleeping Babies & prevention of SIDS
- Anti-arrhythmics for PVCs
- Beta-Carotene supplements to prevent cancer
- Bed rest for back pain
- Coronary stents do not prolong life
- Excessively strict glucose control in diabetes
- PSAs may cause more harm than good





# Challenges to Realizing Genomic Medicine

## *Evidence*

- Science  $\neq$  Medicine
- Clinical medicine is messy
- Good ideas are insufficient to guide medical practice
- We need evidence of health benefit before incorporating new practices
- Can't demand RCTs for everything
  - We need novel approaches to evidence generation

Parachute use to prevent death and major trauma related to gravitational challenge: systematic review of randomised controlled trials

Gordon C S Smith, Jill P Pell **BMJ** VOLUME 327 20-27 DECEMBER 2003 [bmj.com](http://bmj.com)



Parachutes reduce the risk of injury after gravitational challenge, but their effectiveness has not been proved with randomised controlled trials

# Challenges to Realizing Genomic Medicine

## *Dissemination of Genetic Information to Providers*

- Providers and the public are ill-equipped to grapple with genomic medicine
- Nothing succeeds like success
  - Demonstrate utility and doctors will take interest
    - Genomics must be pulled into medicine, not pushed
- Genetics involves every specialty - but only in limited ways
  - It's easier to train oncologists to use the genetics they need than to train geneticists to be oncologists
  - Tremendous role for GCs, nurses, PA's
  - Just-in-time / point-of-care technologies
- Pay structures which reward interpretation and shared decision making at all levels (e.g. GCs)

# Challenges to Realizing Genomic Medicine

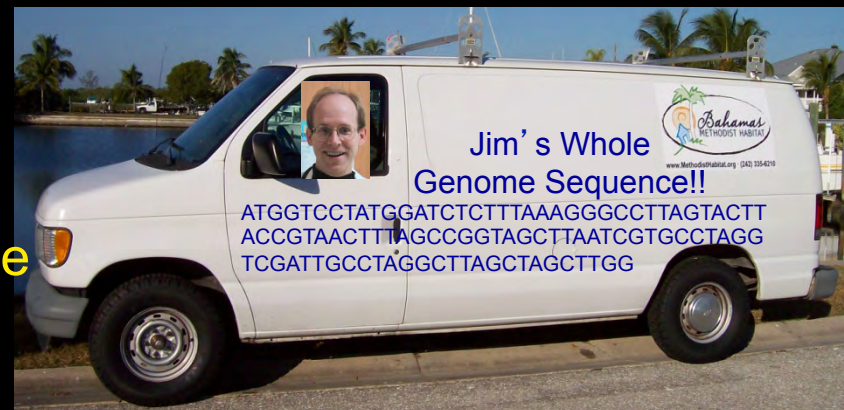
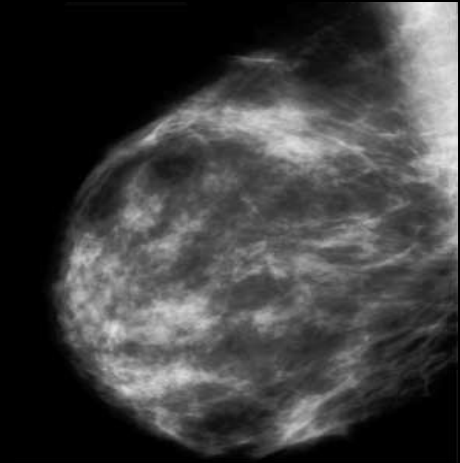
## *The Electronic Medical Record*

- A functional EMR is an absolute necessity for both exploring and using genotype/phenotype relationships as we accelerate WGS
- Data requirements will be large
  - But greatly attenuated by small number of variants that mean anything
  - Re-analysis of the sample may ultimately be optimal approach
- We will regularly uncover sensitive data
  - But this is no different from the current medical record
  - *All* medical records require protection
- In the realm of clinical medicine there's *nothing* exceptional about genomic information

# Challenges to Realizing Genomic Medicine

*“Genetic Tests are Different from Other Tests”*

- Genetic tests affect others
  - Infectious Disease
- Provide probabilistic information to asymptomatic
  - Cholesterol
- Our genome cannot be changed
  - Nor can much of what we discover medically
- Insurance discrimination
  - Actually *better* for genetics than the rest of medicine
- Unexpected results, FPs/FNs
  - Are a routine part of all clinical care
- DNA is “uniquely identifiable”
  - Zip code + DOB + spouse’s first name



# Social Challenges

- Genetic Discrimination
  - In the US GINA now protects against medical insurance discrimination
    - But no protection in the realm of LTCI, disability, life insurance
- The threat of “allelism”?
- Gene Patenting
  - ~20% of our genes have patent claims on them
  - How will this influence widespread genomic analysis?
- Privacy Issues
  - Genomic information is digital and easy to distribute
  - “Privacy is dead, deal with it,”
  - Bankruptcy of DeCode
- Who will control and have access this information?

*“We envision a new type of community where people will come together around specific genotypes...”*

- Anne Wojcicki  
Co-founder of 23andMe



Sun MicroSystems  
CEO Scott McNealy

# How Will NGS Affect the Central Endeavors of Clinical Medicine & Public Health?

- Prevention
  - By identifying the small % of individuals who harbor highly penetrant disorders for which proven preventive modalities exist
  - Allowing comprehensive preconception (and prenatal?) screening
- Diagnosis
  - For the minority (but still significant) proportion of diseases having a primarily genetic etiology
- Treatment
  - Preemptive delineation of certain useful PGx variants
  - Somatic parsing of genetic signatures, especially in cancer & ID
  - (Long Term) identification of drug targets and improved understanding of disease



# Realizing Genomic Medicine

- It's not an insurmountable task
- Keep a focus on clinical utility
- Creation of a centralized, evidence-based, iterative process to define clinically significant genomic findings
- Better assessment of environment
- Maintain a sober (but not strangling) focus on evidence

*Life is short, the art long,  
opportunity fleeting,  
experience delusive,  
judgment difficult*









# Gene Patents

- ACLU vs. Myriad decision recently announced by CAFC
- Mixed signals
  - 101 claims upheld 2-1
  - Broad method claims denied
- Next step likely SCOTUS
- Will it matter?
  - Expiration
  - Will the problem be solved by the world simply ignoring gene patents?



Hey Jim

8/3/2011

What do you think will happen with the Myriad lawsuit? The recent reversal is causing a lot of buzz. We are getting a new barrage of cease and desist letters from companies with patents on various genes for which we do clinical testing.

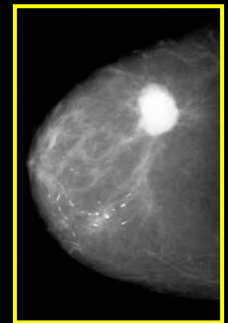
Have to meet with the lawyers and clinical lab admin folks next week to decide what to do. Since there is relatively new McLendon lab leadership (Herb Whinna and hospital admin person), we are going to have to start from scratch. What a royal(ty) pain in the ass!

# Challenges to Realizing Genomic Medicine

## *Ultimately Re-Evaluate Informed Consent*

- Tests with devastating implications, FPs/FNs, unexpected & ambiguous results are the norm in medicine
  - We routinely handle with shared decision making
  - When is IC really needed?
  - When is it actively counterproductive to care?
  - A category-based approach can facilitate patient education and more informed consent

WBC	6.7 K/uL	4.0-12.0 K/uL
LYM	31.8 %L	25.0-45.0 %L
MID	5.9 %M	0.1-15.0 %M
PMN	62.3 %G	35.0-55.0 %G
RBC	3.53 M/uL	4.00-5.30 M/uL
HGB	7.8 g/dL	11.5-14.5 g/dL
HCT	24.7 %	33.0-43.0 %
MCV	70.0 fL	76.0-90.0 fL
MCH	22.1 pg	25.0-31.0 pg
MCHC	31.6 g/dL	32.0-36.0 g/dL
RDW	19.4 %	11.5-15.0 %
PLT	473 k/uL	150-450 K/uL

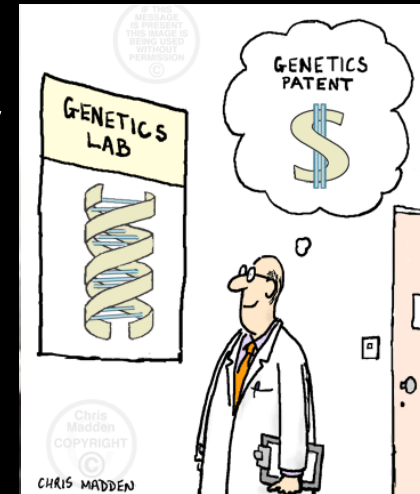


Comprehensive Metabolic Panel (w/ EGFR)		
Glucose	89	65 - 99 mg / dL
Urea Nitrogen (BUN)	19	7 - 25 mg / dL
Creatinine	1.35 H	0.78 - 1.34 mg / dL
eGFR Non-African American	57 L	> or = 60 mL/min/1.73m2
eGFR African American	>60	> or = 60 mL/min/1.73m2
BUN / Creatinine Ratio	14	6 - 22 (calc)
Sodium	140	135 - 146 mmol / L
Potassium	4.5	3.5 - 5.3 mmol / L
Chloride	105	98 - 110 mmol / L
Carbon Dioxide	22	21 - 33 mmol/L
Calcium	9.4	8.6 - 10.2 mg/dL
Protein, Total	7.0	6.2 - 8.3 g/dL
Albumin	4.7	3.6 - 5.1 g/dL
Globulin	2.3	2.1 - 3.7 g/dL (calc)
Albumin / Globulin Ratio	2.0	1.0 - 2.1 (calc)
Bilirubin, total	1.0	0.2 - 1.2 mg/dL
Alkaline Phosphatase	50	40 - 115 U/L
AST	24	10 - 40 U/L
ALT	32	9 - 60 U/L

Consider the following few slides if I want to address drivers of hype

# Drivers of the Misuse of Science

- Naïve enthusiasm and hope
- Scientists are human (really!)
  - We want promotion, attention, better salaries
    - Funding is (always) tight – and getting tighter
- Ideology
- Profit motives
  - Amplified by intertwining of industry and academia
  - Envisioning science primarily as an engine of economics



*Scientific Illiteracy among the public and policy makers*

# Avoiding Scientific Misuse

- We will never abolish the motives that lead to the misuse of science
  - Appropriate regulation
  - An insistence that “scientific” claims be founded on good science
  - Transparency
    - e.g. links among academia and industry must be visible
  - Grooming a scientifically literate society



# Creating a Scientifically Literate Society

## *Statistical literacy*

- The single educational reform that would accomplish the most
  - Profoundly neglected at all levels of education
  - Useful to all
    - The public
      - Regardless of their focus in life
    - Policy makers
    - The press
- “Statistics are boring and dry...”



# Creating a Scientifically Literate Society

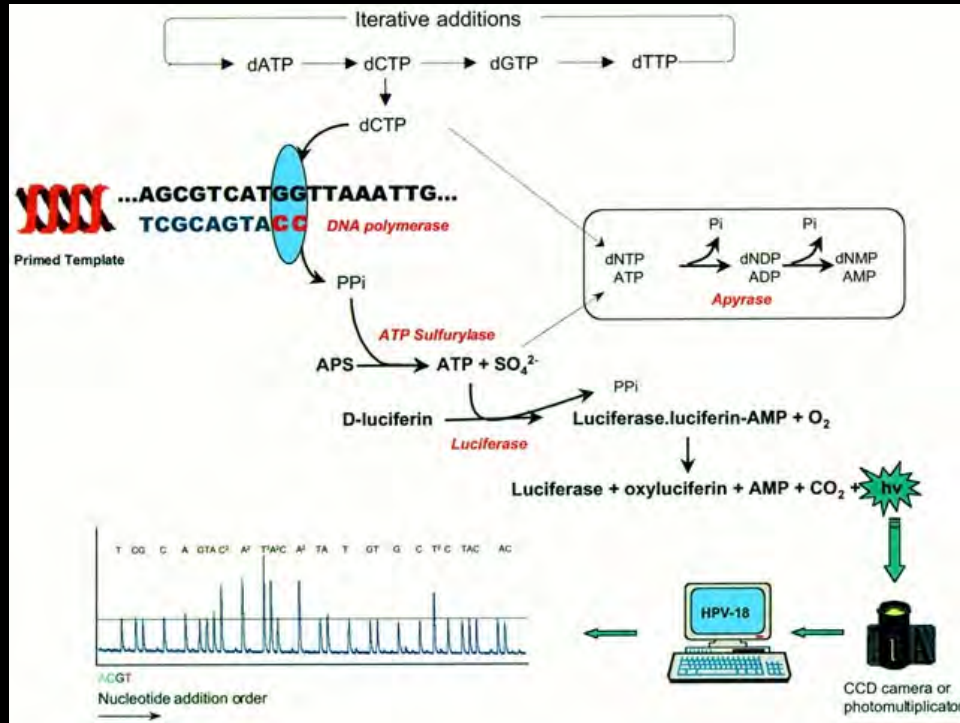
## *An Emphasis on Beauty*

- A firm grounding in science is as necessary as the humanities to fully appreciate the beauty of our world
- Sheer pleasure in the act of understanding
- Amplifying awe and wonder





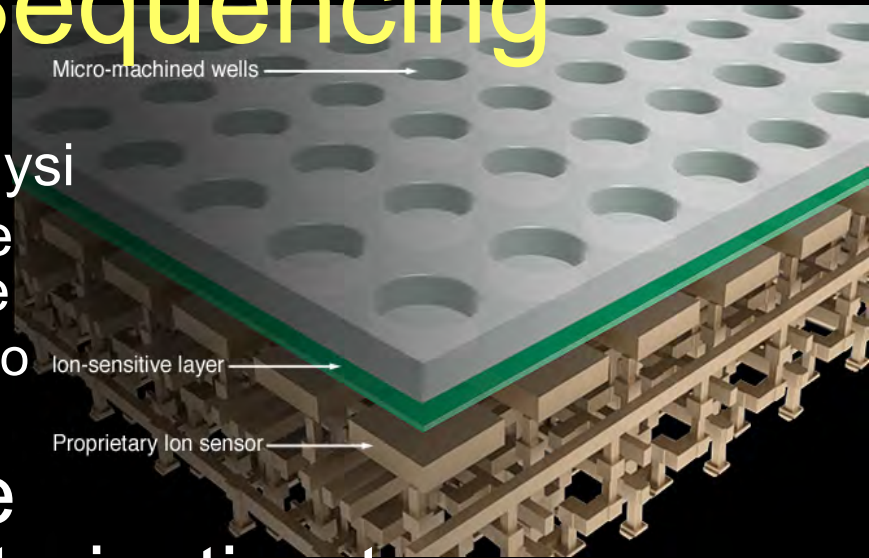
# Sequencing DNA



- A variety of approaches now exist
- The biggest limitation to sequencing is that the genome is big and prior technologies proceeded one base at a time on one DNA strand at a time
  - So carrying out these reactions for an entire genome is slow and expensive

# DNA Sequencing

- A variety of chemical and physical
- The biggest limitation has been to place one fragment at a time
  - So carrying out these reactions is slow and expensive
- Next Generation Sequencing (NGS) has the advantage of miniaturization to engage in massively parallel analysis
  - Essentially carrying out millions of sequencing reactions simultaneously in each of 10 million tiny wells
- Sophisticated computer analysis of huge





# What About DTC WGS?

- The tsunami may not materialize
- Available data thus far suggest little uptake by public
- Some / many will have their genomes analyzed outside of traditional venues
- Interpretation will be complex enough so that expert (clinician) interpretation will be necessary
- Stakes are sufficiently high that regulation will exist regarding how such testing is offered





# Thresholds for ROR

## *Personal Utility is Not Good Enough to Mandate ROR*

- “Personal utility” can be invoked in any situation
  - It varies in unpredictable ways and does not necessarily possess objective validity
  - Is thus not an argument for disclosure in this context
  - Neither is release of information just because “people want it”
    - The mission of clinical (and research) endeavors is not to satisfy individual’s curiosity
      - » That’s what DTC Genetic testing companies and astrologists are for



# Dealing with Lots of Data

- Each of us has ~4 million variants
- The coming deluge is manageable
- The significance of the vast majority of genomic studies will be utterly unknown
  - Are thus clinically inconsequential and do not mandate reporting
  - Indeed we have no business reporting them
- A few will be tangibly useful to subjects
  - Report only those with established evidence of health benefit
- Accomplish this by taking a locus-based approach to categorization of potential results
  - Learn how to manage such results from how we manage imaging and other lab results



*Saved by  
our  
Ignorance*



# Challenges to Realizing Genomic Medicine

*Dealing with vast amounts of information*

- The coming deluge is manageable
  - Very few WGS findings will rise to the level of significance soon
  - Reporting all variants to “sequencees” is irresponsible and poor patient care
  - Learn how to manage such results from how we manage imaging and other lab results
  - We must centrally curate meaningful variants and report those to patients



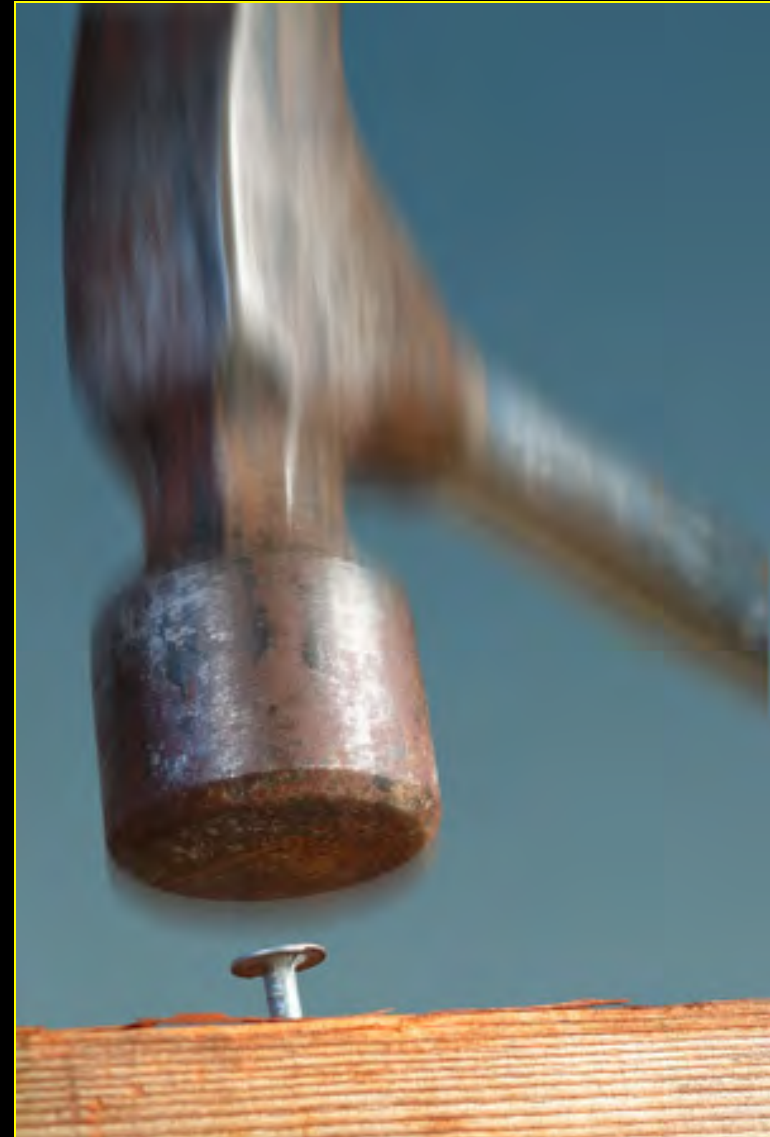
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our  
Ignorance*





# What's The Right Nail For Sequencing Technology?

- As a diagnostic tool in enigmatic patients
- As a public health tool to identify those apparently well individuals with dramatically increased risk of preventable disease





# Challenges to Harnessing NGS in Clinical Medicine & Public Health

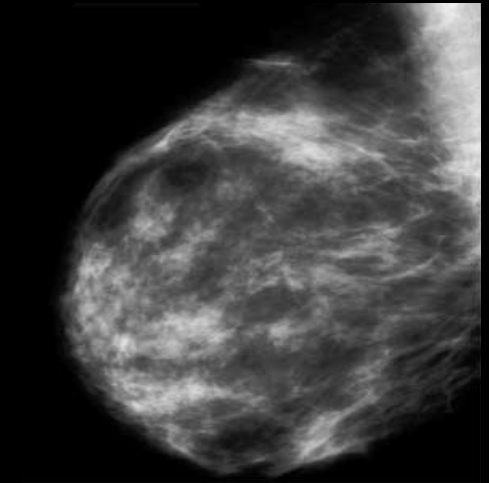
- Accuracy
  - 99.99% accuracy x 3 billion nucleotides
  - = 300,000 errors *per patient*
- Interpretation of the variants we find
- Storage and access in the medical record
- Education of patients and public
- Issues of consent and reporting
- Education of providers

# Incidental Information

- Upon WGS we discover many things we weren't looking for, which we can do nothing about
  - Some are trivial or indeed beneficial
  - But some are problematic
  - And we will occasionally discover lethal, untreatable late onset conditions
- Some wish to know such information; others do not
- We must grapple with how to inform patients about such informa
  - protect patients from individual autonomy and choice

# In the Clinical Arena, Genetic Information Presents Few Qualitatively New Challenges *Eschewing Genetic Exceptionalism*

- Genetic tests affect others
  - Infectious Disease
- Provide probabilistic information to the asymptomatic
  - Cholesterol
- Our genome cannot be changed
  - Nor can much of what we discover medically
- Insurance discrimination
  - Actually better for genetics than the rest of medicine
- Unexpected results, FPs/FNs
  - Are a routine part of all clinical care
- DNA is “uniquely identifiable”
  - Zip code + DOB + spouse’s first name



# Challenges to Realizing Genomic Medicine

- Creation of a centralized, evidence-based, iterative process to define clinically significant genomic findings
  - Thorough health-oriented phenotypic annotation of variants
  - Enabling realistic shared decision making among a range of providers, technology interfaces and patients
  - Understanding the ethical dimensions, patient preferences & values regarding returning incidental results
  - Maintaining a ~~sole~~ focus on evidence
- Life is short, the art long,  
opportunity fleeting,  
experience delusive,  
judgment difficult*

















Jim's Whole  
Genome Sequence!!

ATGGTCCTATGGATCTCTTTAAAGGGCCTTAGTACTT  
ACCGTAACCTTAGCCGGTAGCTTAATCGTGCCTAGG  
TCGATTGCCTAGGCTTAGCTAGCTTGG



# Potential Examples for Discussion

- BRCA1/2
  - Possesses clinical utility/actionability
    - Bin 1
- FBN1 (Marfan)
  - Possesses clinical utility/actionability
    - Bin 1
      - Other examples: NF1, Lynch-associated genes, LQT
- ApoE
  - Possesses clinical validity but not actionability
  - Some “risk” to patient
  - Bin 2b
    - Return with appropriate patient involvement/counseling
- Risk SNPs for common disease
  - No clinical utility/actionability
  - Questionable clinical validity
  - Little harm
  - Bin 3

# Hard Calls (?)

- Factor V Leiden
  - No clear actionability in asymptomatic individuals
  - Professional guidelines discourage screening (and thus reporting of FV status to asymptomatic individuals)
  - Bin 2a
- HFE
  - Easy and safe intervention to avoid potentially serious disease
  - Low penetrance
  - Good chance of diagnosis clinically prior to irreversible manifestations
  - Expert recommendations *not* to screen
  - Bin 2a
- Fragile X premutation male
  - Bin 2b ?
- Fragile X premutation female
  - Bin 2a ?
- Macular degeneration risk SNPs
  - Bin 2a
- Carrier status for lethal AR disease
  - Bin 2b

# Potential Examples

## *Bin 2c*

- Reporting the discovery of a Huntington Disease mutation
  - Pros:
    - Determinative (i.e. high RR/penetrance)
      - The information is thus at least valid
    - Would allow for family planning
    - May possess “personal utility” for some
  - Cons:
    - No medical actionability
    - Most (~80%) of those who understand the disease and know they are at risk decline testing
    - Potential harm in the sense of LTC insurance, disability, life insurance



*Lack of tangible medical actionability and real risk of psychological harm argue against disclosure*

# Potential Examples

*Bin 2b*

- Reporting *APOE* status

- Pros:

- Modestly predictive
- Personal utility for some
- Highly selected individuals who are motivated to learn of status deal with information well (REVEAL Study)

- Cons:

- Not highly predictive (i.e. modest RR/penetrance)
- No medical actionability





# Potential Examples

## *Bin 2a*

- Reporting risk SNPs for Common Diseases
  - Pros
    - Some may perceive personal utility
    - Little potential for psychological harm
  - Cons
    - Poorly predictive
    - No evidence to suggest such knowledge improves outcomes
    - Rapidly shifting terrain



July 22, 2010

### DIRECT-TO-CONSUMER GENETIC TESTS

Misleading Test Results Are Further Complicated by Deceptive Marketing and Other Questionable Practices

### Contradictory Risk Predictions for Prostate Cancer and Hypertension

	Gender	Age	Condition	Company 1	Company 2	Company 3	Company 4
	Male	48	Prostate cancer	Average	Average	Below average	Above average
			Hypertension	Average	Below average	Above average	Not tested

Source: GAO.

# Potential Examples

## *Bin 3*

- A clearly deleterious mutation in a highly conserved gene of unknown function or medical relevance
  - Pros:
    - ?
  - Cons:
    - We don't understand the gene/variant or its medical relevance
    - Thus reporting it provides no tangible benefit



# Potential Examples

## *Bin 1*

- Clearly deleterious mutation in *BRCA1*
  - Pros:
    - Clear actionability
      - Increased surveillance
      - RR surgery
    - High penetrance
    - Value to family members
    - Professional organizations with recommendations
  - Cons
    - Potential for psychological harms
- Other examples: *NF1*, *FBN1*, *MSH2*



*Context of delivery is critical to avoid harms*

# Context of Delivery

- Reported variants must be confirmed in a CLIA lab
  - Funds must be allocated for this
    - Practical and affordable given rarity of this event
- Must be delivered by a clinician
  - Perhaps by telephone - but with offer of personal f/u
- No charge to subject (who is now a patient)

# All Genetic Changes Are Not the Same

## *Variants of Uncertain Significance in Bin 1 Genes*

- A given variant must meet a high threshold for likely deleterious nature before reporting
  - Frame shift
  - Nonsense
  - Truncating
  - Missense if previously confirmed to be deleterious
- I would argue against reporting other VUS
  - A low *a priori* chance of being deleterious in a non-clinical population
  - Harm to both subject and research could be significant if false positives are not minimized
    - VUS's are common











# Bin 1

Definition

Clinical Utility

Examples

BRCA 1/2,  
Lynch, FAP,  
MEN2, HCM,  
Mendelian  
Diseases  
actionable  
PGx loci...

Practice

Integrate into  
practice now;  
encourage  
use

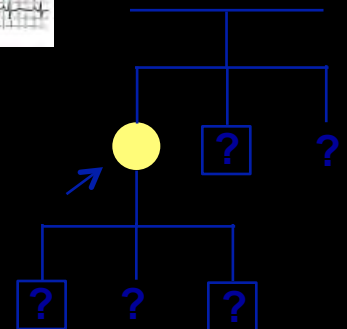
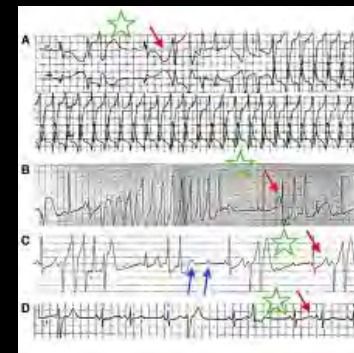
Coverage

Yes

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# Next Generation Sequencing as a Clinical Diagnostic Tool

- 47 yo female with sudden cardiac arrest
- Resuscitated successfully
- EKG reveals “Long QT Syndrome”
  - High risk for sudden death
  - Dozens of genes implicated
- Application of NGS to detect mutation
- Thereby guiding patient’s treatment and prevention of death in family members



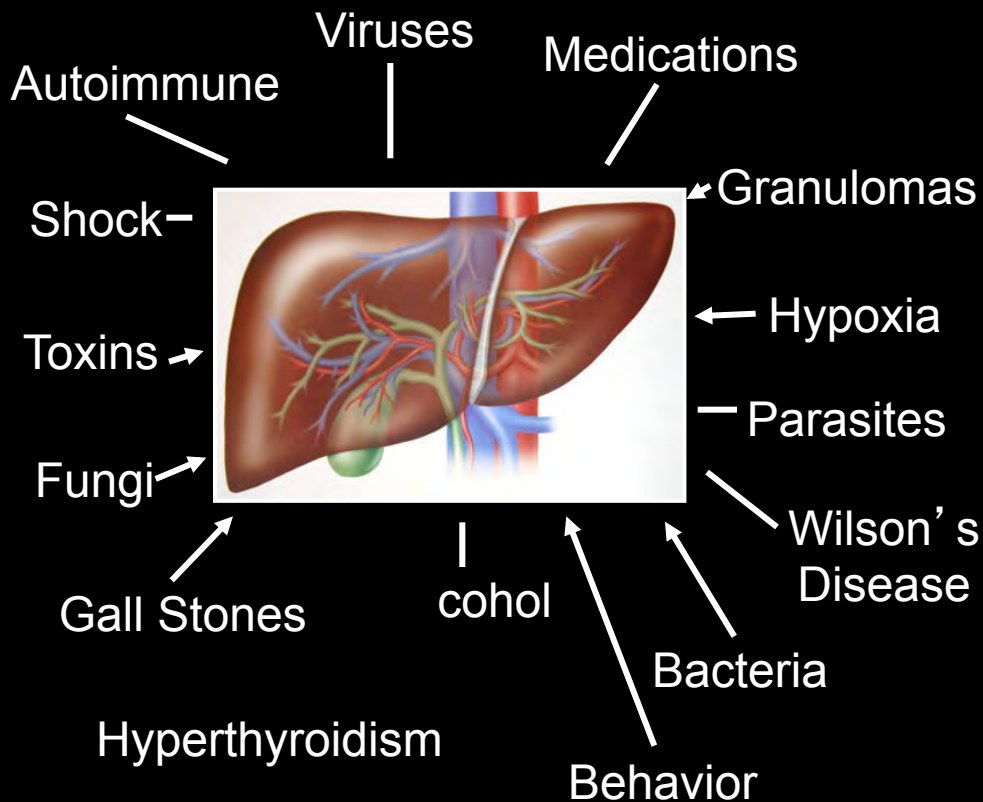
# Next Generation Sequencing as a Public Health Tool

- ~0.25% of US women (375,000) carry a mutation in *BRCA1/2*
  - At very high risk of breast and ovarian cancer
    - 85% lifetime breast cancer risk
    - 25-50% lifetime ovarian cancer cancer
- Knowledge of risk allows prevention
  - Currently we only can identify such women once several family members have developed cancer
- NGS allows population screening for high risk preventable disorders
  - Cancer predisposition, cardiac disease, etc.
  - ~1-2% of population carry such mutations
    - 3-6 million individuals in the US with preventable disorders if identified

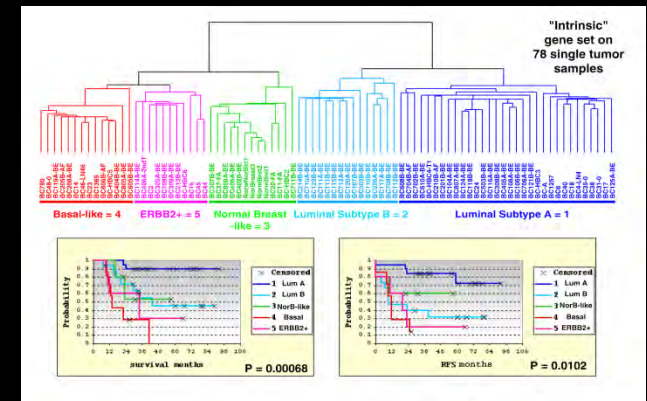




# Limitations of Genomic Diagnostics



- Somatic analysis offers opportunities to parse complex phenotypes & improve Rx
- Germline genomic analysis is unlikely to transform primary diagnosis of most human diseases
  - Simply because the etiology of most diseases have many complex non-genetic components

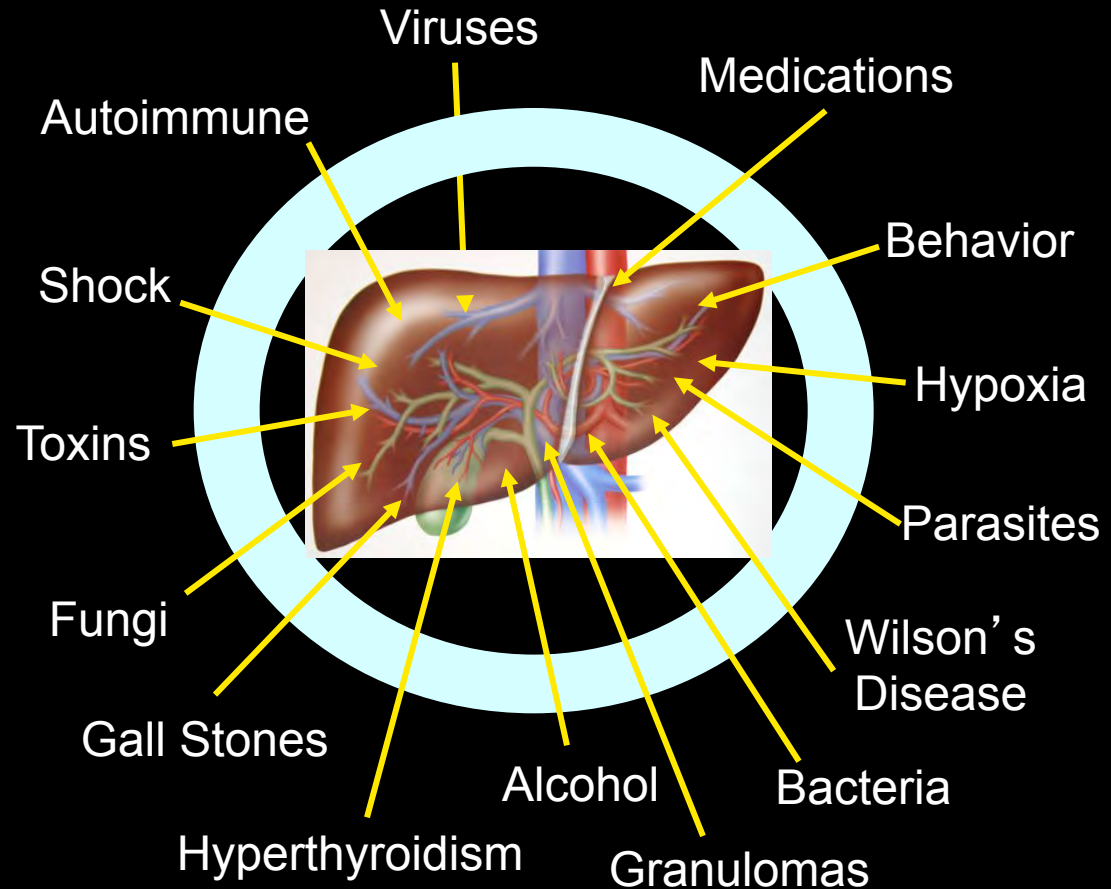


# Challenges to Realizing Genomic Medicine

## *Future Investments*

- The genetic component of disease is limited
- But is distributed widely
  - i.e. virtually all other etiologic factors likely interact with it
- We must invest heavily in phenotype/genotype analysis
- And environmental assessment
  - Much more difficult than genetics
  - Analog vs. digital

*Understanding the environment is the only way to understand the genetics of disease causation*



# Little Added Value

Year	Researchers	Disease	Genetic variant	AUC	$\Delta$ AUC
2005	Lyssenko et al.	Type 2 diabetes	3 establ. variants	0.68	+0.00
2006	Podgoreanu et al.	MI after surgery	3 (out of 48)	0.70	+0.06
2007	Humphries et al.	CHD	4 (out of 12)	0.66	+0.04
2007	Morisson et al.	CHD	11 (out of 116)	0.76	+0.01
2008	Vaxillaire et al.	Type 2 diabetes	3 (out of 19)	0.82	+0.00
2008	Zheng et al.	Prostate cancer	5 (out of 16)	0.61	+0.02
2008	Kathiresan et al.	CVD	9 (out of 11)	0.80	+0.00
2008	Lango et al.	Type 2 diabetes	18 establ. variants	0.78	+0.02
2008	Van Hoek et al.	Type 2 diabetes	18 establ. variants	0.66	+0.02
2008	Meigs et al.	Type 2 diabetes	18 establ. variants	0.90	+0.00
2008	Lyssenko et al.	Type 2 diabetes	11 establ. variants	0.74	+0.01



# Genetics and Soccer

*“Soccer is the sport of the future in America....*



*...and it always will be.”*

# Acknowledgements

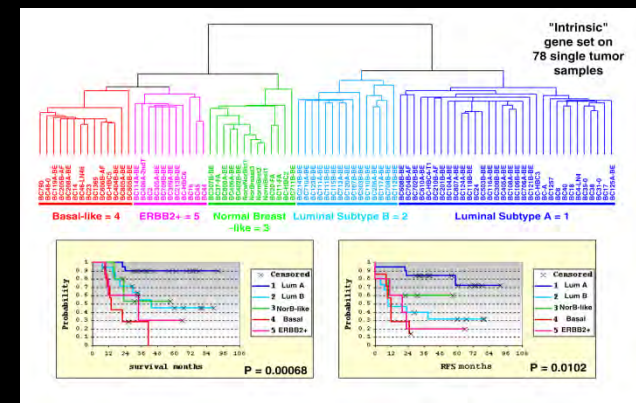
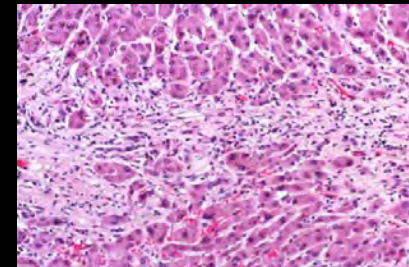
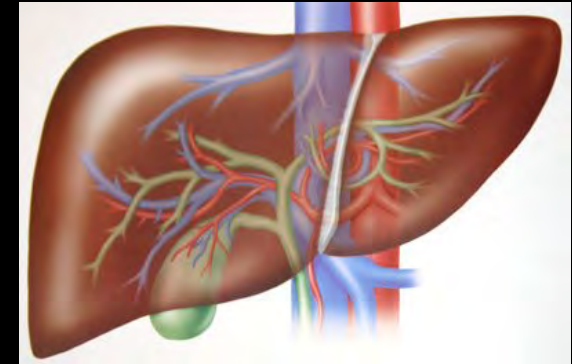
- Eric Green
- Chris Austin
- Jonathan Berg
- Wylie Burke
- Tim Caulfield
- Greg Feero
- Mark Guyer
- Muin Khoury
- Theresa Marteau
- Howard McLeod
  - Eric Meslin
  - Clifford Reid
- Marc Williams

# Genomic Diagnosis

## 2° Diagnostics

### Where Genomics Comes into it's Own

- Secondary diagnostics
  - “What subclassification of a particular disease does my patient have?”
- Powerful because most “diseases” are highly heterogeneous
  - The human body can respond in only a limited number of ways to myriad insults
  - The microscope allowed us to parse 1° diagnoses
    - Better prognosis and treatments
  - By querying those with a given 1° diagnosis at the (typically) somatic molecular genetic level we can further parse an individual's disease
    - w/o understanding underlying biology



# The Paradox of Risk Information

- “Knowledge is Power”...or is it?
- It is often maintained that knowledge of one’s genetic risk will benefit patients
- Does knowledge of increased risk of obesity, diabetes, CVD lead to improved lifestyle?
- Little evidence that genetic information *per se* is more effective than other types of information in getting people to change their long-term behavior
- And if it is...
  - For everyone I find who is at increased risk, I’ll find those at *decreased* risk
    - Will such information give such individuals license to not pursue healthy lifestyles?



# Novel Approaches to Evidence Acquisition are Needed

- RCTs supply vital information
  - At great expense
  - Are sometimes not needed
- Other models must be harnessed
  - Innovative study designs
  - Provisional approval by payers
  - With ongoing & post-market data accrual
  - Evidence thresholds can be calibrated to risk of harm



# Vast Amounts of Information Will Be Generated



## *Saved By Our Own Ignorance*

- The significance of the vast majority of findings from WGS will be utterly unknown & thus will be clinically inconsequential
  - We can and should disregard them in the clinical arena
    - While they are studied with ongoing phenotyping efforts
  - A few will be useful now
    - Implement those for which evidence exists for health benefit

# Bin 1

Definition

Clinical Utility

Examples

BRC1/2,  
Lynch,  
MEN2, MEN1  
Mendelian  
Diseases

actions

loci

Practice

Integrate into  
prac  
enc

Coverage

Yes







# Practical Promises and Challenges of Genomic Based Clinical Care

- Where does future promise lie?
- What are some of the challenges which must be addressed to realize the potential of Genomic Medicine?
- What can be implemented now?



# Practical Promises and Challenges of Genomic Based Medical Care

- Medical management revolves around two\* primary activities:
  - Diagnosis
  - Treatment

*How will genomics affect these central endeavors in caring for the sick patient?*

\*I will not discuss screening/prevention

# Central Challenges to Realizing Genomic Medicine

- Creation of a centralized, evidence-based, iterative process for defining clinically significant genomic findings
- Thorough health-oriented phenotypic annotation of WGS findings
- Better assessment of environment
- Enabling shared decision making between providers, technology interfaces and patients

# The Human Genome Project vs. The Hubble Space Telescope

- The public funded the HST because it is interesting and satisfies our fundamental curiosity
  - With some justification by trickle down benefits
    - 0.3% of US budget devoted to NASA
- Health care consumes 17% of the US GDP
  - An expenditure only justified if practical benefits result



*The HGP was sold to the public because of its practical promise*

# Improved Treatment and the Genome

## *Pharmacogenomics*

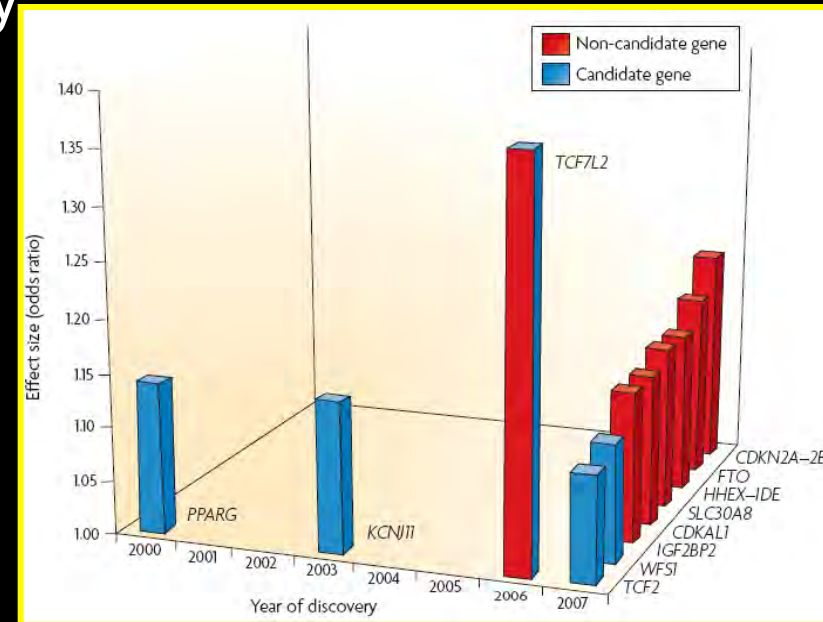
- PGx is already a reality for select agents
  - e.g. abacavir, clopidogrel, herceptin
    - warfarin, tamoxifen
  - Will not be applicable to all drugs
    - The determinants of efficacy for many drugs will have little genetic component
    - Wide therapeutic window
    - Redundant elimination
    - The best predictor of any drug's efficacy is compliance
  - PGx will be most useful for agents:
    - With robust genomic component of variability
    - With narrow therapeutic window
    - Used to treat serious disorders
    - For which alternatives exist



# Improved Treatment and the Genome

## *Novel drug targets*

- Genomics allows us to short circuit biochemistry & physiology
- GWAS are defining numerous new drug targets
- Little reason to think RR is correlated with promise as drug target
- An infrastructure exists to capitalize on genomic information
  - However, that infrastructure is expensive has a poor absolute success rate and its time-line is long
- New models are needed
  - e.g. TRND & NIH Chemical Genomics Center





Definition of a healthy 85 yo...

# Ancient Roots of Personalized Medicine

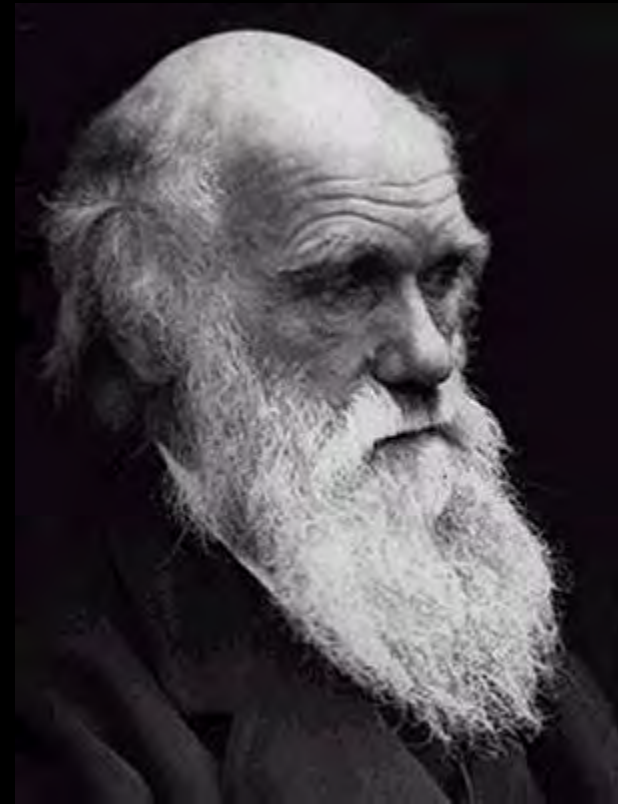


“But remember throughout that no external cause is efficient without a predisposition of the body itself. Otherwise, external causes which affect one would affect all...”

# The Darwinian Roots of Personalized Medicine

- Darwin overturned “essentialist” thought in biology
  - Fixed species modeled on an archetypical ideal
- He pointed to the importance of individual variation
- This insight lies at the root of “personalized medicine”

*Individualized Medicine seeks to exploit such variation for better health*



# The Promise of Individualized Medicine

## *Screening, Diagnosis, Management*

- The current status of screening in medicine
  - Relatively little benefit
  - Actual harm to some
  - Tremendous waste of resources
- Current drug therapy in medicine
  - Efficacy varies widely
  - Adverse effects are common *and unpredictable*
  - Wasted resources and time



***We now have the ability to analyze the individual's genome deeply and define medically important variation***











# What Should be Our Agenda for Realizing the Promise of Genomic Medicine?

- We should seek to discover which genomic advances can improve patient/public health
- We must insist on data which demonstrate safety and benefit before implementing what seem like good ideas
  - Such benefit may be in
    - Outcomes
    - Costs
      - For individuals or for populations



# How Do We Achieve That Agenda?

- Resist impatience and the seductive power of good ideas
- Maintain an insistence on appropriate data
- Increased funding and incentivize:
  - Comparative Effectiveness Research
  - Translational medicine
  - Comprehensive and integrated databases of reference sequences, variants and phenotypes
  - Appropriate regulatory agencies (e.g. FDA)
- Don't forget to address ELSI challenges
- Innovate with regard to our data demands

# (Multiplex Sequencing or WGS?)

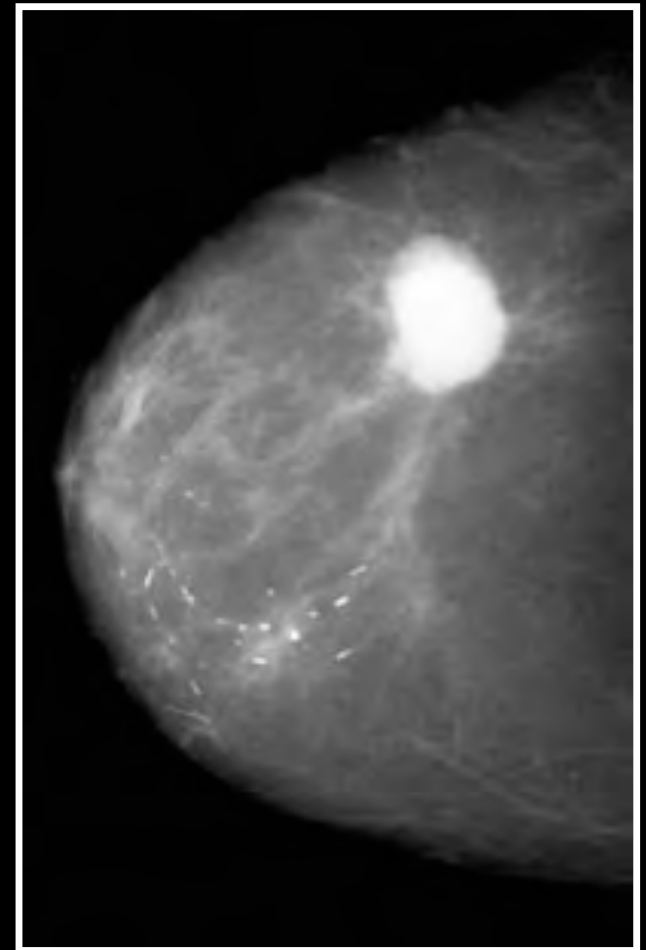
- One can argue for MS instead of WGS given the low number of validated variants with utility
  - Already clinically viable
    - e.g. RP, Lynch Syndrome, HSPP, SCA, etc.
- WGS may be just as cheap (or cheaper)
- WGS may well be done anyway (due to market forces)
  - Making it all the more vital to pursue in the context of careful study
- We don't necessarily know enough to decide which multiple genes to sequence in a given patient
- WGS, coupled with appropriate study, phenotypic annotation & follow-up offers an added wealth of data
- Clinical vs. public health applications could argue for different approaches
  - MS having the edge in public health context

*Thoughtful pursuit of clinical WGS in the form of pilot programs*



# A Closer Look at Genetic Exceptionalism

- A test with potential for profound medical & psychological implications
  - Might be falsely reassuring
  - Might reveal highly disturbing news
    - e.g. “you have a potentially lethal disease”
  - Frequently results in ambiguous findings
- Is highly imperfect
  - 13% false negative rate
  - 10% false positive rate



Mammography

# How Does Medicine Currently Deal With Potentially Toxic Information?



- Recent h/a's
- Subtle neurological finding on exam
- Chance of a brain tumor

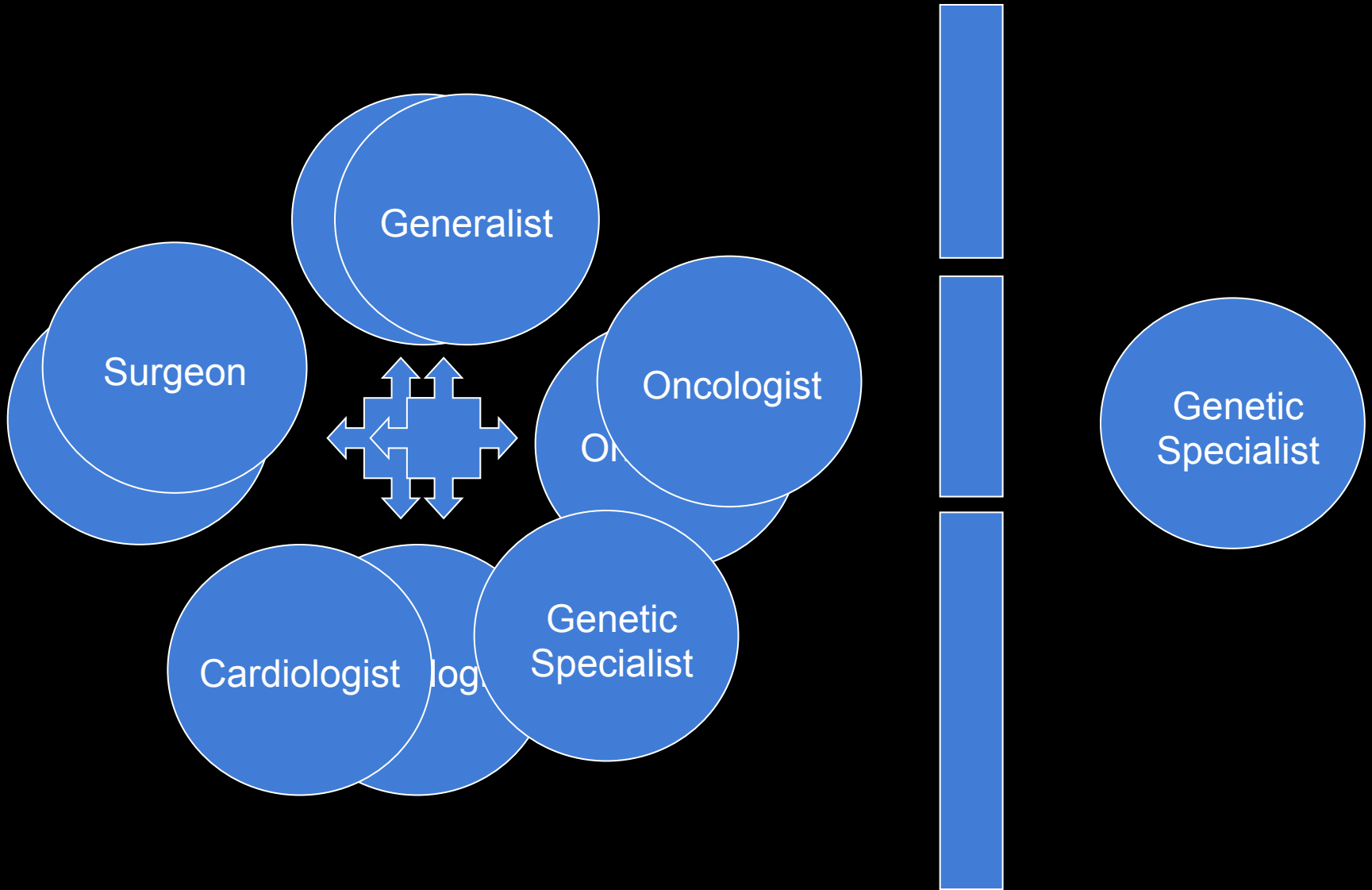
- The generalist discusses possibilities with the patient
- Orders a potentially definitive test (e.g. MRI)
- If that reveals a tumor, referral to a specialist (e.g. neurosurgeon and oncologist)
- Necessitates that the generalist is knowledgeable, sensitive and that a measure of trust exists

# A New / Old Model: *Incorporating Genetics into Medicine's Mainstream*

- Referral to a genetic specialist is logical once a suggestion of genetic implications is uncovered
- This is precisely how other specialties work
  - The generalist consults the cardiologist *after* he or she has determined that heart disease may be present
- The fact that “toxic information” might ensue does not necessarily warrant the requirement for informed consent *simply because that information is of a genetic nature*



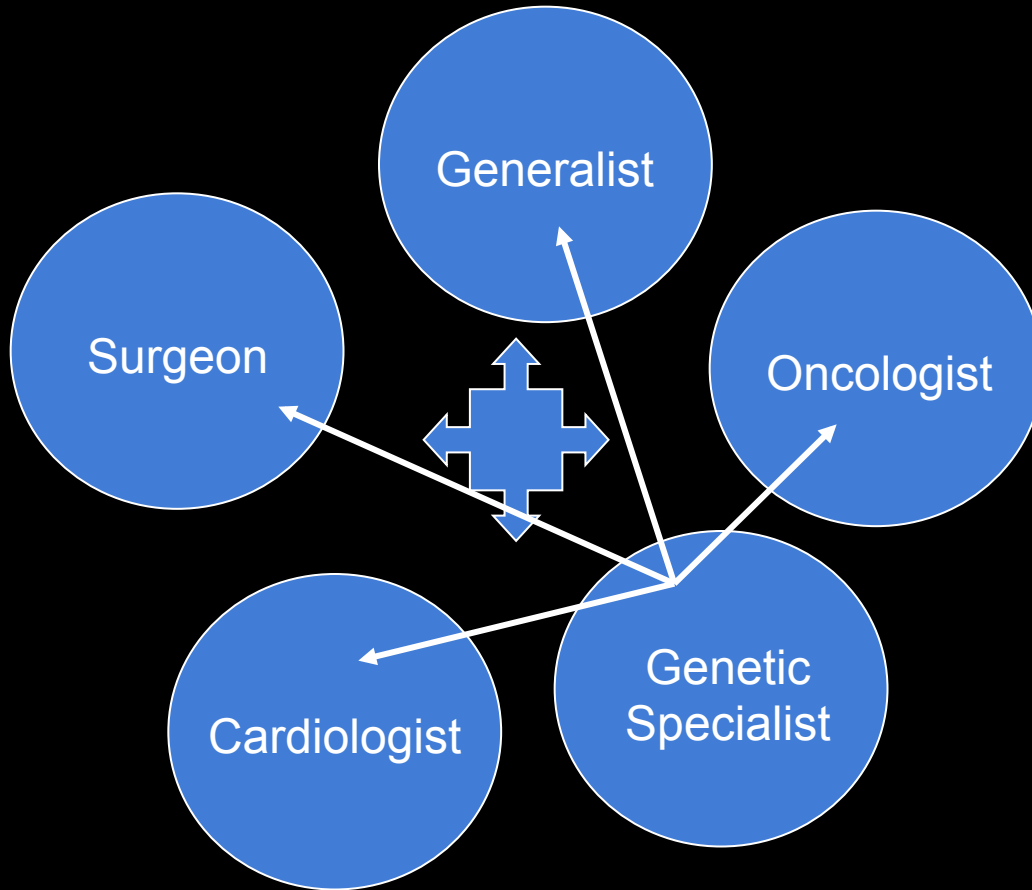
# Genetic Exceptionalism



# Genetic Inclusion

- Requirements for Inclusion

- Genetics must prove its relevance to patient care
- Formulation of clear guidelines for referral
- Adequate # of genetic providers
- Genetic education of physicians & patients
  - demystification of genetics



*The responsibility of the genetics community*



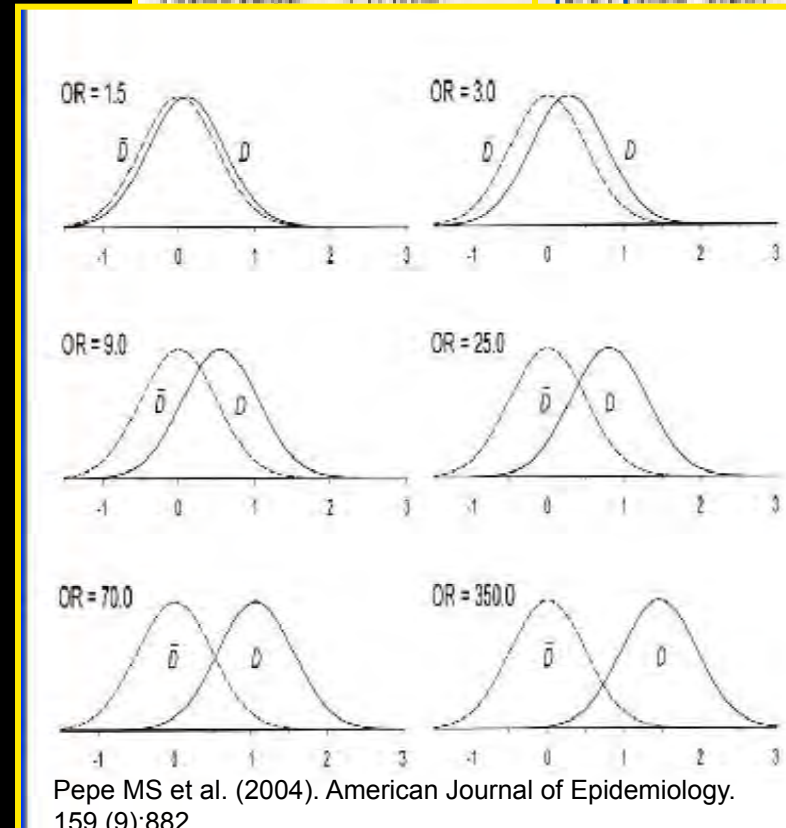


# What Good is Defining Risks?

## *The Problem of Relative Risk*

- Numerous risk alleles have been identified
  - The vast majority of RR defined by GWAS are between 1-2
    - What do I *do* with such information?
  - From a clinical standpoint the information is so lacking in robustness that it is of questionable clinical utility

Example variant	Closest gene	Odds ratio (per allele)*
rs1801282	PPARG	1.14 (1.08–1.20)










rs8050136	FTO	1.17 (1.12–1.22)
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# How Much Added Value?

Year	Researchers	Disease	Genetic variant	AUC	$\Delta$ AUC
2005	Lyssenko et al.	Type 2 diabetes	3 establ. variants	0.68	+0.00
2006	Podgoreanu et al.	MI after surgery	3 (out of 48)	0.70	+0.06
2007	Humphries et al.	CHD	4 (out of 12)	0.66	+0.04
2007	Morisson et al.	CHD	11 (out of 116)	0.76	+0.01
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2008	Lyssenko et al.	Type 2 diabetes	11 establ. variants	0.74	+0.01

# Risk Assessment is a Moving Target

Condition	23andMe Risk Susannah Wedgewood	DeCODE Risk Susannah Wedgewood
Age-Related Macular Degeneration	0.623	0.25
Breast Cancer	1.13	1.16
Celiac Disease	0.471	0.38
Colorectal Cancer		
Crohn's Disease		
Heart Attack		0.87
Multiple Sclerosis		1.52
Obesity		1.05
Prostate Cancer		
Restless Leg Syndrome		1.6
Rhematoid Arthritis	1.381	2.32
Type 1 Diabetes	0.56	0.46
Type 2 Diabetes	0.808	0.76
Venous Thromboembolism	0.976	0.88

# The Illusion of Parsing Risk

- For common diseases, what does it really mean to be at “reduced risk”?
  - Lifetime risk of dying of cancer for a US citizen
    - 28%
  - Lifetime risk for a 50 yo US male of developing heart disease
    - 55%
  - We’ re *all* at increased risk for these maladies - regardless of our relative risk
- For uncommon diseases what does it mean?
  - Risk of developing Crohn’ s Disease = 5/1,000



# The Paradox of Risk Information

- “Knowledge is Power”...or is it?
- It is often maintained that knowledge of one’s genetic risk will benefit patients
- Does knowledge of increased risk of obesity, diabetes, CVD lead to improved lifestyle?
- Little evidence that genetic information *per se* is more effective than other types of information in getting people to change their long-term behavior
- And if it is...
  - For everyone I find who is at increased risk, I’ll find those at *decreased* risk
    - Will such information give such individuals license to not pursue healthy lifestyles?



# What Should be Our Agenda for Realizing the Promise of Genomic Medicine?

- We should seek to discover which genomic advances can improve patient/public health
- We must insist on data which demonstrate safety and benefit before implementing what seem like good ideas in patients or populations
  - Such benefit may be in
    - Outcomes
    - Costs

# How Do We Achieve That Agenda?

- Resist impatience and the seductive power of good ideas
- Maintain demand for appropriate data
- Incentivize:
  - Comparative Effectiveness Research
  - Translational medicine
  - Comprehensive and integrated databases of reference sequences, variants and phenotypes
  - Appropriate regulatory agencies (e.g. FDA)
- Don't forget to address ELSI challenges
- Innovate with regard to our data demands

# RTCs Are Not the Only Answer

- RTCs supply vital information
  - At great cost
  - At great expense
  - Cannot be the only solution to our insistence on evidence
  - And are sometimes not needed

Parachute use to prevent death and major trauma related to gravitational challenge: systematic review of randomised controlled trials

Gordon C S Smith, Jill P Pell **BMJ** VOLUME 327 20-27 DECEMBER 2008 [bmj.com](http://bmj.com)



Parachutes reduce the risk of injury after gravitational challenge, but their effectiveness has not been proved with randomised controlled trials

# Additional Approaches are Needed

- Other models must be harnessed
  - Innovative study designs
  - Provisional approval by payers with ongoing data accrual
  - Post-market data accrual
  - A common theme will be leverage by payers
  - We must influence payers and incentivize them to seek data

# The Coming Deluge

- The \$1,000 genome is almost here
- We will be awash in highly complex genetic information
- WGS is the first medical test which will be guaranteed to yield abnormal results in everyone tested
  - We are all mutants
  - We all will have false positive and false negative results
  - There are serious challenges to interpreting its medical implications
- And serious social challenges

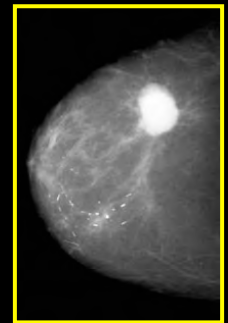


# Challenges to Realizing Genomic Medicine

## *Ultimately Re-Evaluate Informed Consent*

- Tests with devastating implications, FPs/FNs, unexpected & ambiguous results are the norm in medicine
  - We routinely handle with shared decision making
  - When is IC really needed?
  - When is it actively counterproductive to care?
  - A category-based approach can facilitate patient education and more informed consent

WBC	6.7 K/uL	4.0-12.0 K/uL
LYM	31.8 %L	25.0-45.0 %L
MID	5.9 %M	0.1-15.0 %M
PMN	62.3 %G	35.0-55.0 %G
RBC	3.53 M/uL	4.00-5.30 M/uL
HGB	7.8 g/dL	11.5-14.5 g/dL
HCT	24.7 %	33.0-43.0 %
MCV	70.0 fL	76.0-90.0 fL
MCH	22.1 pg	25.0-31.0 pg
MCHC	31.6 g/dL	32.0-36.0 g/dL
RDW	19.4 %	11.5-15.0 %
PLT	473 k/uL	150-450 K/uL



Comprehensive Metabolic Panel (w/ EGFR)		
Glucose	89	65 - 99 mg / dL
Urea Nitrogen (BUN)	19	7 - 25 mg / dL
Creatinine	1.35 H	0.78 - 1.34 mg / dL
eGFR Non-African American	57 L	> or = 60 mL/min/1.73m2
eGFR African American	>60	6 - 22 (calc)
BUN / Creatinine Ratio	14	135 - 146 mmol / L
Sodium	140	3.5 - 5.3 mmol / L
Potassium	4.5	98 - 110 mmol / L
Chloride	105	21 - 33 mmol/L
Carbon Dioxide	22	8.6 - 10.2 mg/dL
Calcium	9.4	6.2 - 8.3 g/dL
Protein, Total	7.0	3.6 - 5.1 g/dL
Albumin	4.7	2.1 - 3.7 g/dL (calc)
Globulin	2.3	1.0 - 2.1 (calc)
Albumin / Globulin Ratio	2.0	0.2 - 1.2 mg/dL
Bilirubin, total	1.0	40 - 115 U/L
Alkaline Phosphatase	50	10 - 40 U/L
AST	24	9 - 60 U/L
ALT	32	







# What Good is Defining Risks?

- Identified genomic relative risks are modest
- The illusion of parsing risk
- The paradox of risk information
- Risk assessment is a moving target
- Good ideas aren't sufficient to guide medical practice
- The stakes are high

*Actual clinical outcome data are critical*

# The Dangers of Modern Genetics

## GENETIC ENGINEERING: <sup>A</sup> Poem



Create a dog that's scared of cars



That's clean enough to enter bars



Who never would a cat mistreat



Whose breath's forever minty sweet

C G A T C T A G  
G C A G

But first before we splice a gene  
Let's think about the unforeseen  
Our good intent to hybridize  
Could engineer a bad surprise.

A A T  
A G T A A T G G  
G G C A



A dog who might be quick to judge  
Or, God forbid, who holds a grudge



Who leaves behind its role traditional  
And offers only love conditional.

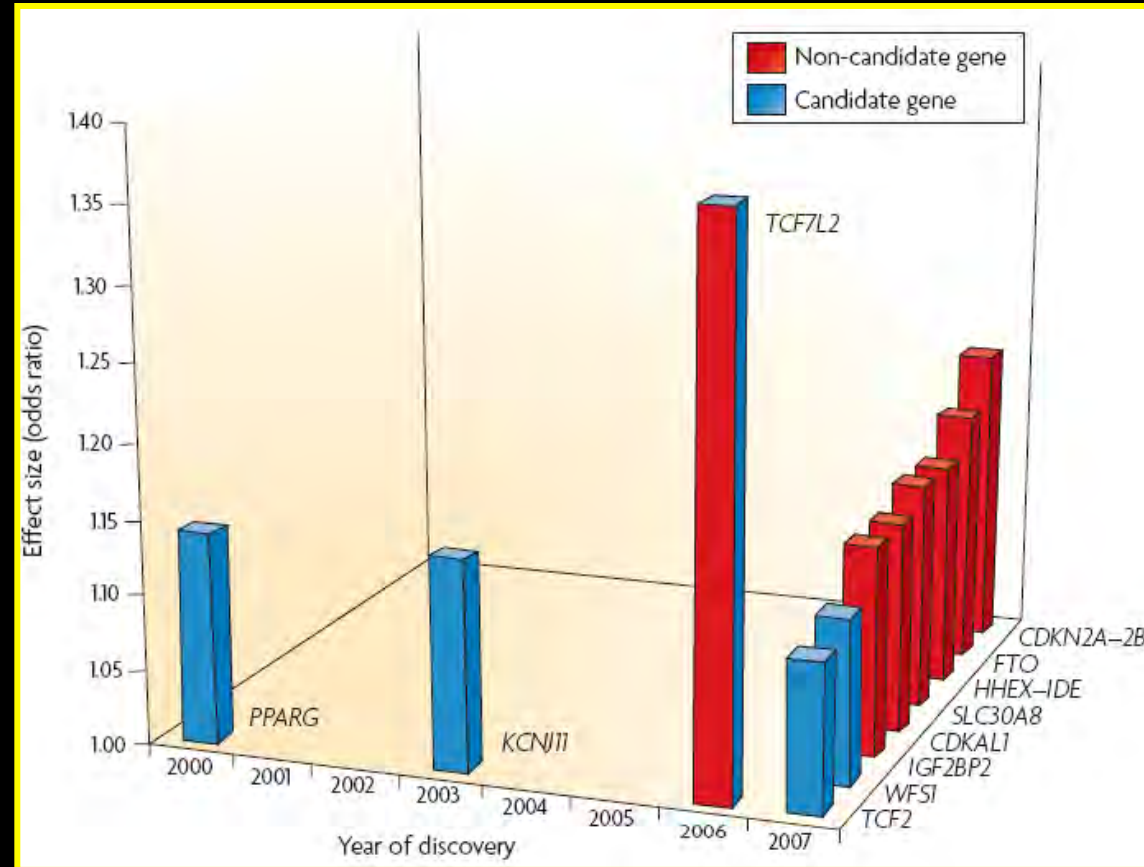


**Hippocrates of Cos**  
(ca. 460 BC – ca. 370 BC)

*Life is short, the art long,  
opportunity fleeting,  
experience delusive,  
judgment difficult*

# There is Tremendous Potential for Advancing *Medical Science*

- Through delineation of novel etiologic genes
  - Without the need for underlying biochemical / physiological knowledge



*Such advances will ultimately be the foundation of improved medical care*

# Other Uses for WGS in a Public Health Context

- Pre-emptive delineation of select PGx variants
- As an adjunct to NBS
  - But also illustrates the limitations of genetic vs. phenotypic testing
- Pre-conceptual carrier screening
  - Potentially profound impact on family planning with respect to AR diseases
  - Highly actionable information to some
    - Morally problematic for others
      - Making formulation of policy difficult for this application

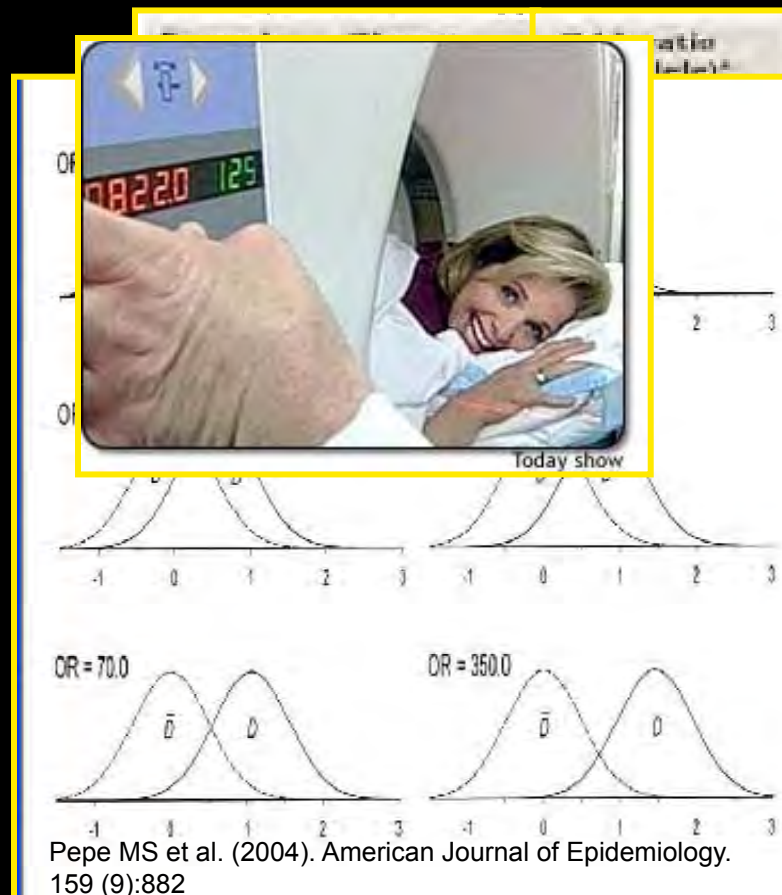




# What About Prevention through Risk Assessment?

## *The Problem of Relative Risk*

- Numerous risk alleles have been identified
  - The vast majority of RR defined by GWAS are between 1-2
    - What do I **do** with such information?
  - From a clinical standpoint the information is so lacking in robustness that it is of no clinical utility



...or utility from a public health standpoint



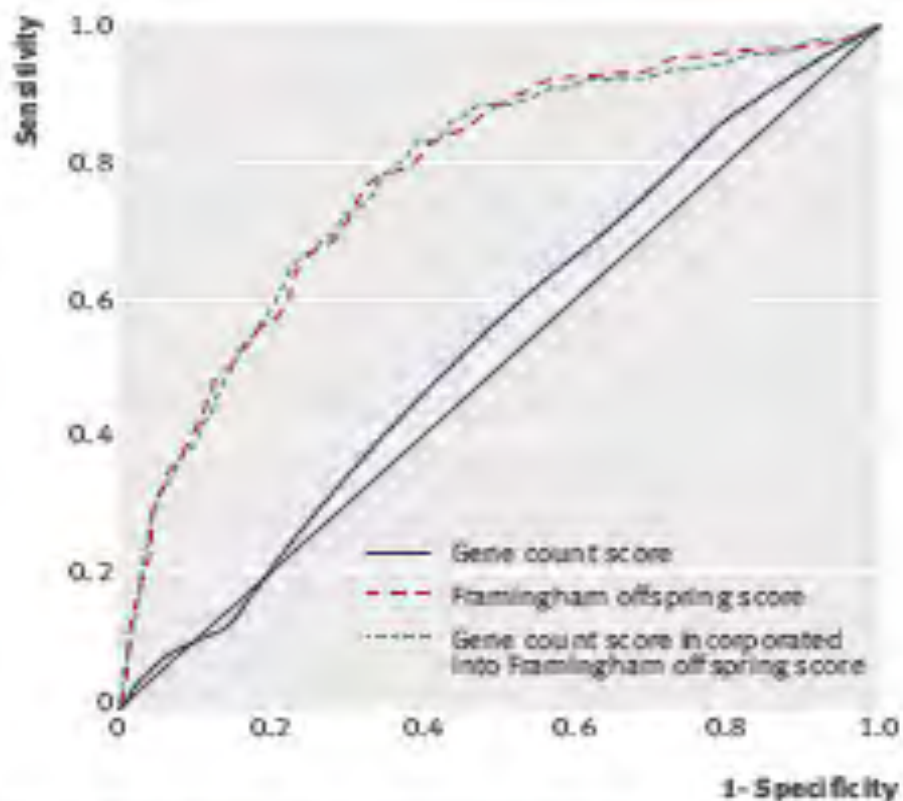
# The Illusions of Risk Assessment

## *Confusing Relative and Absolute Risk*

- Few data to suggest that knowledge of one's genomic status is effective in changing behavior
- For common diseases, what does it really mean to be at a relatively reduced risk?
  - The *absolute* risk for common diseases is high
  - Thus, we're all at increased risk for these maladies - regardless of our relative risk
  - Most people who are at “decreased risk” for heart disease will still die of heart disease
- For uncommon diseases what does it mean?
  - Risk of developing Crohn's Disease =  $\sim 5/1,000$

# How Much Added Value?

BM



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Fig 1| Receiver operating characteristics curves for gene count score alone (area under curve 0.54, 95% CI 0.50 to 0.58), Framingham offspring risk score (area under curve 0.78, 0.75 to 0.82), and gene count score incorporated into Framingham offspring risk score (area under curve 0.78, 0.75 to 0.81)

# Personalized Medicine is Driven by Accelerating Technology

- In 1997 it took about a day to genotype a one Single Nucleotide Polymorphism
  - Cost was ~\$100
- Now in a matter of days one can genotype an individual at >2,000,000 sites
  - At a cost of < \$500
    - Reduction in cost of >400,000 fold



\$795 in 1977  
(=\$2,800 in  
current \$



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# Risk Assessment is a Moving Target



- We are rapidly discovering more genes influence the risk of disorders
- We have yet to define the majority of the genetic component for these diseases
- We don't know how to calculate aggregate risks
- Future discoveries will shift risk assessments
  - It may eventually make genetic prediction more robust...but...
  - Today's "low risk" genotype may well be tomorrow's "high risk" genotype, and vice versa
  - One lab's high risk is another lab's low risk genotype





# What Else Will be Done With Such Information?



- Numerous companies are now offering “boutique” genotyping
- Heavily covered by the media
- Most genotyping may soon be performed outside of the traditional medical setting
- “Buying is more American than thinking”
- What does one discover with a whole genome scan?





# Ancestry

- My ancestors are from Europe
- *Who'd have thought?*



## Haplogroup R1b (M343)

The designations for all twelve loci examined for this purpose are listed here, along with the Short Tandem Repeats (STRs) outcome for each.

393	19	391	439	389-1	389-2	388	390	426	385a	385b	392
13	14	11	11	13	16	12	23	12	11	11	13

July 21, 2005



# Traits

- Earwax type
- Alcohol Flush Reaction
- Bitter Taste Perception
  - e.g. Whether you'll like Brussels sprouts
- Eye color
  - DeCode's narrator:
    - “My likelihood of having brown eyes is 67% and of having brown hair is 92%; ***and I do have brown eyes and brown hair!***”



# Disease Risk

- Breast Cancer
- Prostate Cancer
- Alzheimer Disease
- Crohn's Disease
- Cardiovascular disease
- Multiple Sclerosis
- Diabetes
- Restless Legs Syndrome
- Venous Thromboembolism
- etc....

# What Will We Find Out?

- Things that we already know
  - You are at risk for heart disease and you should exercise and eat right
- Things we're not sure what to do with
  - You're at 30% increased risk for prostate cancer. *Okay...*
- Things we don't want to know.
  - You're at increased risk for Alzheimer Disease
- Things that are fun to know
  - Your ancestry and whether you might like Brussels sprouts
- Things we *think* we know but don't
  - Because of changing risk assessments and discovery of new loci
- Things that are useful to know
  - At least for now a distinct minority of what will emerge from such analyses
  - PGx application to selected agents
  - Dramatically elevated risk for breast cancer & Parkinson Disease
    - Is such knowledge best handled by the individual within a web-based relationship by its purveyors?

# A Need to Reconcile Claims with Reality

- Such offerings are designed to appeal directly to health concerns
- A grab-bag of results ranging from entertainment to real medical information with tremendous variation in utility
  - Little or no guidance regarding how to tell the difference
  - Or what to do with that information



“Knowledge is Power”

Your risk analyzed for 116 diseases



“revealing your genetic predisposition for important health conditions and

empowering you with knowledge to help you take control of your health future”



Calculate genetic risk – Empower prevention  
your genes are a road-map to better health

# The Fine Print

“Information provided is not intended as, nor does Navigenics provide, medical advice, treatment, diagnosis, or treatment guidelines. Consult your doctor with questions regarding any medical condition, before starting any new treatment, or stopping any currently prescribed treatment.”

- People may well deserve access to such information
- But they also deserve an honest accounting of its meaning



## Celiac disease

Your estimated lifetime risk: 0.02%  
Average lifetime risk: 0.06%



You have 8 of the 20 risk markers we looked for.

Gene or location <sup>1</sup>	Risk marker <sup>2</sup>	Your markers <sup>3</sup>	Odds ratio <sup>4</sup>	Source <sup>5</sup>
HLA-DQ2.5	T	C C	1.0	Nature Genetics, 2007
IL2-IL22 locus	C	C C	2.02	Nature Genetics, 2007
1q31	A	A A	1.99	Nature Genetics, 2008
3q25_3q26.2	G	A A	1.0	Nature Genetics, 2008
2q11_2q12	T	C C	1.0	Nature Genetics, 2008
CTLA4	T	C T	1.24	European Journal of Human Genetics, 2005
3q26	A	A C	1.21	Nature Genetics, 2008
6q25	T	C T	1.21	Nature Genetics, 2008
3q25_3q26.1	C	T T	1.0	Nature Genetics, 2008
3H2B3	T	C T	1.19	Nature Genetics, 2008

See page 6 for an explanation of this table format.

## What does it mean?

You are at below-average risk for celiac disease, so your chances of getting it are very low.

Celiac disease is a chronic digestive problem caused by an inability to process gluten — a protein in wheat, rye and barley — so many common foods cause bloating, cramps or diarrhea. There's no cure, but it can be controlled with a gluten-free diet.

## What's next

- Do you have a family history, or frequent symptoms like gas, cramps or diarrhea?
- If you have concerns, see your doctor, but don't stop eating wheat right away — it could delay diagnosis.

## Early detection

### Symptoms

Think about whether you might have early symptoms or a family history of the disease. Celiac disease symptoms are non-specific, meaning that they are similar to those of many other disorders.

People with celiac disease may experience a wide variety of symptoms, or in some cases, none at all. Intestinal symptoms may include cramping, gas, bloating, pain, diarrhea, constipation and stools that are pale, fatty or foul-smelling. Other symptoms may include unexplained weight loss, anemia, cessation of menstrual periods, a smooth tongue, cracks in the corners of the mouth, leg swelling or fatigue.

### Testing

If you are at increased risk for the disease (either because of genetic markers or a family history), monitor your health and symptoms and talk with your doctor about possible testing for the disease.

# Clinical Medicine is Messy

- The application of good ideas to the care of the individual is difficult and fraught with hazard
- This is just as true for genomic risk information as it is for any other type
  - Identified genomic relative risks are modest
  - The illusion of parsing risk
  - The paradox of risk information
  - Risk assessment is a moving target
  - Good ideas aren't sufficient to guide medical practice
    - Because the stakes are high

***Actual clinical outcome data are critical***

# Pharmacogenomics

## *Lower Hanging Fruit*

- PGx has already become standard of care for selected agents
  - Abacavir and *HLA-B\*570* for prediction of hypersensitivity reaction
- Likely to become standard of care
  - Tamoxifen and CYP 2D6
  - Warfarin and CYP 2C9
  - Clopidogrel CYP 2C19
- Will not be applicable to all drugs
  - Alternatives
  - Robust positive predictive value



# Type 2 Diabetes

Table 1. Established type 2 diabetes susceptibility loci

Index SNP	Chromosome	Position	Region/gene	Identification	$\lambda_{s1}$
rs10010131	4	6343816	<i>WFS1</i>	Candidate gene	1.004
rs1801262	3	12360125	<i>PPARG</i>	Candidate gene	1.005
rs757210	17	33170628	<i>HNF1B /TCF2L1</i>	Candidate gene	1.002
rs5219	11	17366148	<i>KCNH11</i>	Candidate gene	1.005
rs7901695	10	114744078	<i>TCF7L2</i>	Linkage peak fine-mapping	1.022
rs10811661	9	22124094	<i>CDKN2A/B</i>	GWA	1.003
rs10946396	6	20769013	<i>CDRAL1</i>	GWA	1.002
rs13266634	8	110253964	<i>SIC104A</i>	GWA	1.003
rs4402960	3	166994381	<i>KCF2BP2</i>	GWA	1.002
rs5015480	10	94455539	<i>HHEK/IDE</i>	GWA	1.002
rs8050136	16	52373776	<i>FTO<sup>a</sup></i>	GWA	1.009
rs2237892	11	2796327	<i>KCNQ1</i>	GWA	1.031
rs10830963	11	92348358	<i>MTHFR1B<sup>b</sup></i>	GWA	1.001
rs10921931	1	120119482	<i>NOTCH2</i>	GWA meta-analysis	1.001
rs12779790	10	12368016	<i>CDCT23/CDAMB1D</i>	GWA meta-analysis	1.002
rs4607103	3	64686944	<i>ADIAMTS9</i>	GWA meta-analysis	1.002
rs7576597	2	43586327	<i>THADA</i>	GWA meta-analysis	1.002
rs7961581	12	69949369	<i>TSPAN8/IGR5</i>	GWA meta-analysis	1.001
rs864745	7	28147081	<i>JAZF1</i>	GWA meta-analysis	1.001

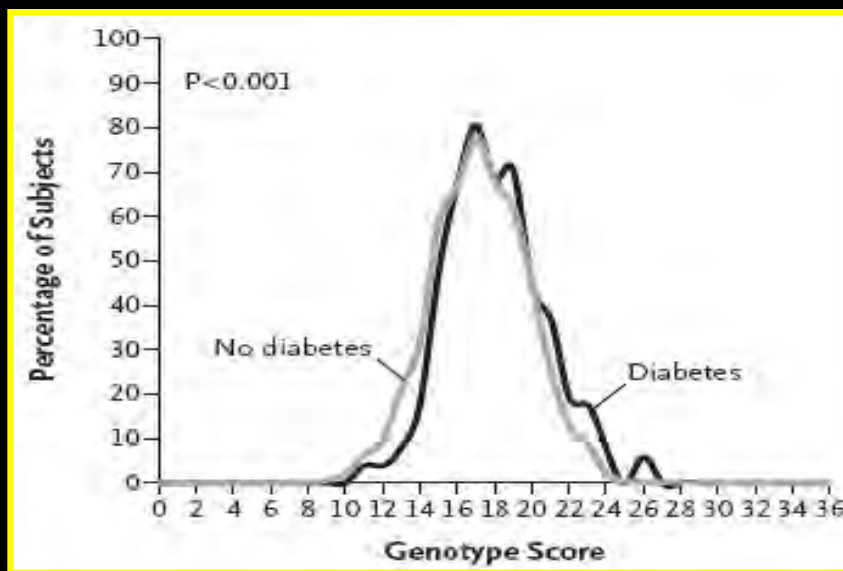
<sup>a</sup>The sibling recurrence risk ratio calculated in European populations, with the exception of the *KCNQ1* locus, which was based on East Asian populations.

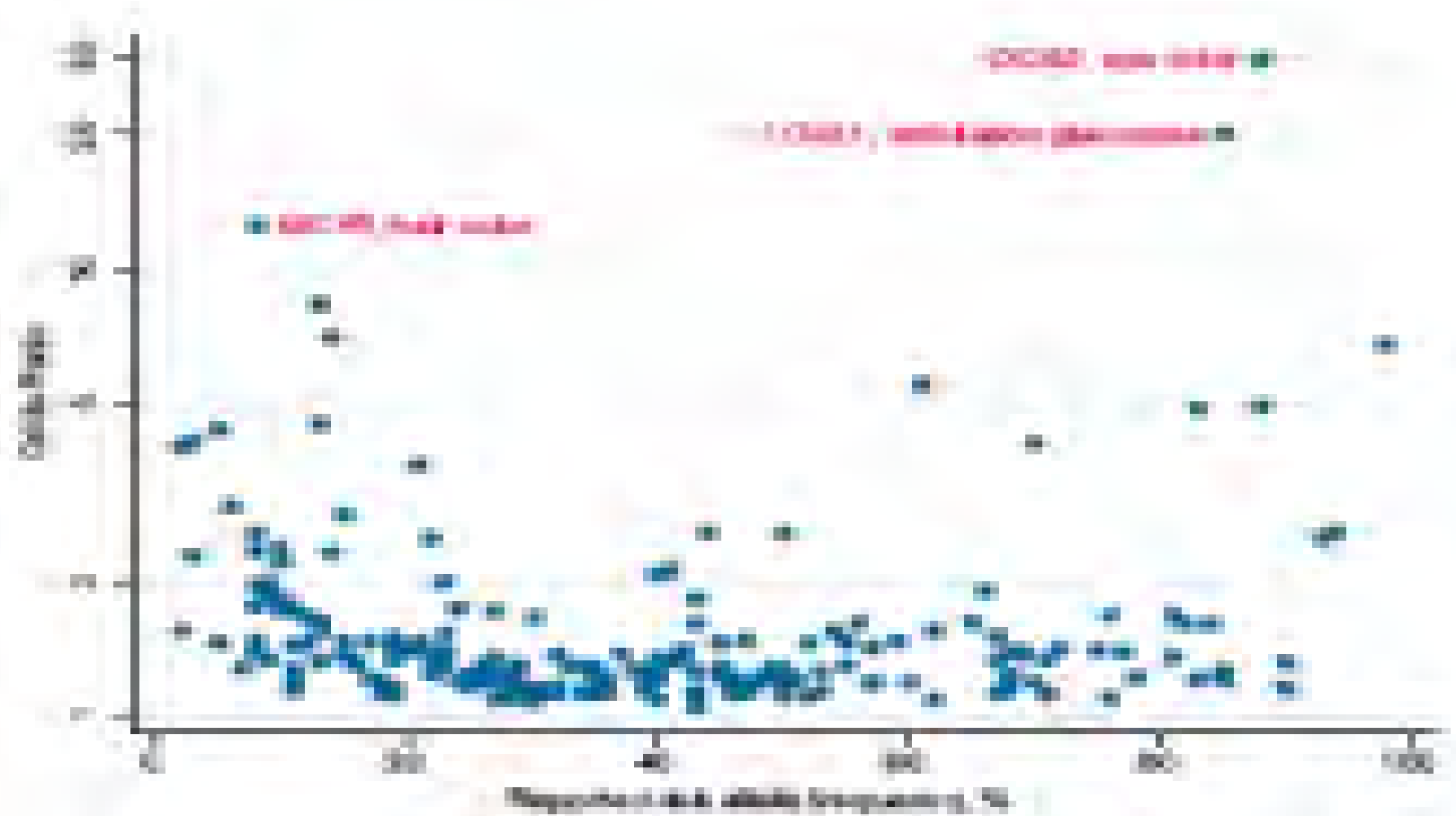
<sup>b</sup>The primary association for this locus is with body mass index.

<sup>c</sup>The primary association for this locus is with fasting glucose levels.

GWA—genome-wide association; SNP—single nucleotide polymorphism.

# Genotype Adds Little to Conventional Risk Estimation





# Aggregate Risk Scores



Table 1: Individual genotype results and their associated risks

Locus	SNP	Genotype	Risk	Pop. freq.	Cases/ controls	References
PPARG	rs1801282	CC	1.03	0.81	14586 / 17968	11
CDKN2A	rs10811661	CC	0.74	0.03	14586 / 17968	8-10
CDKAL1	rs7756992	AG	1.09	0.38	3836 / 12562	7-10
TCF7L2	rs7903146	CC	0.82	0.52	14586 / 17968	4,5,6
Combined genetic risk			0.67			

- One purveyor of such testing (deCODE Genetics) offers the calculation of a risk score using one's genotype at 4 loci
  - ~40% of population have increased relative risk (RR >1)
  - 8% have RR 1.5-2.2
  - ~3% have RR 1.8-2.2
- Cost is \$370
- Calculation of aggregate risks assumes no interactions
- But the real problem is the clinically meaningless nature of such information

“revealing your genetic predisposition for important health conditions and **empowering you with knowledge to help you take control of your health future**

“

**Calculate genetic risk – Empower prevention**  
**your genes are a road-map to better health”**

# Genomics for the Masses

## *Boutique Genotyping*

- Several companies are now offering “boutique” genotyping
- Most genotyping may soon be performed outside of the traditional medical setting
- “Buying is more American than thinking”



# Comparison With Others

- Family members
- Friends
- “Famous Scientists like Craig Venter” !!
- “We envision a new type of community where people will come together around specific genotypes and these artificial barriers of country and race will start to break down” - Anne Wojcicki, co-founder of 23andMe



# Robust Genetic Analysis

- We now have the ability to analyze the genome and define individual variation
  - At the heart of the concept of “personalized medicine”
- Massively parallel genotyping now is widely available
  - Delineation of the individual's genome at ~1 million sites
  - for  $\ll$  \$500...and dropping



# The Coming Deluge

- The \$1,000 genome is coming
- We will be awash with new highly complex genetic information
- Whole genome analysis is the first test in the history of medicine which will yield positive results in everyone tested
- We are all mutants
- We’ ll all have myriad false positive and false negative tests
- There are serious medical challenges in its interpretation
- Patients
- Public
- Medical practitioners
- And social challenges
- “Privacy is dead. Get over it”













# Applying Genetic Risk Information to the Individual



- Numerous companies are now offering “boutique” genotyping
  - Most include estimates of T2DM risk
- Heavily covered by the media
- Most genotyping may soon be performed outside of the traditional medical setting
- Is such information useful towards the goal of improving health?
- “Buying is more American than thinking”

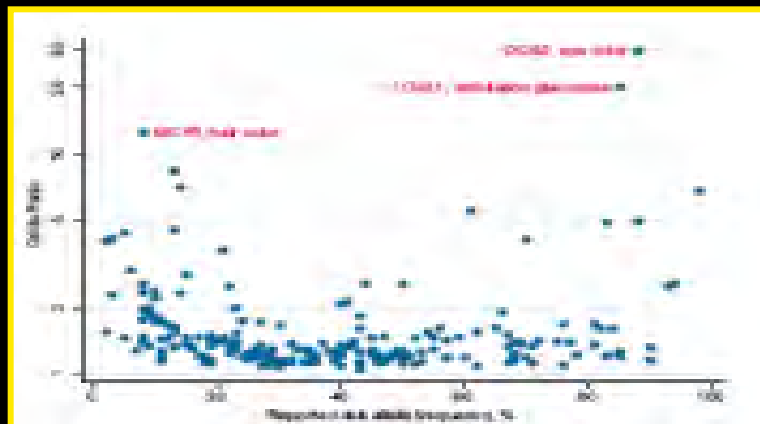
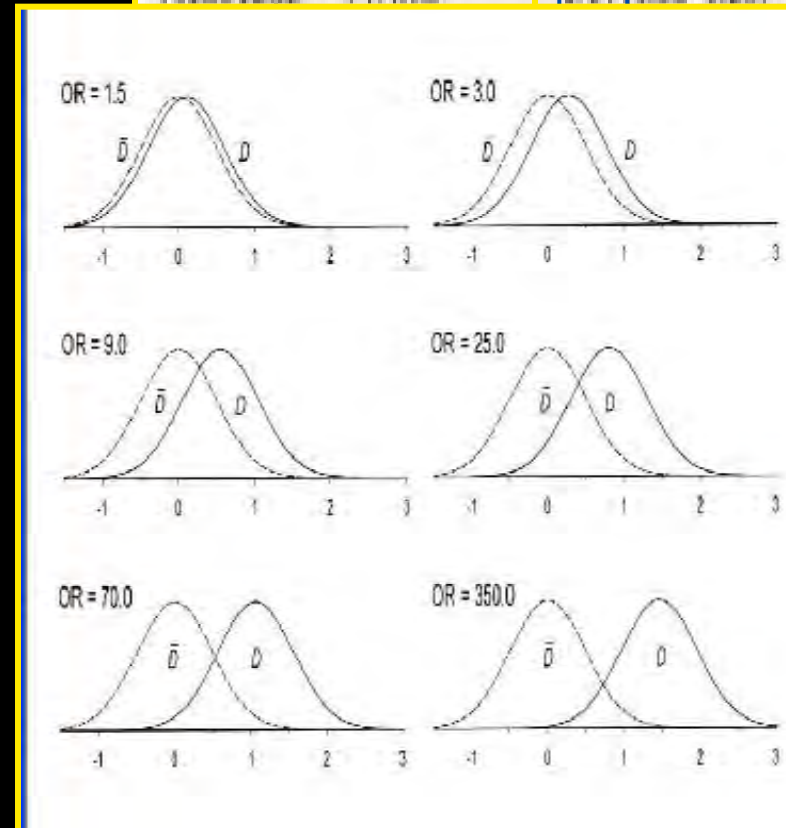


# The Problem of Relative Risk

## The Example of Type 2 Diabetes

- Numerous risk alleles have been identified
  - The vast majority of RR defined by GWAS are between 1-2
    - What do I *do* with such information?
  - From a clinical standpoint the information is so lacking in robustness that it is of questionable utility

Example variant	Closest gene	Odds ratio (per allele)*
rs1801282	PPARG	1.14 (1.08–1.20)



rs8050136	FTO	1.17 (1.12–1.22)
-----------	-----	------------------

# What *Else* Can We Do With Such Information?

- Make money!!!
  - After all, this is the USA
- Several companies are now offering “boutique” genotyping
- Their success threatens to prove Andy Warhol’s adage that “buying is more American than thinking”



# Controlling the Genetic Genie

- Genetic Discrimination
  - There is no federal legislative protection...yet
- Gene Patenting
  - Most of our genes have patent claims on them
  - BRCA1/2 are under restrictive patents
- Privacy Issues
  - “Privacy is dead. Get over it.”
  - What happens when 23andMe is subpoenaed?
  - Problems compounded by the acceleration of Information Technology and the World Wide Web
  - Corporate, government and public genetic databases are being formed all over the world
- Who will control this information?
  - Do you want to know ?
  - Do you want others to know?
    - Your insurance / managed care plan
    - Your employer
    - Your family
    - Dick Cheney
    - Your neighbor who surfs the web









# 23andme / DeCode Genetics

- Heavily covered by the media
  - NY Times, Newsweek, etc.
- Offer genotyping at ~500,000 – 1,000,000 loci
- Individual sends a saliva or mouth brush sample
- And a credit card number
- Genotyping of SNPs associated to provide information about:

# Ancestry

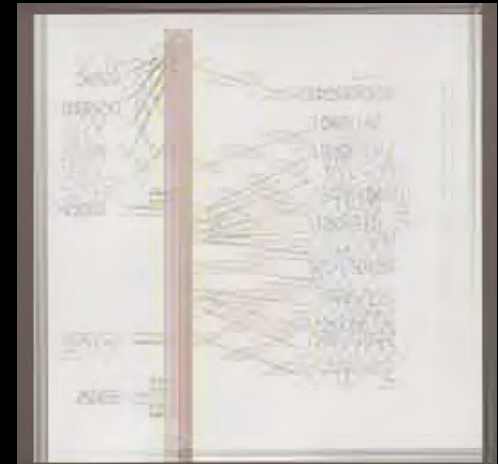
- My ancestors are from Europe
- *Who'd have thought?!!*

## Haplogroup R1b (M343)

The designations for all twelve loci examined for this purpose are listed here, along with the Short Tandem Repeats (STRs) outcome for each.

393	19	391	439	389-1	389-2	388	390	426	385a	385b	392
13	14	11	11	13	16	12	23	12	11	11	13

July 21, 2005



# Traits

- Earwax type
- Alcohol Flush Reaction
- Bitter Taste Perception

- E.g. Brussels Sprouts

- Eye color

- DeCode's narrator:

- “My likelihood of having brown eyes is 67% and of having brown hair is 92%; ***and I do have brown eyes and brown hair!***”

- Behavioral traits

- Aggression, novelty seeking, propensity for depression, etc.



# Comparison With Others

- Family members
- Friends
- “Famous Scientists like Craig Venter”!!
  - DeCode’s narrator shares 2-3% of his genome with Craig
  - Forthcoming Facebook invitation to Craig to be his gene-friend
- “We envision a new type of community where people will come together around specific genotypes and these artificial barriers of country and race will start to break down” - Anne Wojcicki, co-founder of 23andMe



The image shows a screenshot of a Facebook profile for Kathryn Ortland. The profile includes a profile picture of Craig Venter, a navigation menu on the left, and various information sections on the right. The 'Information' section lists her name, member since date (August 2, 2005), last update date (November 10, 2005), and basic info such as her location (Seattle, WA), school (Oregon '05), and status (Alumnus/Alumna). The 'Personal Info' section shows her looking for women and men, and her interests include photography, computers, hiking, scuba, and Japan. The 'Professional Info' section lists her job as 'Rising star at SEOmoz.org'.

Section	Details
Account Info	Name: Kathryn Ortland Member Since: August 2, 2005 Last Update: November 10, 2005
Basic Info	Geography: Seattle, WA School: Oregon '05 Status: Alumnus/Alumna Sex: Female Concentration: Journalism: Magazine Japanese Birthday: 10/16/1982 Home Town: Bellevue, WA 98006 High School: Newport High School '00
Contact Info	Contact Email: ortland@gmail.com School Email: kortland@uoregon.edu Website: http://www.numine.com
Personal Info	Looking For: Whatever I can get Interested In: Women, Men Relationship Status: In a Relationship Political Views: Liberal Interests: Photography, computers, hiking, scuba, Japan
Professional Info	Job: Rising star at SEOmoz.org

# Disease Risk

- Breast Cancer
  - Prostate Cancer
  - Alzheimer Disease
  - Crohn's Disease
  - Risk of cardiovascular disease
  - Multiple Sclerosis
  - Diabetes
  - Restless Legs Syndrome
  - Venous Thromboembolism
- *For the vast majority of such risk assessments, the increased risk of one developing the disease is modest*
    - *On the order of 1-2 fold risk over baseline*
  - *In few such conditions are there specific effective interventions to diminish the risk*

# What Will We Find Out?

- Things that are useful to know
  - At least for now a distinct minority of what will emerge from such analyses
- Things that we already know
  - e.g. you are at risk for heart disease and you should exercise and eat right
- Things we don't want to know
  - I'm at increased risk for Alzheimer Disease
- Things that are fun to know
  - Ancestry
  - Whether you'll like Brussels sprouts (but also see above)
  - How many polymorphisms you share with Craig Venter\*
- Things we *think* we know but don't
  - All the spurious associations that will be “found” and later not confirmed

\*Depends on your idea of fun





# The Problem of Relative Risk

## *Prostate Cancer*

- The proportion of the population who have inherited numerous risk alleles will be progressively smaller as more loci are added
- We still don't know what to do with such information for that small subset of men at significantly increased risk
- Increased surveillance through modalities like PSA have recently proven to be questionable
  - Whether PSA screening reduces mortality is an open question
  - Cost in terms of excess morbidity is high
    - One study has shown a reduced mortality with PSA screening
      - » 48 men are diagnosed with cancer *and go through treatment* with its attendant morbidities for each one who had life extension

# Screening PSA

## Mortality Results from a Randomized Prostate-Cancer Screening Trial

Gerald L. Andriole, M.D., Robert L. Grubb III, M.D., Sandra S. Buys, M.D., David Chia, Ph.D., Timothy R. Church, Ph.D., Mona N. Fouad, M.D., Edward P. Gelmann, M.D., Paul A. Kvale, M.D., Douglas J. Reding, M.D., Joel L. Weissfeld, M.D., Lance A. Yokochi, M.D., E. David Crawford, M.D., Barbara O'Brien, M.P.H., Jonathan D. Clapp, B.S., Joshua M. Rathmell, M.S., Thomas L. Riley, B.S., Richard B. Hayes, Ph.D., Barnett S. Kramer, M.D., Grant Izmirlian, Ph.D., Anthony B. Miller, M.B., Paul F. Pinsky, Ph.D., Philip C. Prorok, Ph.D., John K. Gohagan, Ph.D., and Christine D. Berg, M.D., for the PLCO Project Team\*

N ENGL J MED 360;13 NEJM.ORG MARCH 26, 2009

- 76,693 men randomized to PSA screening vs. usual care
- 7 years of follow up
  - Cancer
    - 2,820 cancers in screening group
    - 2,344 cancers in control group
  - Death
    - 50 deaths in screening group
    - 44 deaths in control group
    - No significant difference

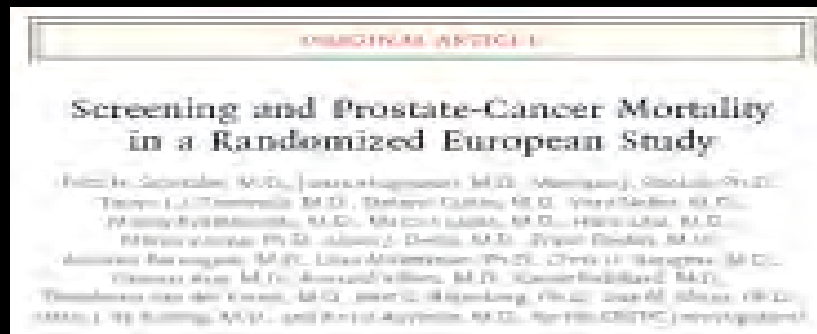
## Screening and Prostate-Cancer Mortality in a Randomized European Study

Patrick Scardino, M.D., Jacques Cuzick, M.D., Massimo Sestini, M.D., Thomas L. Lichtenstein, M.D., Stefano Cerrito, M.D., Yves Sillanpaa, M.D., Massimo Berrino, M.D., Marco Libra, M.D., Hans Lind, M.D., Henrik Garmo, Ph.D., John J. Datta, M.D., Bryan Clarke, M.D., Antonio Barbagli, M.D., Lina Malmqvist, Ph.D., Chris H. Bangma, M.D., Thomas van der Kwast, M.D., Jost Stiglitz, Ph.D., and M. Hossain, Ph.D., (M.A.B., H.S., M.P., and P.H. of Aarhus, M.D., for PROSC)†

N ENGL J MED 360;13 NEJM.ORG MARCH 26, 2009

- 182,000 men randomized
  - 8.2% in screened group
  - 4.8% in control group
  - Death
    - 20% reduction in screened group
  - 1,410 men need to be screened to prevent one death
  - 48 additional cases of prostate cancer need to be treated to prevent one death
    - 48 men are diagnosed with cancer *and go through treatment* for each one who may have had life extension
    - The psychological burden of a cancer diagnosis is not trivial
  - Treatment is not trivial
    - Incontinence
    - Erectile dysfunction
    - Morbidity from treatment

Our typical screening options are not good



- 182,000 men randomized to PSA screening or not
- Cancer
  - 8.2% in screened group
  - 4.8% in control group
- Death
  - 20% reduction in screened group
  - 1,410 men need to be screened to prevent one death
  - 48 additional cases of prostate cancer need to be treated to prevent one death
    - 48 men are diagnosed with cancer *and go through treatment* for each one who may have had life extension
    - The psychological burden of a cancer diagnosis is not trivial
  - Treatment is not trivial
    - Incontinence
    - Erectile dysfunction
    - Morbidity from treatment

# The Problem of Relative Risk *Prostate Cancer*

- GWAS have illuminated multiple loci involved in prostate cancer risk
- High levels of statistical significance
- But tiny relative risks
- Again...what do we do with such information?

**Table 2.** Association of SNPs at Five Chromosomal Regions with Prostate Cancer.\*

SNP	Chromosomal Region	Position†	Alternative Alleles	Associated Allele‡	Allelic Tests			
					Frequency		Odds Ratio (95% CI)¶	P Value
					case subjects	control subjects		
rs4430796	17q12	33,172,153	T, C	T	0.61	0.56	1.24 (1.14–1.36)	6.0×10 <sup>-7</sup>
rs7501939	17q12	33,175,269	G, A	G	0.66	0.62	1.22 (1.12–1.33)	9.0×10 <sup>-6</sup>
rs3760511	17q12	33,180,426	A, C	C	0.41	0.38	1.17 (1.07–1.27)	5.0×10 <sup>-4</sup>
rs1859962	17q24.3	66,620,348	G, T	G	0.54	0.50	1.17 (1.08–1.28)	2.1×10 <sup>-4</sup>
rs7214479	17q24.3	66,702,544	C, T	T	0.50	0.48	1.08 (0.99–1.18)	0.07
rs6501455	17q24.3	66,713,406	A, G	A	0.56	0.54	1.09 (1.00–1.19)	0.05
rs983085	17q24.3	66,723,656	A, G	A	0.57	0.55	1.07 (0.98–1.16)	0.13
rs6983561	8q24 (region 2)	128,176,062	A, C	C	0.06	0.03	1.65 (1.33–2.05)	4.2×10 <sup>-6</sup>
rs16901979	8q24 (region 2)	128,194,098	C, A	A	0.06	0.03	1.65 (1.33–2.05)	4.3×10 <sup>-6</sup>
rs6983267	8q24 (region 3)	128,482,487	G, T	G	0.56	0.51	1.22 (1.12–1.33)	3.9×10 <sup>-6</sup>
rs7000448	8q24 (region 3)	128,510,352	C, T	T	0.43	0.40	1.15 (1.06–1.25)	1.4×10 <sup>-3</sup>
rs1447295	8q24 (region 1)	128,554,220	C, A	A	0.17	0.14	1.21 (1.07–1.36)	1.6×10 <sup>-3</sup>
rs4242382	8q24 (region 1)	128,586,755	G, A	A	0.16	0.14	1.24 (1.10–1.39)	5.3×10 <sup>-4</sup>
rs7017300	8q24 (region 1)	128,594,450	A, C	C	0.20	0.18	1.15 (1.03–1.28)	0.01
rs10090154	8q24 (region 1)	128,601,319	C, T	T	0.16	0.13	1.26 (1.11–1.42)	2.0×10 <sup>-4</sup>
rs7837688	8q24 (region 1)	128,608,542	G, T	T	0.15	0.13	1.17 (1.04–1.13)	9.6×10 <sup>-3</sup>

# Lessons from EBM As Applied to the PSA Test

- Outcomes are not always intuitive
- The magnitude of an effect is important
  - We *might* be able to prevent some deaths...is it worth it?
    - In terms of morbidity
    - time/resources
    - Anxiety and quality of life
- Because something is “non-invasive” doesn’t mean it lacks risk
- More information is not always better
  - We have to be able to be confident that such knowledge leads to desired outcomes
- Answering these questions is difficult, expensive and time consuming



- Our options for screening and for intervention are limited



# Clinical Applications of Emerging Genetic Knowledge for the Individual

- Many T2DM risk loci have been identified
- Genotyping to define T2DM risk is being marketed directly to individuals
  - deCODE, 23andMe, Navigenics
- Can we use such information to predict the individual's risk of DM and ultimately improve health?

# Aggregate Risk Scores

Table 1: Individual genotype results and their associated risks

Locus	SNP	Genotype	Risk	Pop. freq.	Cases/ controls	References
PPARG	rs1801282	CC	1.03	0.81	14586 / 17968	11
CDKN2A	rs10811661	CC	0.74	0.03	14586 / 17968	8-10
CDKAL1	rs7756992	AG	1.09	0.38	3836 / 12562	7-10
TCF7L2	rs7903146	CC	0.82	0.52	14586 / 17968	4,5,6
Combined genetic risk			0.67			

- One purveyor of such testing (deCODE Genetics) offers the calculation of a risk score using one's genotype at 4 loci
  - ~40% of population have increased relative risk (RR >1)
  - 8% have RR 1.5-2.2
  - ~3% have RR 1.8-2.2
- Cost is \$370
- Calculation of aggregate risks assumes no interactions
- But the real problem is the clinically meaningless nature of such information



# The Need for Clinical Outcome Data

- The history of medicine is riddled with the corpses of good ideas that didn't pan out
  - EC/IC bypass to prevent stroke
  - HRT to prevent every possible bad outcome of female aging
  - PSA?
- Good ideas are not enough to guide medical care
  - We have the power to harm
  - Even through “non-invasive” testing
    - Such information has the potential to put our patients on a trajectory that leads to dangerous and harmful interventions
- We need to insist on data to prove that our good ideas actually result in improved outcomes
- We have to be leery of shortcuts and attractive theories



# What Will We Find Out?

- Things that are useful to know
  - At least for now a distinct minority of what will emerge from such analyses
- Things that we already know
  - e.g. you are at risk for heart disease and you should exercise and eat right
- Things we don't want to know
  - I'm at increased risk for Alzheimer Disease
- Things that are fun to know
  - Ancestry
  - Whether you'll like Brussels sprouts (but also see above)
  - How many polymorphisms you share with Craig Venter\*
- Things we *think* we know but don't
  - All the spurious associations that will be “found” and later not confirmed

\*Depends on your idea of fun

# Benefits & Risks of Recreational Genotyping

- Spur technological development and the integration of genetics into medicine
- Eventually allow truly “personalized medicine”
- Perversion of medical genetics rendering it akin to cosmetic surgery
- Further fostering of unfounded extreme degree of genetic determinism
- Promoting nonsensical ideas about race
- Promoting a new form of discrimination and stigmatization; “allelism”
- Satisfaction of our deep drive to know ourselves
  - Such offerings tap into a profound aspect of human nature and the special status which we accord to our genome

**DNA “↓” US**



# Dilemmas for the Law & Society

Correlations for identical twins reared apart (N = 40-50 pairs)

Trait	Correlation
Fingerprints	0.97
Height	0.86
Weight	0.73
Systolic blood pressure	0.64





# Predictive Genetic Testing (PGT)

- PGT represents a new class of testing in medicine
- Consists of testing for mutations/polymorphisms that predispose an individual to a specific disease
- The time-line is long (decades)
- predictive power varies from low to very high
- Many factors affect the clinical utility of PGT

# PGT vs. Conventional Medical Testing

- Genetic tests affect other individuals who have not chosen to undergo testing
  - Duty to warn vs. Doctor-Patient confidentiality
- “Conventional” medical tests inform us about the pt’s present condition, while genetic tests “inform” us about a possible future condition
  - adding a new dimension of uncertainty
- Our genome cannot be changed in a meaningful way
  - *Should it* be changed if it were even possible?
- Genetic testing touches upon concerns related to the underlying essence of a person’s uniqueness
  - “DNA R US”
  - Issues related to personality and characteristics

# An Example of Predictive Genetic Testing

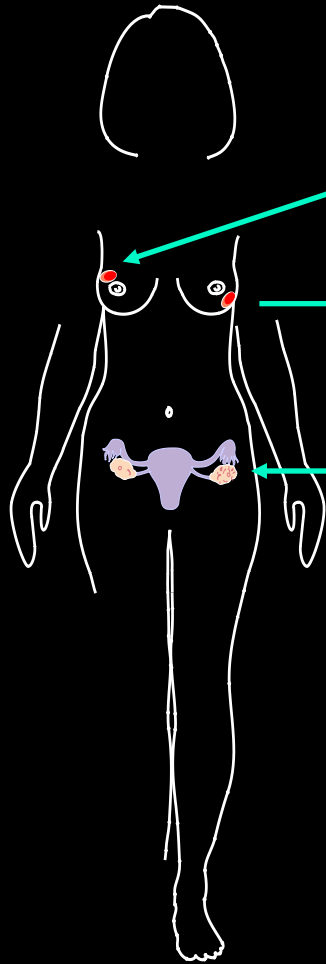
## *BRCA1 and BRCA2*

- Breast cancer is the most common cancer in women
- 200,000 new diagnoses each year of breast cancer in the US
  - 45,000 deaths
- 30,000 new cases of ovarian cancer
  - 15,000 deaths
- 5-10% of these cases are because a woman carries a mutation in either the BRCA1 or BRCA2 gene
  - BRCA1 and BRCA2 are genes that normally regulate cell growth

# Molecular Aspects of BRCA 1

- Located on chromosome 17
- Spans 81 kb of genomic DNA
- 5,592 nucleotides
- 24 exons
- Involved in DNA repair/recombination
  - Implications for treatment of br cancer?
- Involved in development

# *Cancer Risks for a woman who carries a mutation in BRCA1*



Life time risk of breast cancer ~50-85%

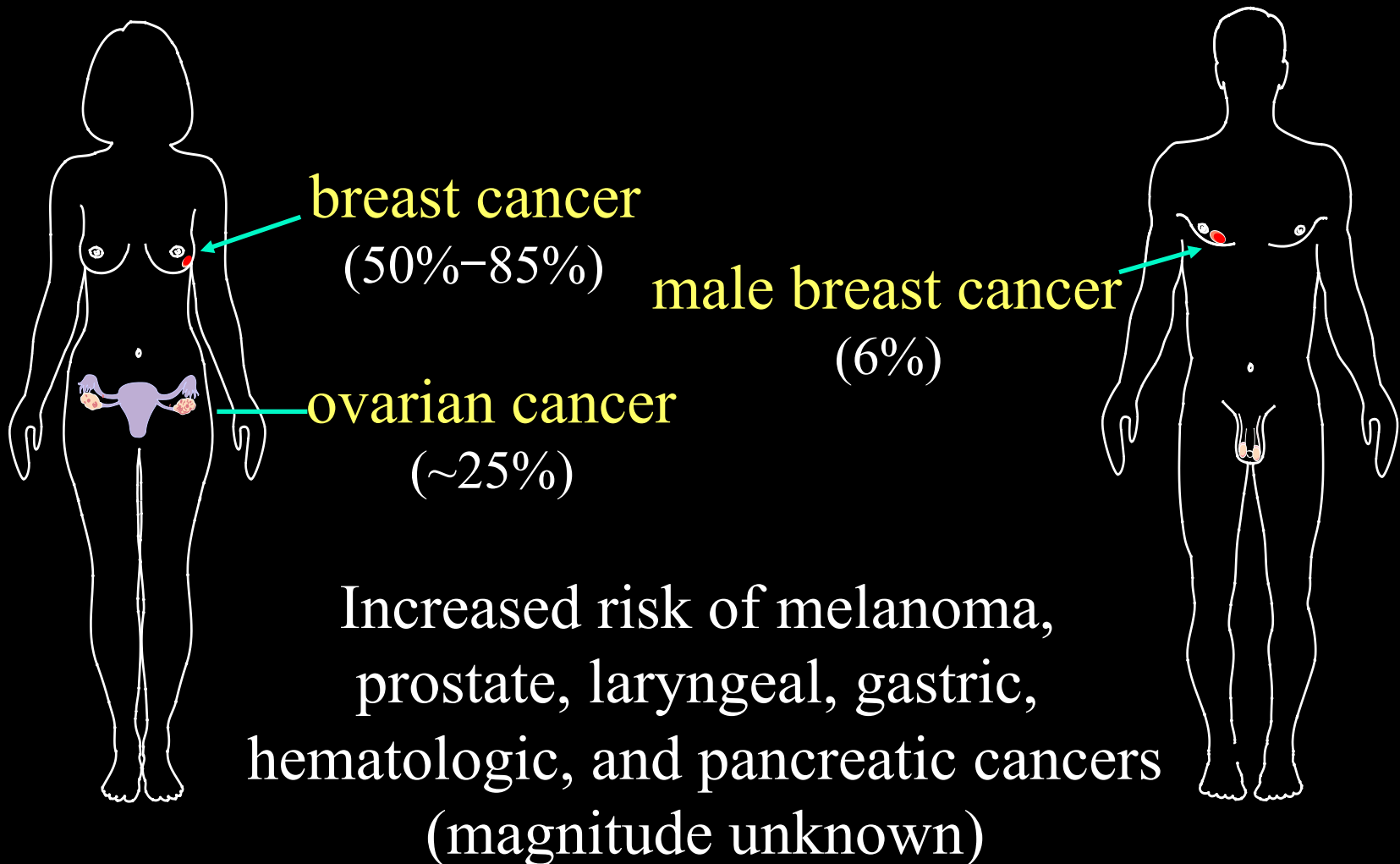
10 year risk of a second breast cancer ~30-70%

Life time risk of ovarian cancer ~50%

Probable increased risk of other cancers (eg, prostate, gastric)

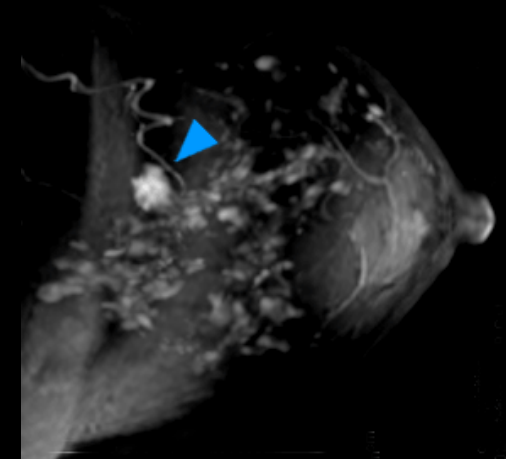
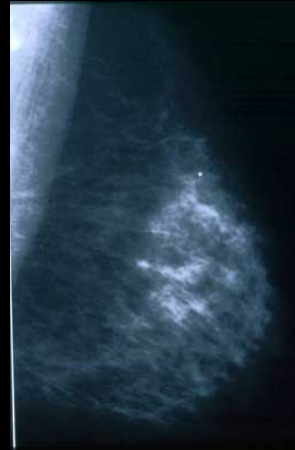


# *BRCA2-Associated Cancers: Lifetime Risk*



# High-Risk Patients / High-Stakes Decisions

- Surveillance
  - Mammography
  - MRI
  - Ovarian
- Pharmacologic risk reduction
- Risk-reducing surgery
  - Bilateral mastectomy
  - Bilateral oophorectomy

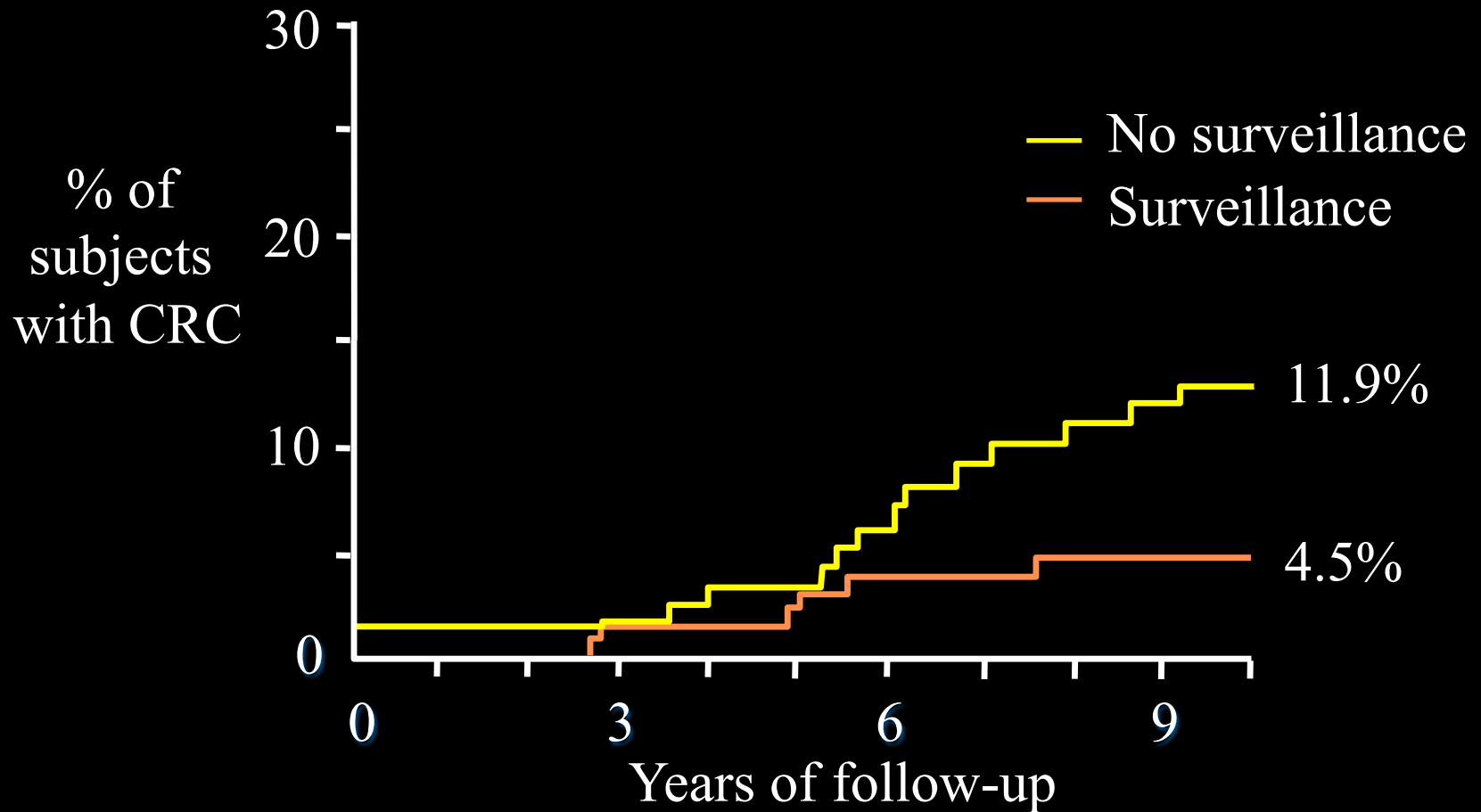


*BRCA1/2 analysis can inform these decisions but is complex  
in its interpretation*

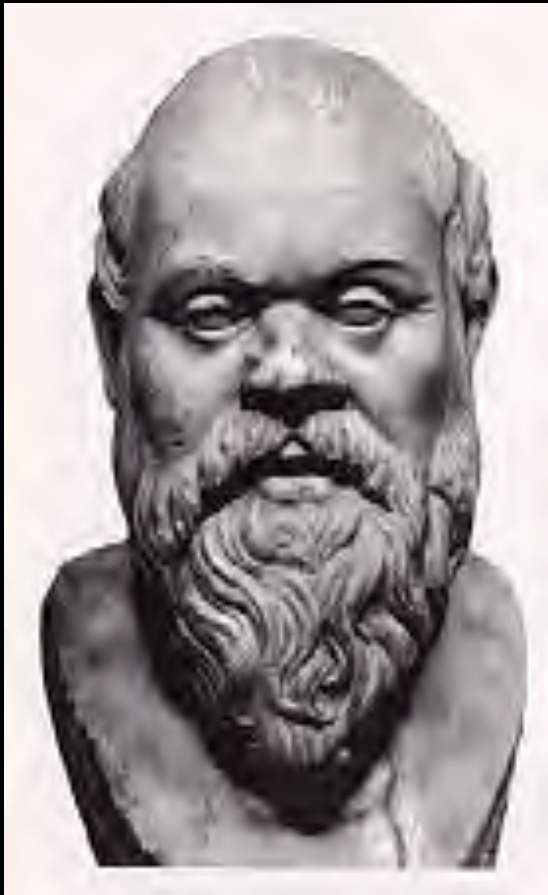
# Genetics & Colorectal Carcinoma

- HNPCC or “Lynch” syndrome
  - Accounts for 5-10% of “sporadic” colon cancer
  - Results from mutations in any one of several DNA-editing enzymes (mismatch repair genes)
    - MSH2
    - MLH1
    - MSH6
- Tumors in HNPCC individuals (& ~ 15% of sporadic CRCs show MSI (Microsatellite Instability)
  - The presence or absence of MSI may have therapeutic implications

# Surveillance Reduces Risk of Colorectal Cancer in HNPCC



# *Colorectal cancer screening*



“The life which is unexamined is not worth living.”

Socrates



# Interpreting Results

- What does a “negative” test mean?
  - Does this mean there is no mutation in the patient / family?
  - Or did we just fail to find it (a false negative)?
  - Sophisticated statistical analysis is necessary to determine residual risk
- What does a positive test mean?
  - Highly specific but...
    - Not everyone with a mutation will get cancer
    - And for those who will we can't predict when
    - Options for surveillance and for prevention are highly imperfect
    - ...And expensive
- What does an indeterminate test mean?

# Accelerating Technology

- In 1997 it took a day to genotype a single SNP
  - Cost was ~\$100
- Now in a matter of hours one can genotype an individual at >500,000 sites
  - At a cost of roughly \$1,000
- Enabled by “chip” and “bead” technology
  - Reduction in cost of >500 fold





# The **Kangaroo** Genome Project

- The Cost of Sequencing is Declining Rapidly
- The \$1,000 genome
- Flood of information will be a problem



# Genomic Analysis

## *Genotyping*

- Very different from sequencing
- The determination at specific individual sites (loci) of what version (allele) of a gene is present

TTAGCTAGT **G/A** CGAATACA TTCCAATGGCGTT **T/G** TACT

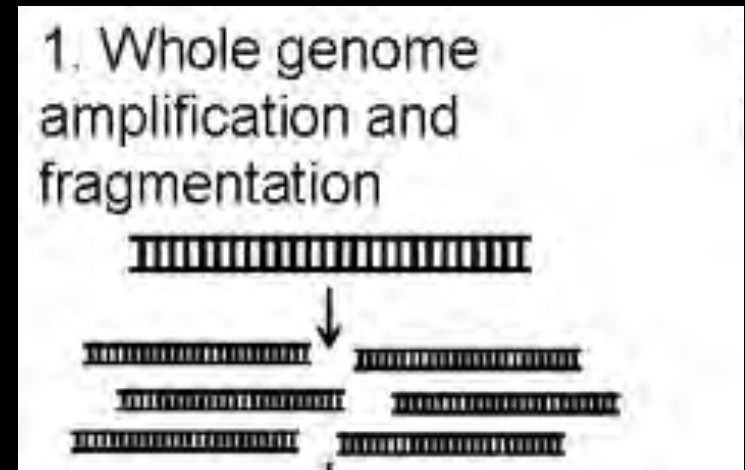
Sequencing reveals the composition of the entire stretch of DNA

Genotyping queries only the precise nucleotide that one targets for analysis

*Due to the haplotype structure of the human genome, querying ~1 million carefully selected sites provides information about much of the genome*

# Illumina Infinium Assay

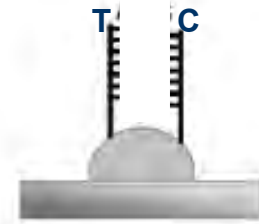
- Whole-genome amplification of DNA sample to increase the amount of DNA ~ 1000-fold
- Random fragmentation of DNA



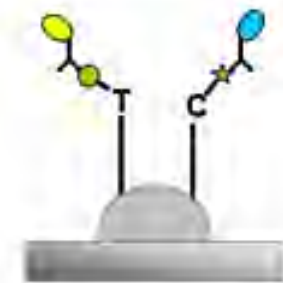
# Illumina Infinium Assay

- Fragmented DNA is incubated with a “bead array” consisting of immobilized SNP-specific primers
- Fragments hybridize adjacent to corresponding SNPs
- Extended with hapten-labelled nucleotides if there is a match

2. Denaturation and hybridisation on BeadChip



3. Single base extension and staining

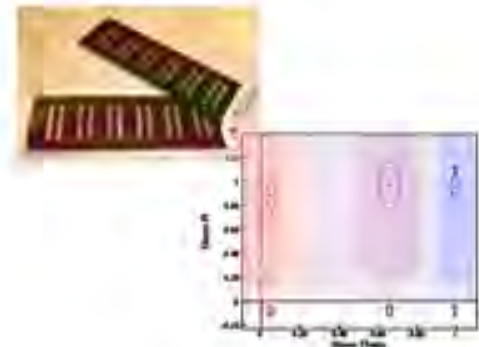


# Illumina Infinium Assay

- The incorporated hapten-modified nucleotides are detected by adding fluorescently labeled antibodies in several steps to amplify the signals
- Data analysis is performed using scatter plots



## 4. Array scanning and genotype scoring



# So, What Do We *Do* With It?

- Genome-Wide Association Studies
  - Provide the ability to search the genome for genetic factors that predispose to common diseases
  - Significant because of the general difficulty in identifying such factors by other means

# Haplotype Analysis For Identification of Genetic Predisposition to Disease

A marker which defines haplotype  
1 or 2

A nearby polymorphic gene which  
influences disease predisposition



If, in a large sample of individuals with disease, a statistical excess have haplotype 1, this is evidence that haplotype 1 contains a version (allele) of a nearby gene that can predispose to that disease

# THE NEW ENGLAND JOURNAL OF MEDICINE

**Chromosomal Abnormalities: Analysis of Chromosomes from Patients**

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**Chromosomal Abnormalities: Analysis of Chromosomes from Patients**



# Genome-wide association study identifies novel breast cancer susceptibility loci

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Breast cancer exhibits familial aggregation, consistent with variation in genetic susceptibility to the disease. Known susceptibility genes account for less than 25% of the familial risk of breast cancer, and the residual genetic variance is likely to be due to variants conferring more moderate risks. To identify further susceptibility alleles, we conducted a two-stage genome-wide association study in 4,398 breast cancer cases and 4,316 controls, followed by a third stage in which 30 single nucleotide polymorphisms (SNPs) were tested for confirmation in 21,860 cases and 22,578 controls from 22 studies. We used 227,876 SNPs that were estimated to correlate with 77% of known common SNPs in Europeans at  $r^2 > 0.5$ . SNPs in five novel independent loci exhibited strong and consistent evidence of association with breast cancer ( $P < 10^{-7}$ ). Four of these contain plausible causative genes (*FGFR2*, *TNRC9*, *MAP3K1* and *LSP1*). At the second stage, 1,792 SNPs were significant at the  $P < 0.05$  level compared with an estimated 1,343 that would be expected by chance, indicating that many additional common susceptibility alleles may be identifiable by this approach.

# Breast Cancer Risk Loci

- 1<sup>st</sup> stage:
  - 4,398 breast cancer cases / 4,316 controls
- 2<sup>nd</sup> stage:
  - 21,860 cases / 22,578 controls from 22 studies
- 227,876 SNPs evaluated in each subject
- Five novel independent loci exhibited strong and consistent evidence of association with breast cancer
- Four contain plausible causative genes (FGFR2, TNRC9, MAP3K1 and LSP1)

# Correction for Multiple Tests

- P value of 0.05 assumes 1/20 false associations
- Current WGA studies test >500,000 loci per experiment
- For the equivalent significance of  $p=.05$  when doing many tests, one needs to correct (the use of Bonferroni correction)
  - $0.05/500,000 = 1 \times 10^{-7}$
  - And this is just the edge of significance

# Inherent Weakness of Whole Genome Strategies

- The immense size of the human genome
  - Lots of tests need to be done and pure chance will result in some that look significant
- Isolation of genes so-identified is difficult b/o large # of genes in a haplotype
- Each gene is likely to contribute little to the disease, so confirmation of a gene's influence is epidemiological
  - Relative risk conferred by “risk” allele is typically  $<2$
- Different populations will have different allele distributions, different haplotype structures, different environmental exposures, etc.
  - Thus, such results may not be widely generalizable to other populations
- An identified polymorphism will be neither necessary nor sufficient for acquisition of the disease in question

*Genetics & Epidemiology are Fusing*



- 1926 cases with CAD / 2938 controls
- 9 loci associated with CAD
- Strongest association was a SNP at 9p21.3
  - Rs1333049;  $P = 1.80 \times 10^{-14}$
- CAD risk increased by 36% per copy of the C allele
  - Approximately 22% of the study participants homozygous for this allele
  - ~50% with one copy of the risk allele

# Potential Benefits

- Provide knowledge of individual genetic predisposition
  - individualized screening
    - mammography schedule, PSA, HbA1c, etc.
  - Presymptomatic therapies
    - e.g. chemoprevention for cancer
- Pharmacogenomics
- Medicine as a public health endeavor
- Fundamental understanding of etiology
- Novel drug targets

# What *Else* Can We Do With It?

- Make money!!!
  - After all, this is the USA
- Several companies are now offering “boutique” genotyping
- “Buying is more American than thinking”



# Genetic Testing is Potentially Harmful





# 23andme / DeCode Genetics

- Heavily covered by the media
  - NY Times, Newsweek, etc.
- Offer genotyping at ~500,000 – 1,000,000 loci
- Individual sends a saliva or mouth brush sample
- And \$1,000 by credit card
- Genotyping of SNPs associated to provide information about:

# Ancestry

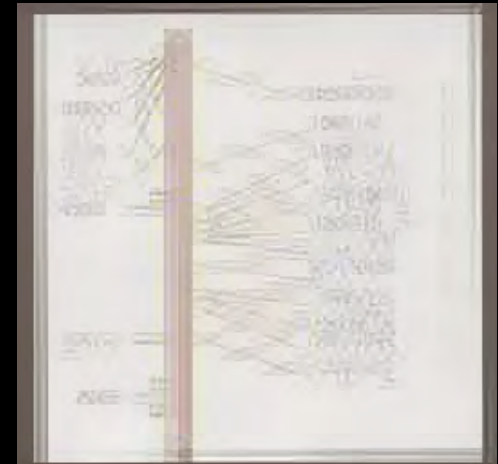
- My ancestors are from Europe
- ***Who'd have thought?!!***

## Haplogroup R1b (M343)

The designations for all twelve loci examined for this purpose are listed here, along with the Short Tandem Repeats (STRs) outcome for each.

393	19	391	439	389-1	389-2	388	390	426	385a	385b	392
13	14	11	11	13	16	12	23	12	11	11	13

July 21, 2005



# Traits

- Earwax type
- Alcohol Flush Reaction
- Bitter Taste Perception

- E.g. Brussels Sprouts

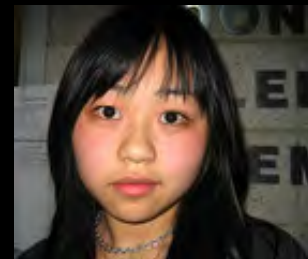
- Eye color

- DeCode's narrator:

- “My likelihood of having brown eyes is 67% and of having brown hair is 92%; ***and I do have brown eyes and brown hair!***”

- Behavioral traits

- Aggression, novelty seeking, propensity for depression, etc.



# Comparison With Others

- Family members
- Friends
- “Famous Scientists like Craig Venter”!!
  - DeCode’s narrator shares 2-3% of his genome with Craig
  - Forthcoming Facebook invitation to Craig to be his gene-friend
- “We envision a new type of community where people will come together around specific genotypes and these artificial barriers of country and race will start to break down” - Anne Wojcicki, co-founder of 23andMe



The image shows a screenshot of a Facebook profile for Kathryn Ortland. The profile is titled "Kathryn Ortland's Profile (This is you)" and is located in the "Oregon" region. The profile picture is a portrait of Craig Venter. The profile information includes:

- Account Info:** Name: Kathryn Ortland, Member Since: August 2, 2005, Last Update: November 10, 2005.
- Basic Info:** Geography: Seattle, WA, School: Oregon '05, Status: Alumnus/Alumna, Sex: Female, Concentration: Journalism: Magazine Japanese, Birthday: 10/16/1982, Home Town: Bellevue, WA 98006, High School: Newport High School '00.
- Contact Info:** Contact Email: ortland@gmail.com, School Email: kortland@uoregon.edu, Website: http://www.numine.com.
- Personal Info:** Looking For: Whatever I can get, Interested In: Women, Men, Relationship Status: In a Relationship, Political Views: Liberal, Interests: Photography, computers, hiking, scuba, Japan.
- Professional Info:** Job: Rising star at SEOmoz.org.

The profile also shows a "Connection" section with the text "This is you." and a "Friends at Oregon" section with the text "Kathryn has 22 Oregon friends." Below this, there are three small profile pictures of other users: Reiner Heyden, Micah Sardell, and Erin Akagi.

# Disease Risk

- Breast Cancer
  - Prostate Cancer
  - Alzheimer Disease
  - Crohn's Disease
  - Risk of cardiovascular disease
  - Multiple Sclerosis
  - Diabetes
  - Restless Legs Syndrome
  - Venous Thromboembolism
- *For the vast majority of such risk assessments, the increased risk of one developing the disease is modest*
    - *On the order of 1-2 fold risk over baseline*
  - *In few such conditions are there specific effective interventions to diminish the risk*

# What Will We Find Out?

- Things that are useful to know
  - At least for now a distinct minority of what will emerge from such analyses
- Things that we already know
  - e.g. you are at risk for heart disease and you should exercise and eat right
- Things we don't want to know
  - I'm at increased risk for Alzheimer Disease
- Things that are fun to know
  - Ancestry
  - Whether you'll like Brussels sprouts (but also see above)
  - How many polymorphisms you share with Craig Venter\*
- Things we *think* we know but don't
  - All the spurious associations that will be “found” and later not confirmed

\*Depends on your idea of fun

# Benefits & Risks of Recreational Genotyping

- “Knowledge is Power”
  - Is it always?
  - What about knowledge about those things over which we have no control?
- Identification of risks which can be modified
  - Utility is highly dependent upon the magnitude of risk
    - 1.4 RR for prostate cancer vs. 85% lifetime risk of breast cancer
  - Will such knowledge actually lead to adoption of “healthier lifestyle”?
    - We already know that smoking is bad for us
  - Identification of lower risk leading to bad decisions
    - I have a reduced risk of lung cancer; why stop smoking?

# Benefits & Risks of Recreational Genotyping

- Spur technological development and the integration of genetics into medicine
- Eventually allow truly “personalized medicine”
- Perversion of medical genetics rendering it akin to cosmetic surgery
- Further fostering of unfounded extreme degree of genetic determinism
- Promoting nonsensical ideas about race
- Promoting a new form of discrimination and stigmatization; “allelism”
- Satisfaction of our deep drive to know ourselves
  - Such offerings tap into a profound aspect of human nature and the special status which we accord to our genome

**DNA “R” US**



# Dilemmas for the Law & Society

Correlations for identical twins reared apart (N = 40-50 pairs)

Trait	Correlation
Fingerprints	0.97
Height	0.86
Weight	0.73
Systolic blood pressure	0.64

# Controlling the Genetic Genie

- Genetic Discrimination
  - There is no federal legislative protection...yet
- Gene Patenting
  - Most of our genes have patent claims on them
  - BRCA1/2 are under restrictive patents
- Privacy Issues
  - “Privacy is dead. Get over it.”
  - What happens when 23andMe is subpoenaed?
  - Problems compounded by the acceleration of Information Technology and the World Wide Web
  - Corporate, government and public genetic databases are being formed all over the world
- Who will control this information?
  - Do you want to know ?
  - Do you want others to know?
    - Your insurance / managed care plan
    - Your employer
    - Your family
    - Dick Cheney
    - Your neighbor who surfs the web





# Pharmacogenomics

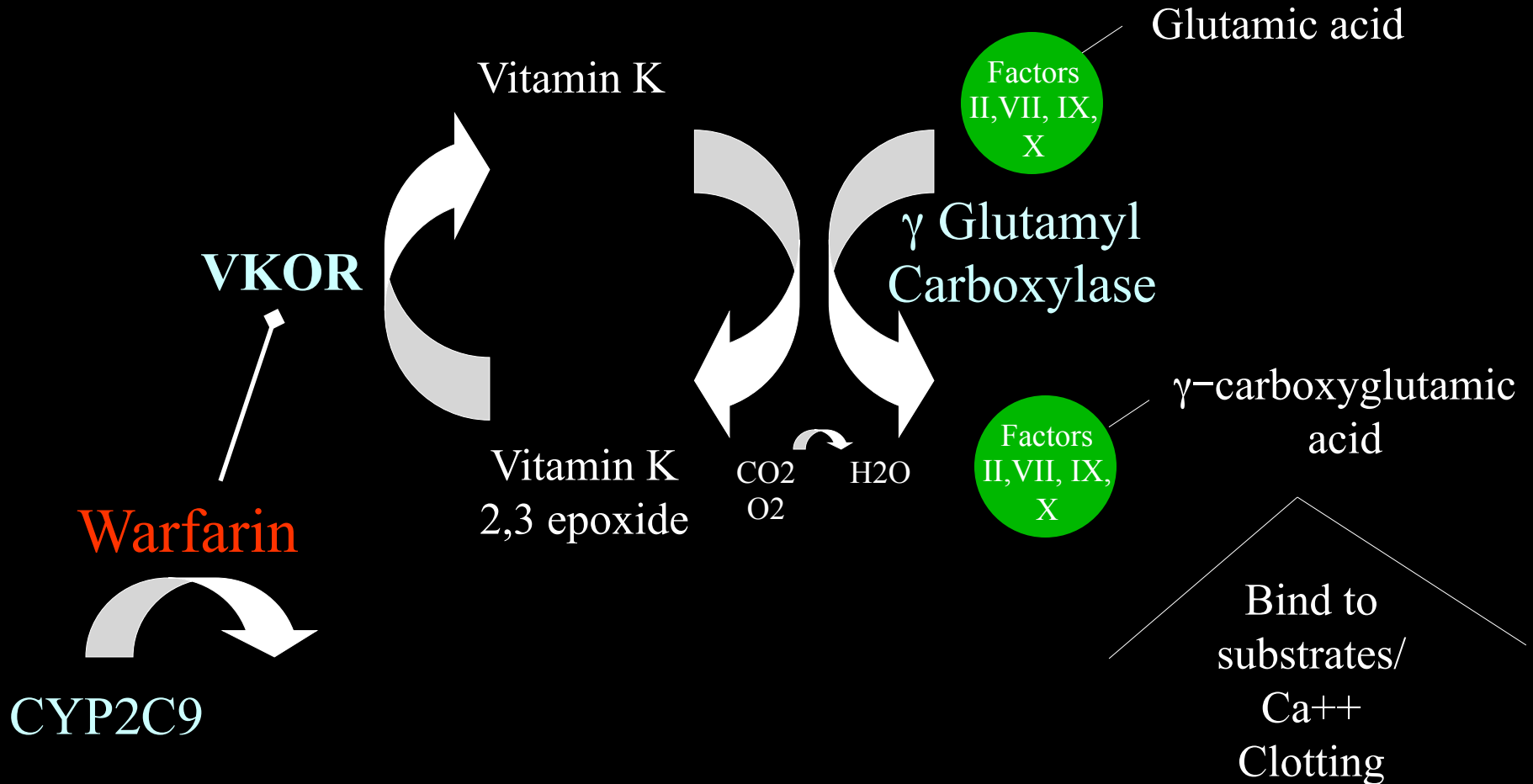
- The use of genetic analysis to predict the individual's response to a drug
  - The right drug
  - The right dose
  - Avoidance of adverse effects

# Pharmacogenomics

- The Perfect drug for PGx intervention...
  - Commonly prescribed
  - Prescribed for serious indications
  - Narrow therapeutic window
  - Great hazard if outside of therapeutic window
  - Significant variability in individual response to standard dosages
  - No good alternative

*Warfarin (Coumadin)*

# Vitamin K dependent clotting factors



# VKOR SNP association results (Caucasians)

<i>VKOR</i> gene SNPs	N	Avg Weekly Dose	INR
<b>1173 C&gt;T</b>			
CC	32	47.7	2.44
CT	40	34.7	2.61
TT	24	27.0	2.66
<b>P-value</b>		<b>0.0000095</b>	<b>0.083</b>
<b>1542 G&gt;C</b>			
GG	33	46.8	2.45
GC	41	34.6	2.61
CC	22	27.2	2.66
<b>P-value</b>		<b>0.0000027</b>	<b>0.098</b>
<b>2255 T&gt;C</b>			
TT	22	27.3	2.63
TC	43	34.7	2.62
CC	31	47.3	2.45
<b>P-value</b>		<b>0.0000021</b>	<b>0.12</b>

# Clinical Significance

- VKOR genotype is a robust indicator of warfarin sensitivity
  - Weekly dose is virtually doubled with inheritance of “sensitive” genotype
- The responsible SNPs are common
- SNP genotyping is easy and cheap
- May ultimately offer clinical guidance for a drug with a very narrow therapeutic window
  - Especially when combined with P450 genotype and demographics







# Human Variation

- We differ by a single nucleotide every ~500-1000 bases
  - SNPs (Single Nucleotide Polymorphisms)
    - Further variation due to CNV

ATCCGTAATGCTCCTTTAGGCTAGCTAAGTCCTTATGCCGTAATT  
CGCGTATGTGCTACGTACGTAGCTACGTTCGATGCATGCATGCATT  
ATATGCGCGCGTAGCTATGATCGATGCTAGCTAGCTAGCTAGCTAGATG  
CATGCATGCTAGCATTATTGCGCTCGATCGAGCATGCTAGCCGATAGCT  
AGCTGATCGTAGCATGATCATTAGCTAGTG/  
ACGAATACATGCAACCCCATGCTAGCTAGCTAGCATGATAGCTGATGC  
TAGTAGCTAGCATGCTAGCATGCATGCTAGCATGCTATGATGACTAGCT  
AGCATGCTAGCTAGCTCGTAGATAGCTAAGTAAATGATTATGCGCCGG  
GTGCATTATAAAAAACGCTACGCGTAGCATGOATGCA/  
TGCATGCATGCTAGCTGCATGCAGCATGCTAGCATGACTAGCTAGACT  
GCTAGCTAGTCATTTAGCTGACGCATGCTAGCTAGTACGATGCTAGCT  
AGCTAGCTAGTAGCTACGTAGCTGATGTGCATCGCCCCCATGCTGATG  
ATATG/CTGTT

# How Much Human Variation?

## *A Matter of Perspective*

- In relative terms we're all the same (~99.9% identical)
- However, in absolute terms we're very different
- 1/1,000 differences translate to >3,000,000 differences between any two unrelated individuals
- Some of these differences are medically relevant
  - Influencing disease predisposition
  - Response to drugs
- Or of interest in other non-medical ways
  - Ancestry
  - Behavioral traits
  - Innate curiosity about our genes

**DNA “R” US**

# Where is the Genome's "Dark Matter"?

- Conventional\* interpretation of epidemiological and twin studies support substantial genetic component for many diseases
  - Breast Cancer 27%
  - Prostate 42%
  - Pancreatic 36%
  - Bladder 31%
- But GWAS consistently fail to identify most of the genetic component
- \*Perhaps our interpretation is wrong
- Perhaps there are many more low-penetrance risk alleles
  - Undiscovered b/o very low RR

# When Rare Becomes Common...

- Rare high-penetrance alleles
  - Which will be seen upon large scale WGS of many individuals

*Either way, documenting the validity of such alleles and applying them in practice will be very challenging*